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# 5G MEETS DEEP LEARNING, RAY TRACING, AND GPUS

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# AGENDA

## Introduction

5G key aspects

MIMO limitations

Why Deep Learning overcomes limitations

Deep Learning in 5G applications

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## Auto-Precoder

Environment-aware joint channel estimation and precoding for mmWave MIMO

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## Demo and Results

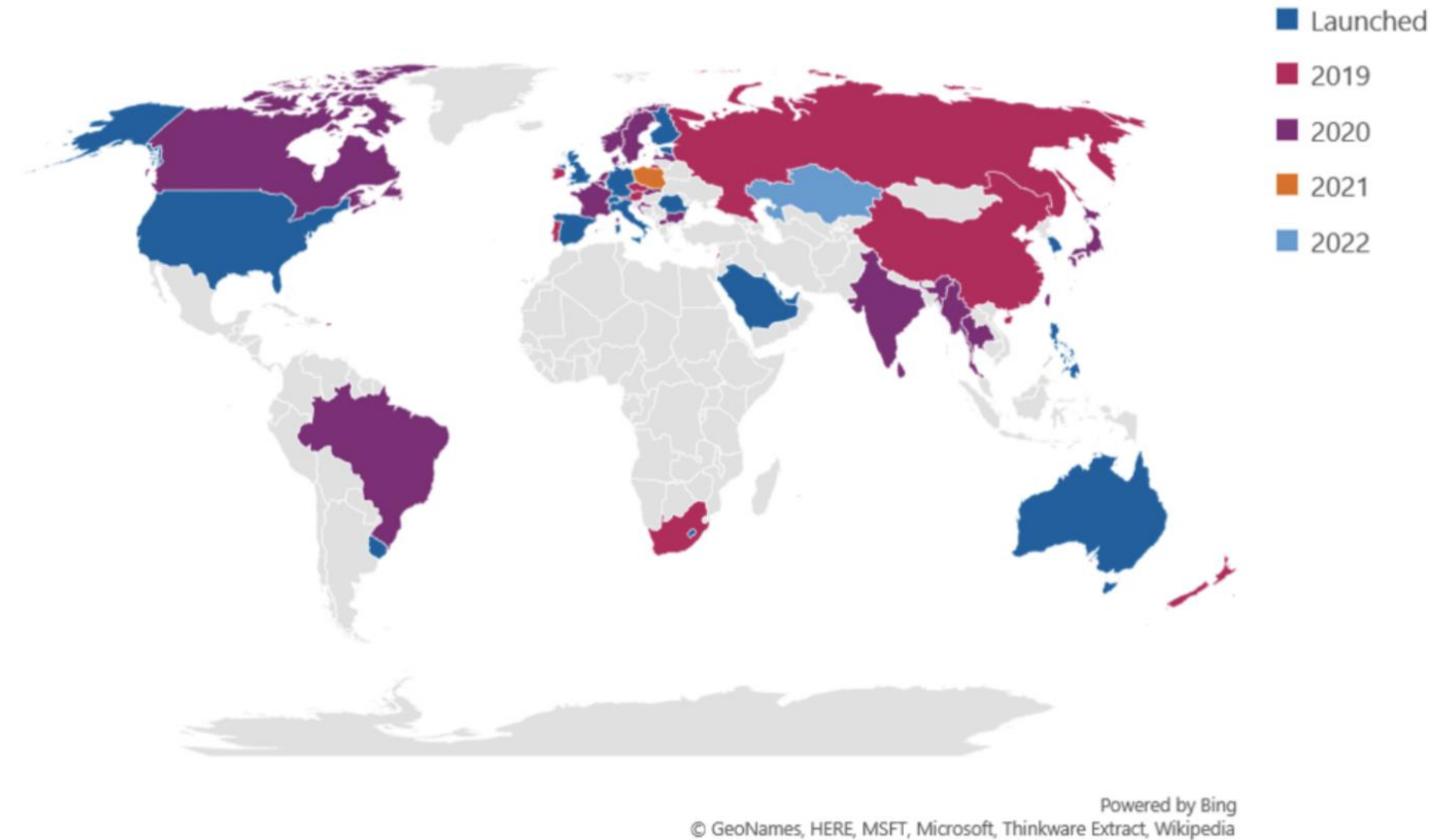
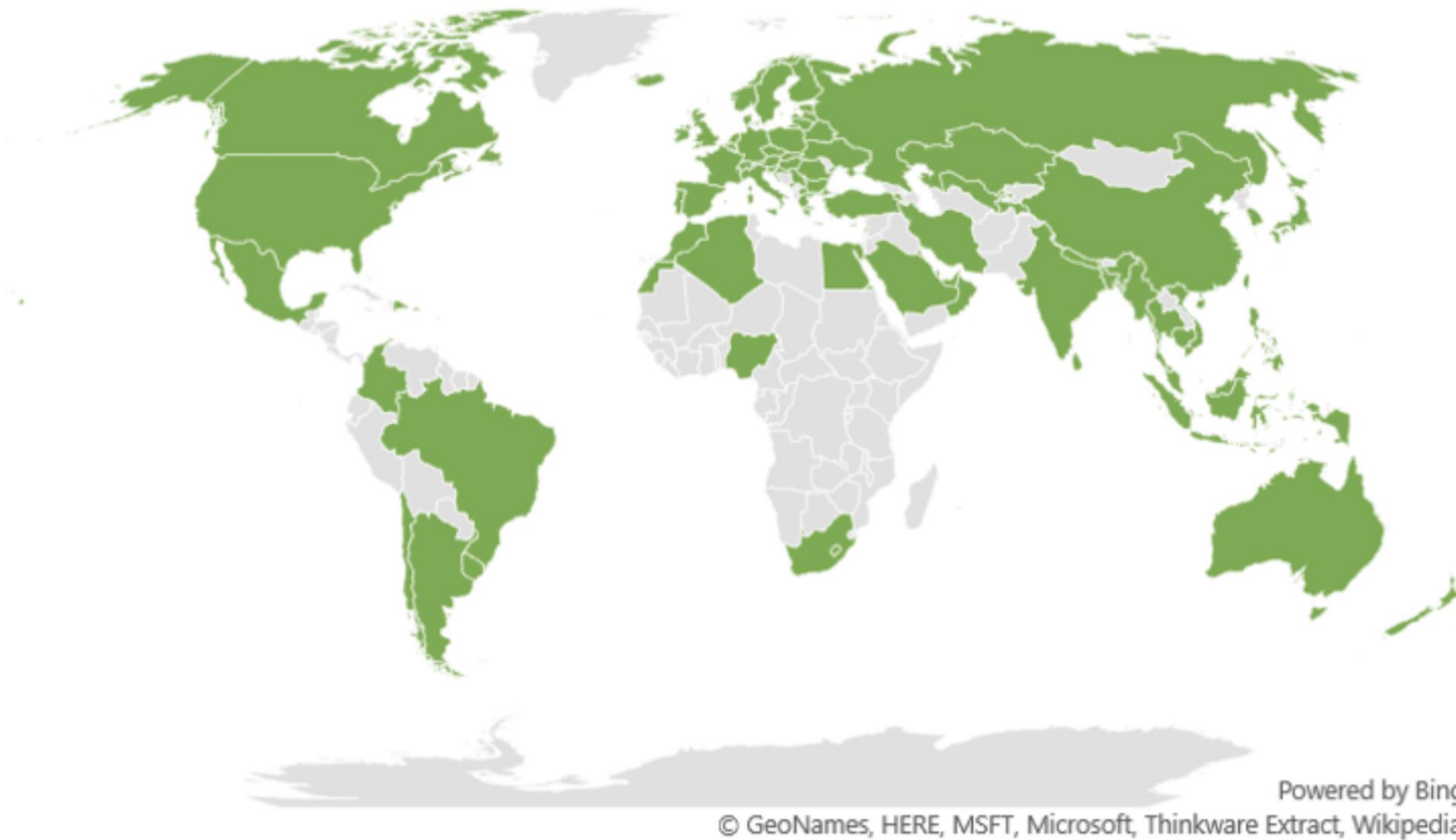
An example based on accurate 3D ray-tracing simulations

# TREND: 4G IS MATURING WHILE 5G IS UNDER WAY

## Global Wireless Telecommunications Carriers Industry

*296 operators in 100 countries that have been investing in 5G*

*39 operators with 5G launches (either mobile or FWA, some with limited availability)*



Source: GSA, August 2019



# 5G OPPORTUNITY

**7M+**

Macro 4G Base Stations  
to be upgraded to 5G

**1M**

IoT Devices / KM<sup>2</sup>

**100 Gbps**

Bandwidth

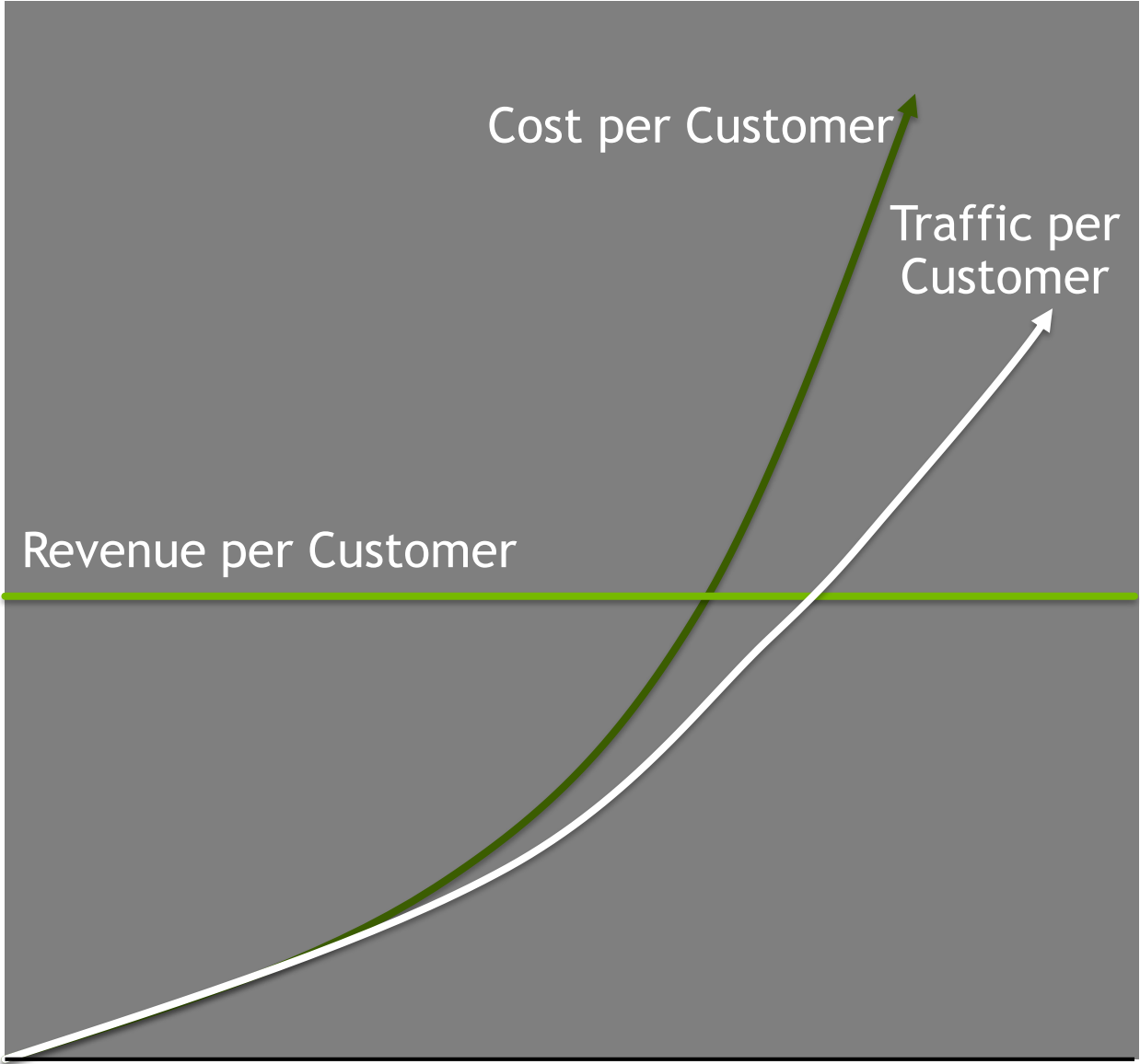
**< 1ms**

Latency  
= AI at the Edge

# TELCO'S CHALLENGES

5G mmWave, Massive MIMO, and AI

## CHALLENGE



## STRATEGIES

- ✓ 5G, mmWave, and Massive MIMO
- ✓ Artificial Intelligence
- ✓ Software Defined Networks
- ✓ Edge Computing



# WHY MASSIVE MIMO AND 5G MMWAVE

## Benefits And Requirement

User data rate per channel (bps) is limited by:

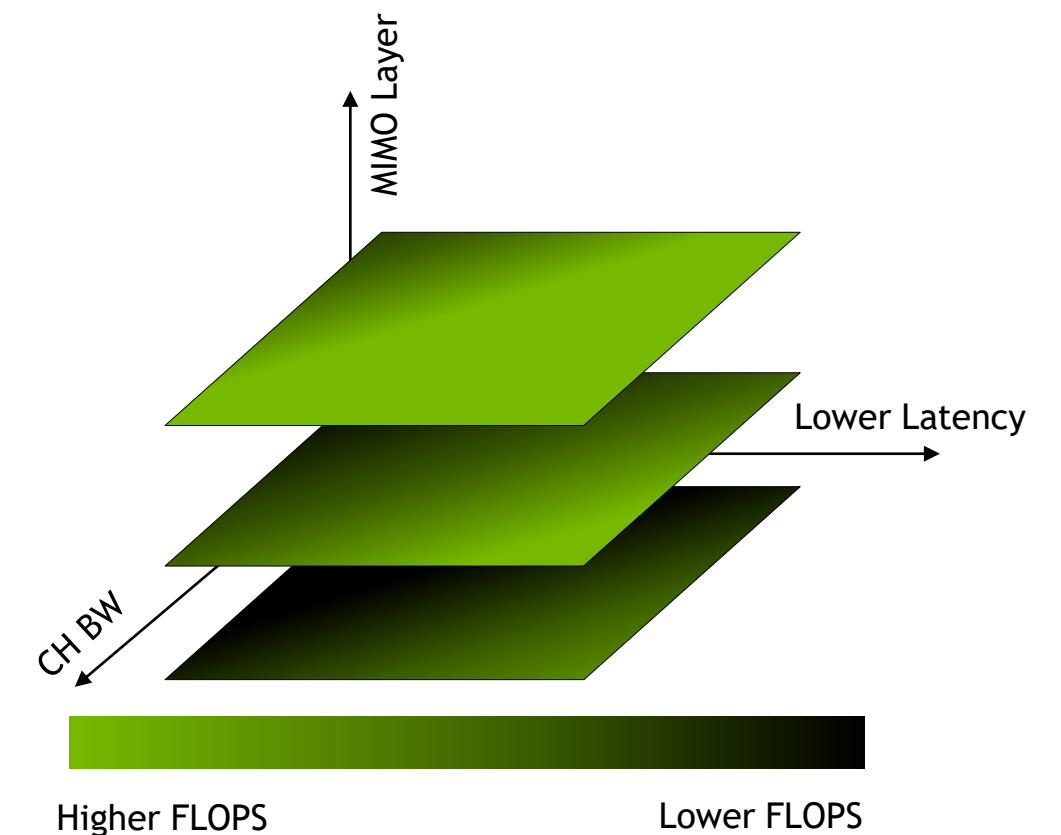
$$R \leq \frac{N_{MIMO} BW}{N_{users}} \log_2(1+SNIR)$$

### How 5G enables 10Gbps+ data rates?

- Massive MIMO: Higher  $N_{MIMO}$
- 5G mmWave:
  - Higher  $BW$  (from 20 MHz in 4G to 800 MHz in 5G mmWave )
  - Directional Beamforming:
    - Higher  $SNIR$
    - Lower  $N_{users}$  sharing the beam resources

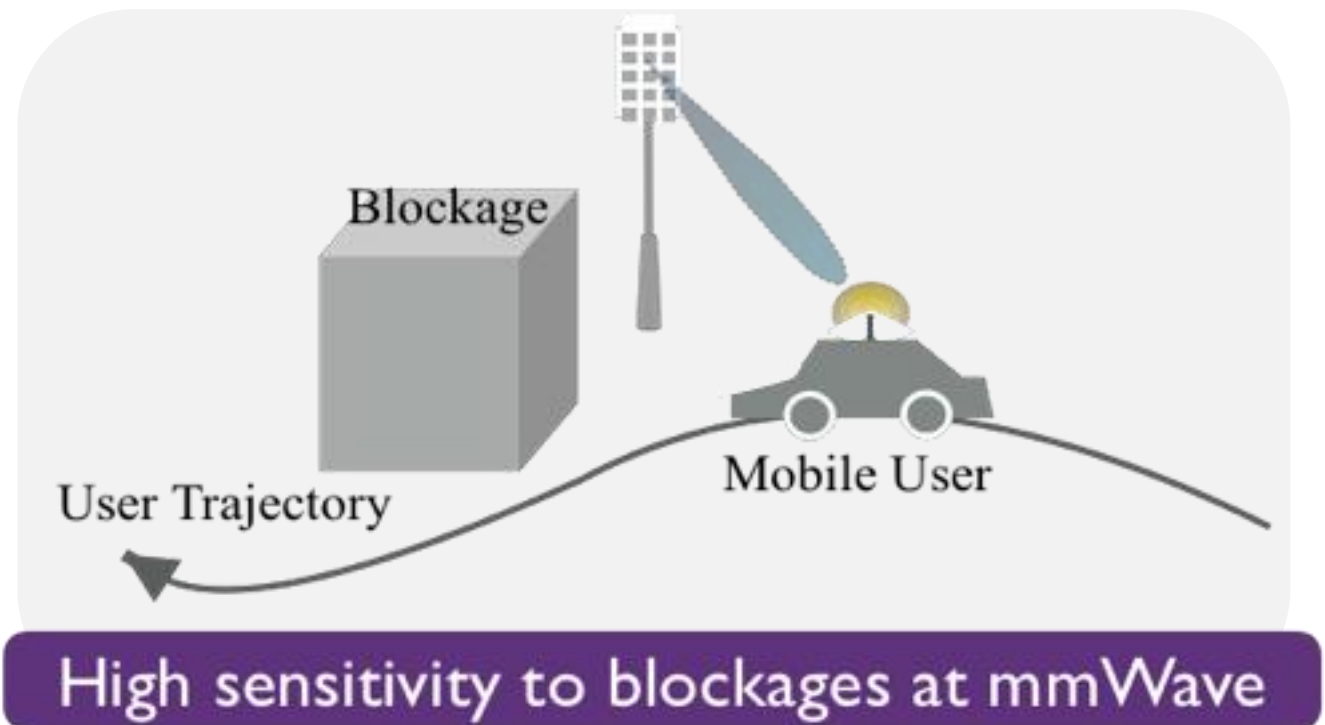
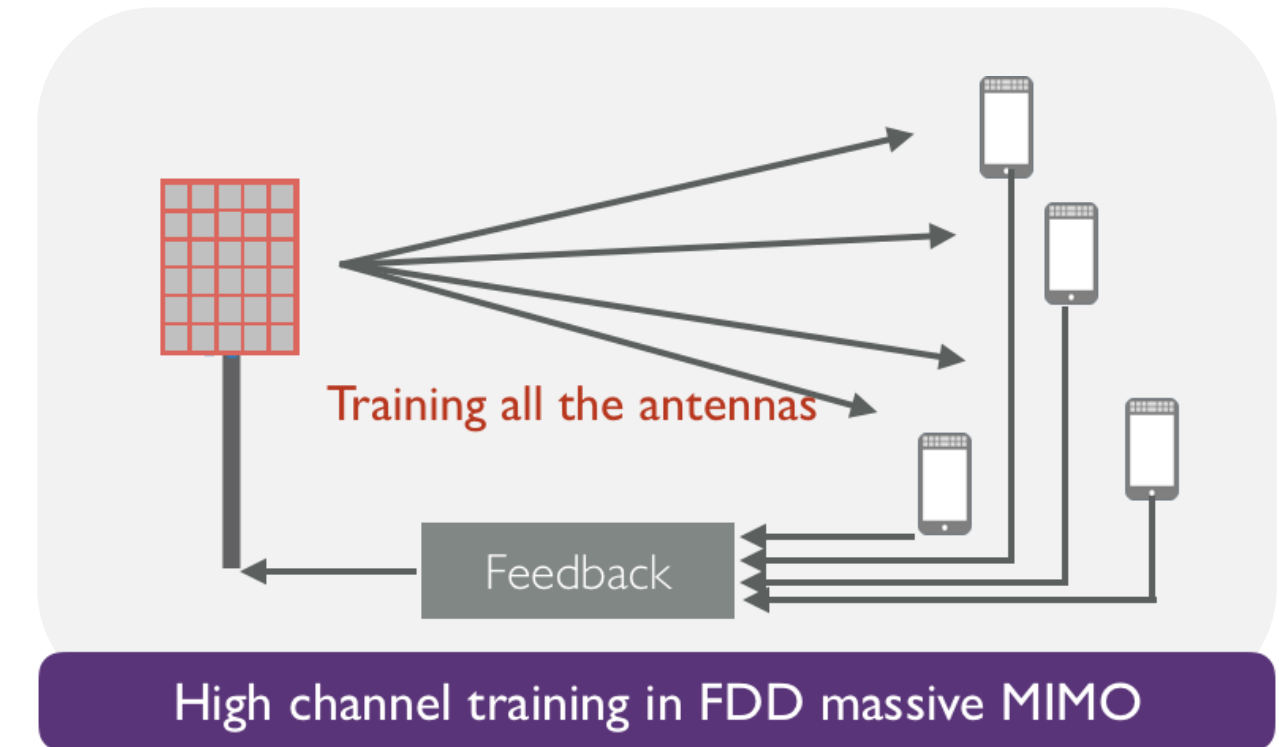
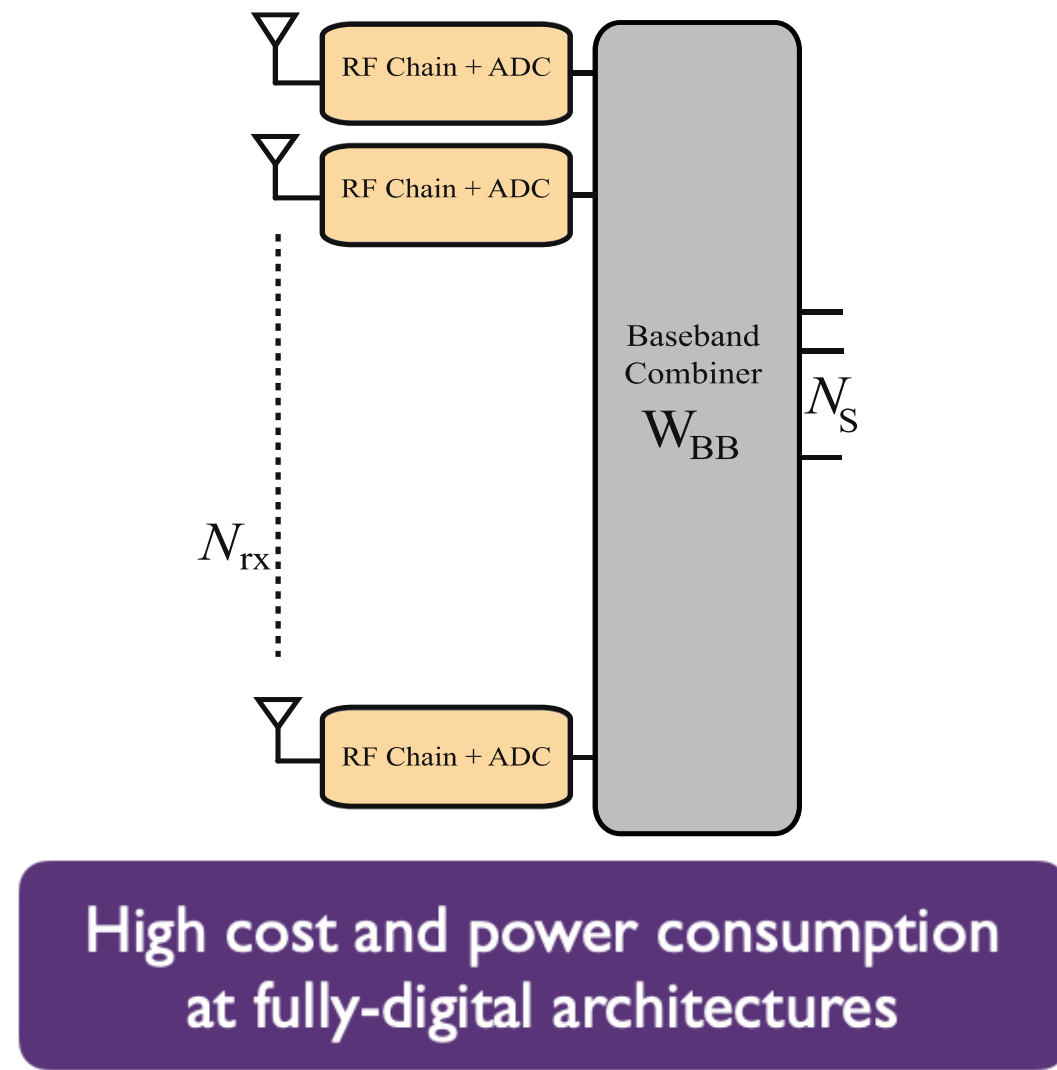
### Key Objective

5G mmWave, Massive MIMO signal processing while meeting the low latency requirement



# CHALLENGES WITH SCALING UP MIMO IN 5G AND BEYOND

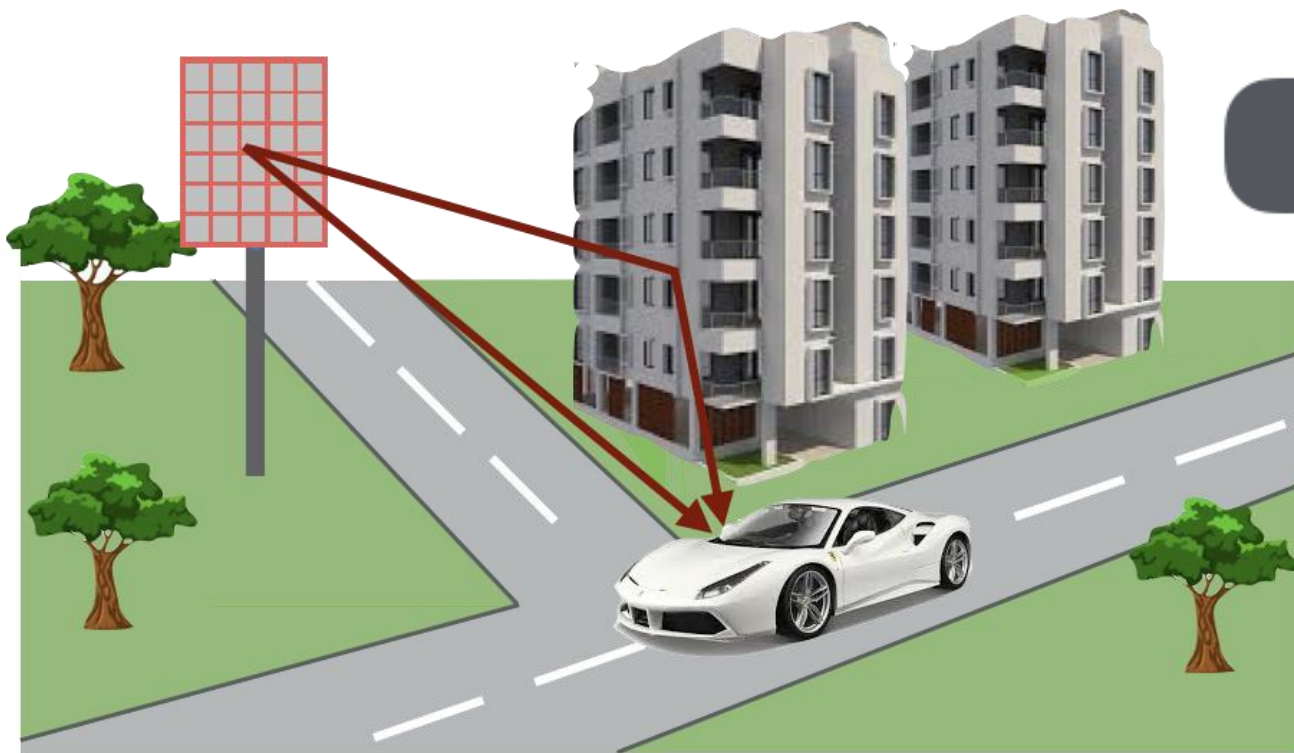
## Channel acquisition and hardware power consumption





# DEEP LEARNING IN 5G

DL can overcome MIMO limitations

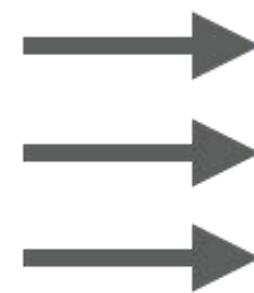


Channels are defined by the various elements of the environment

$$\mathbf{h} = f(\text{environment geometry, TX/RX locations, ...})$$

Hard to characterize analytically

Environment geometry,  
materials,  
TX/RX locations, etc



Deep Learning Model  
To learn  $f(\text{inputs})$



Channel  
 $\mathbf{h}$  beamforming vector  
channel covariance

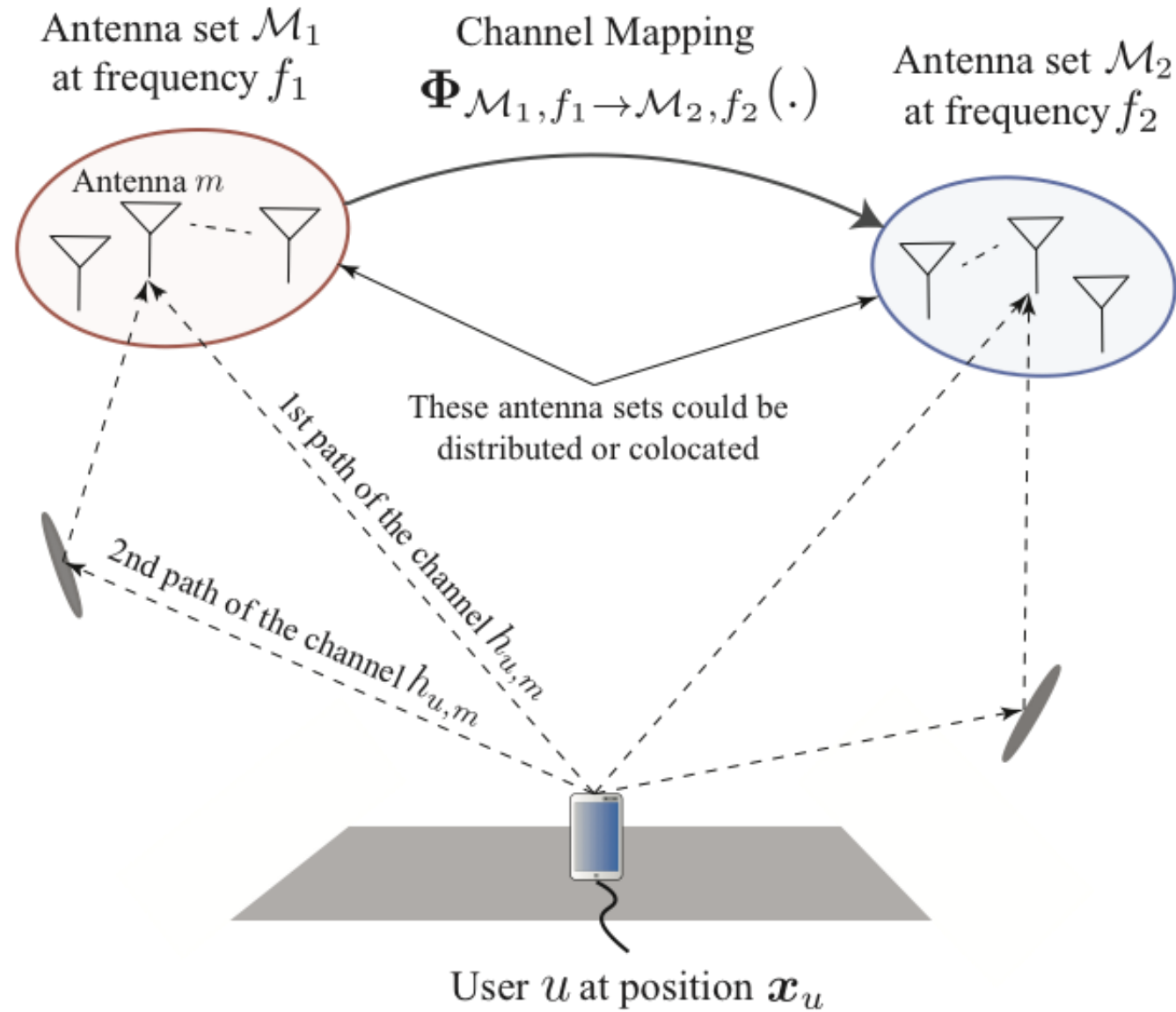
We propose to leverage ML models to learn this mapping function



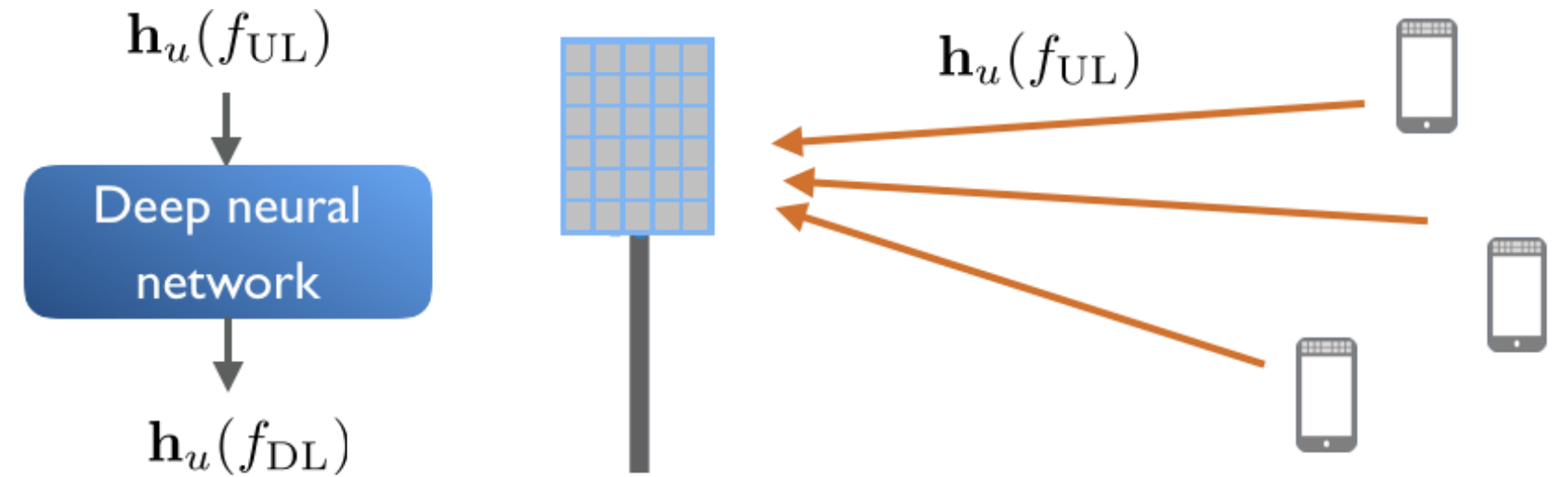
# DEEP LEARNING APPLICATIONS IN 5G

Papers, datasets, and codes are available at [www.DeepMIMO.net](http://www.DeepMIMO.net)

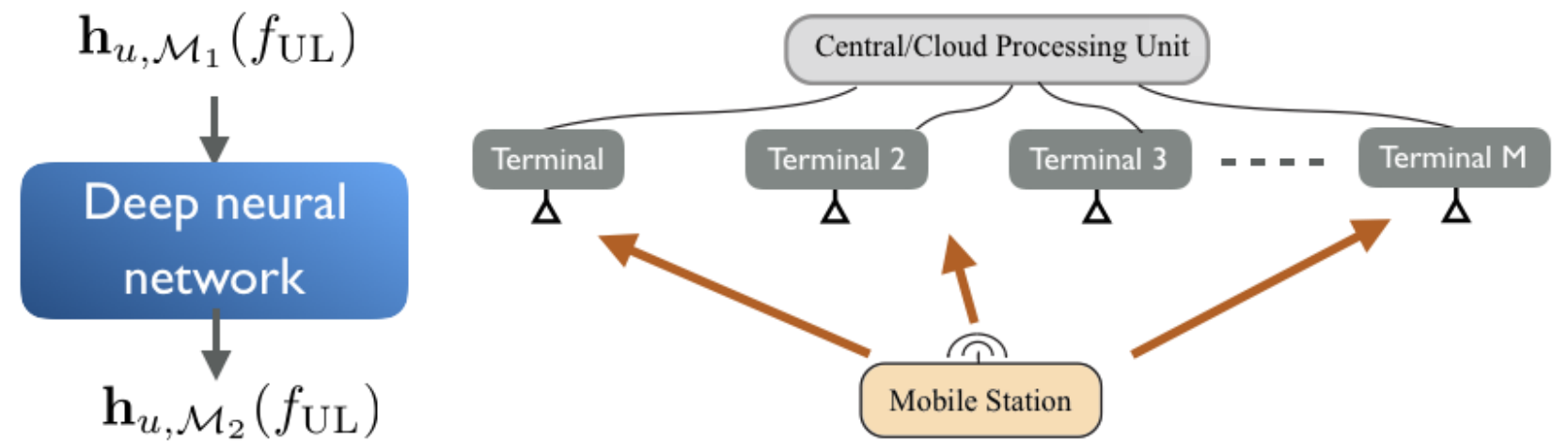
Deep learning enables reliable and highly-mobile massive MIMO applications



Channel mapping in space, frequency, and time



Enabling FDD massive MIMO systems

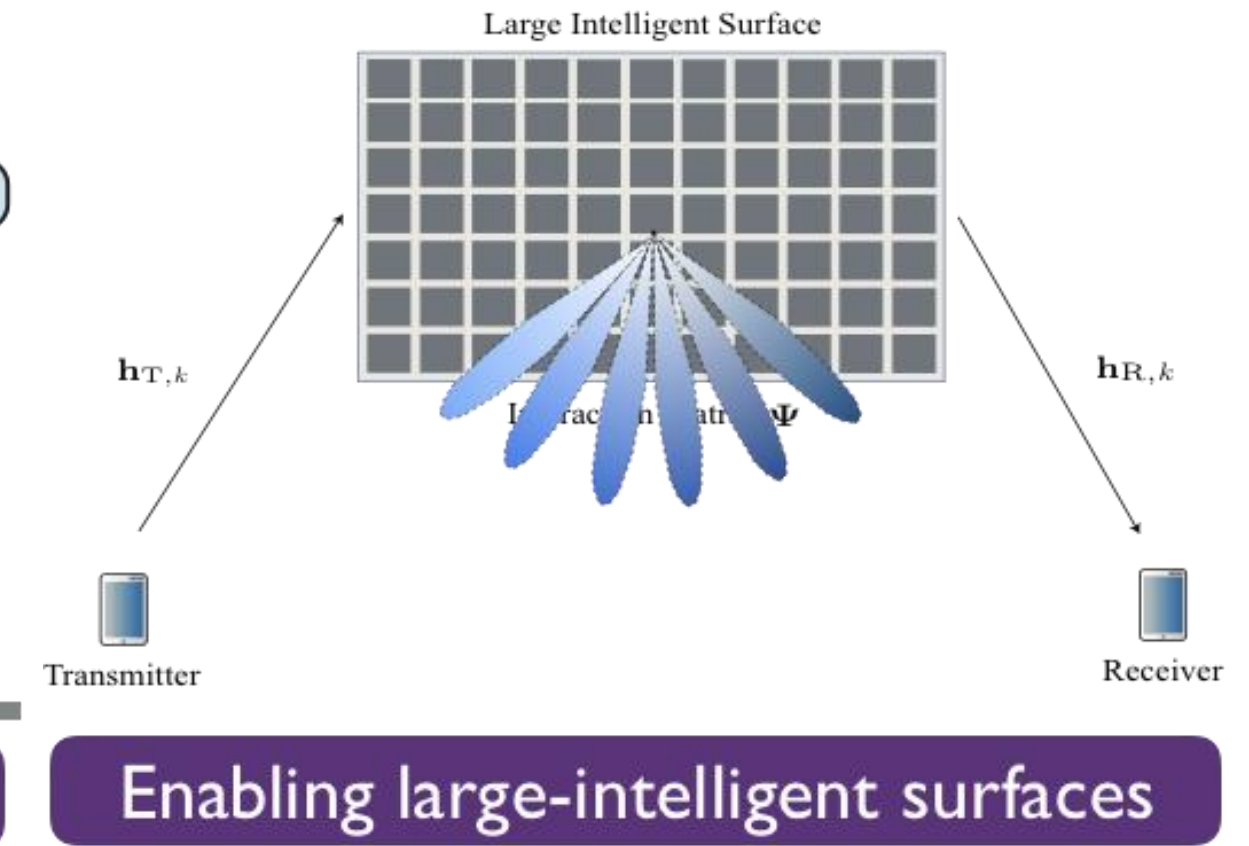
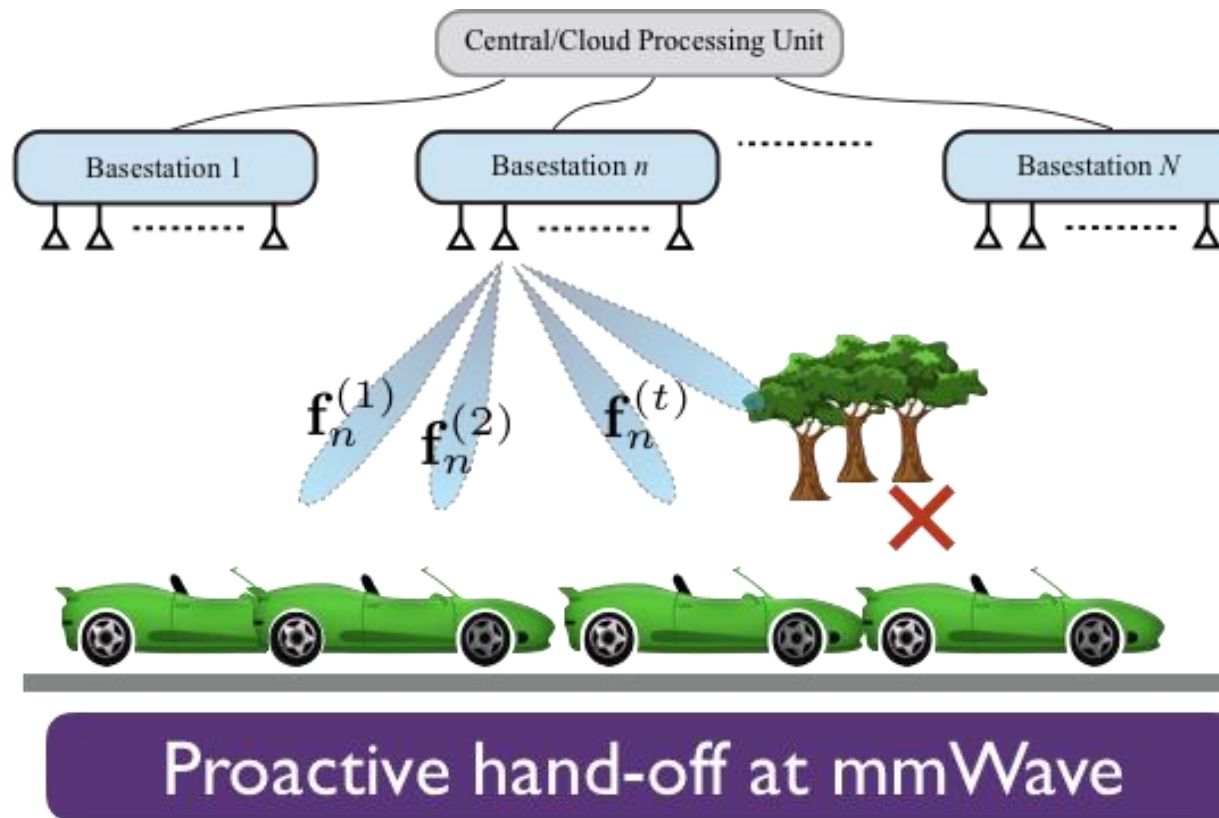
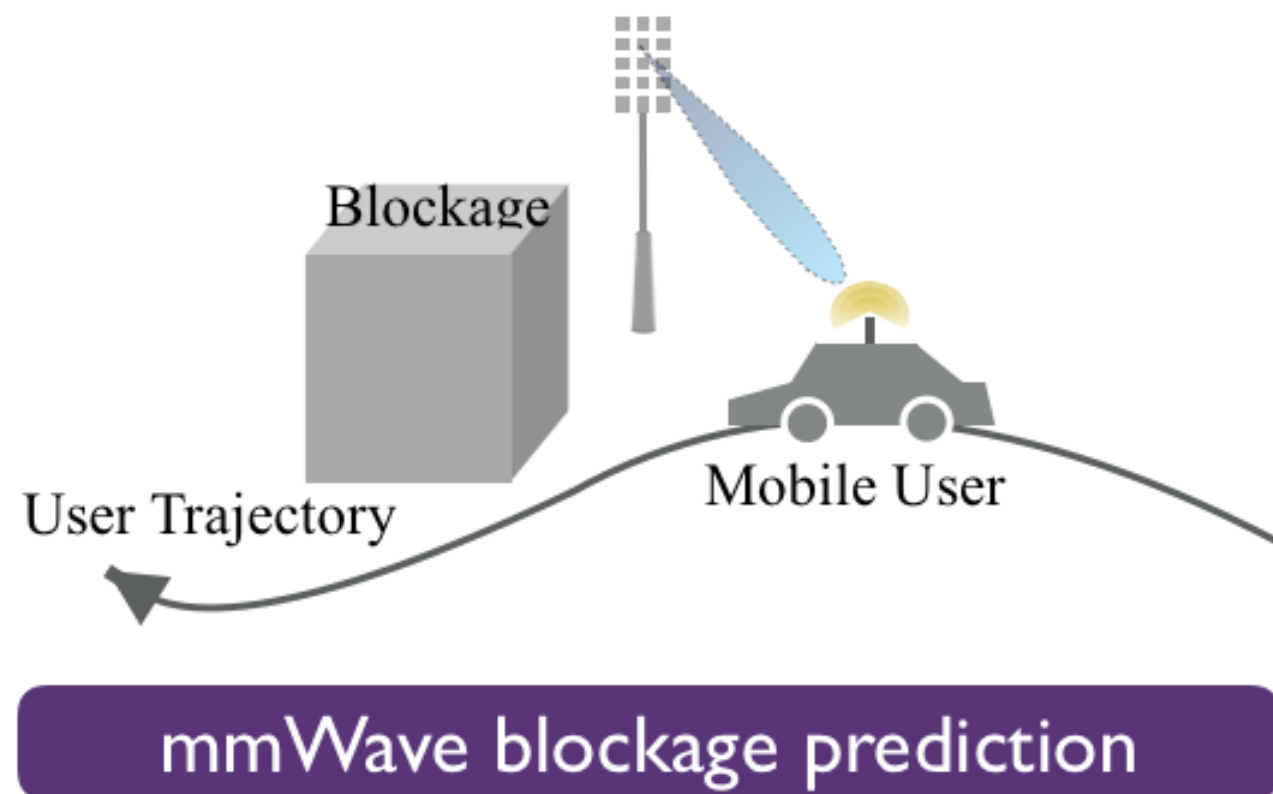
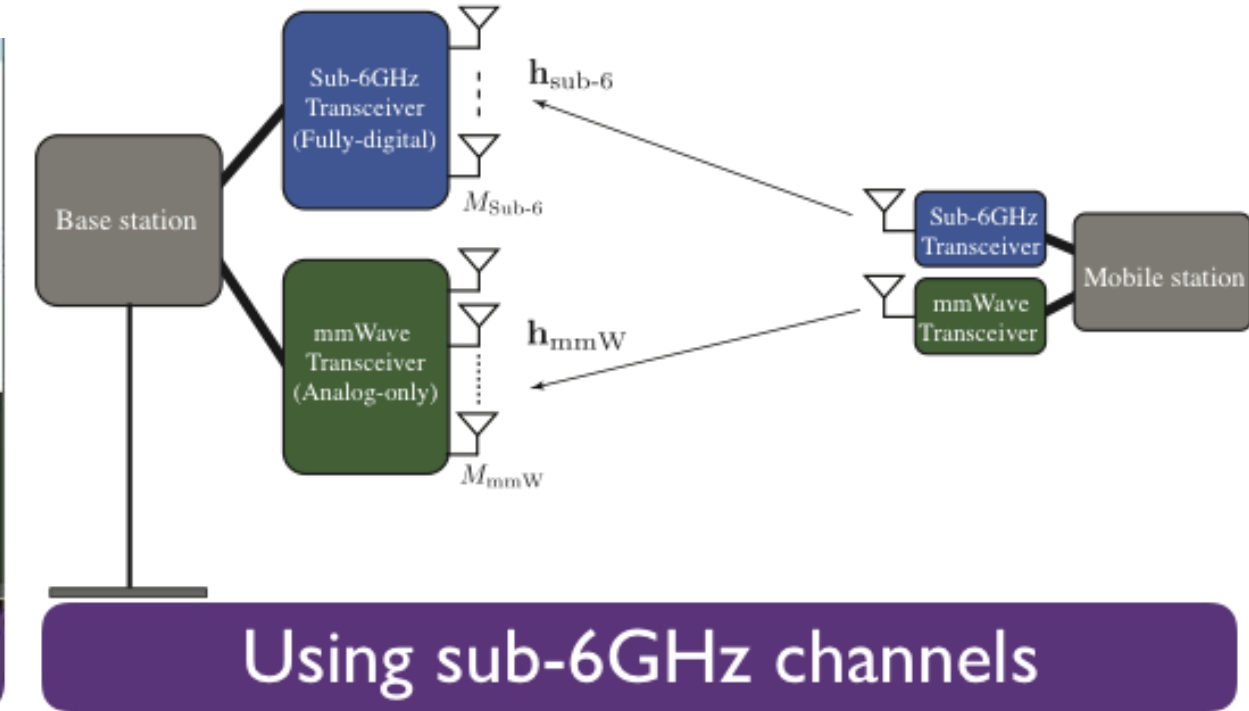
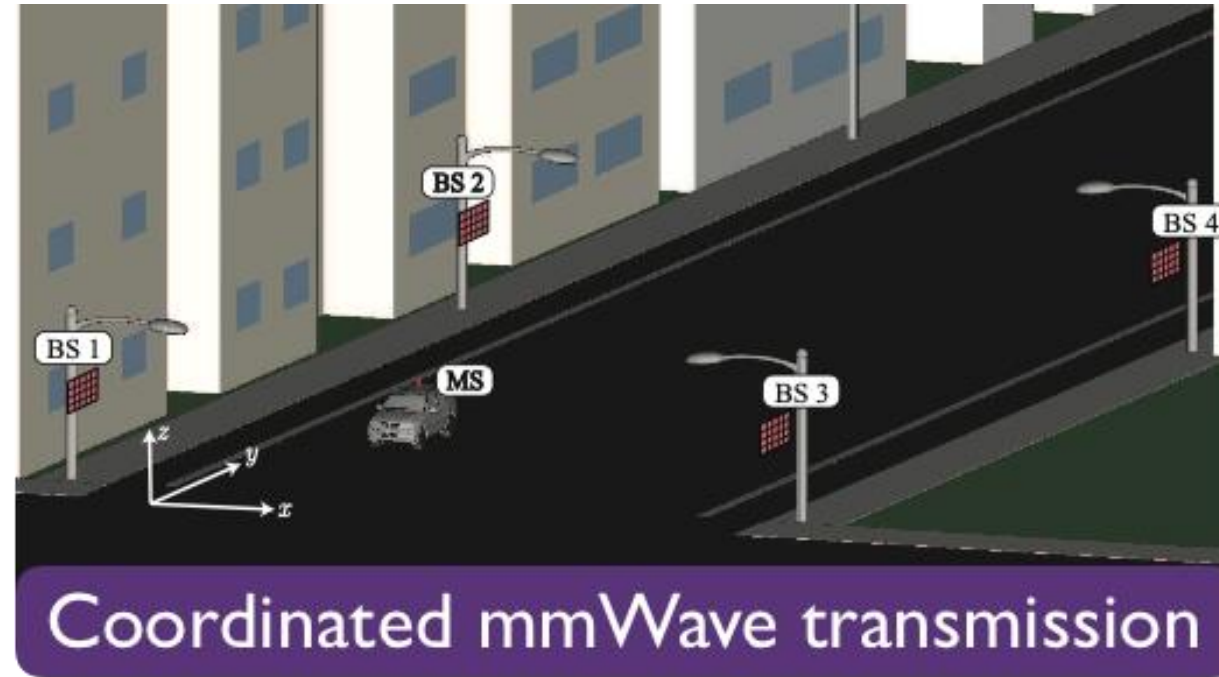
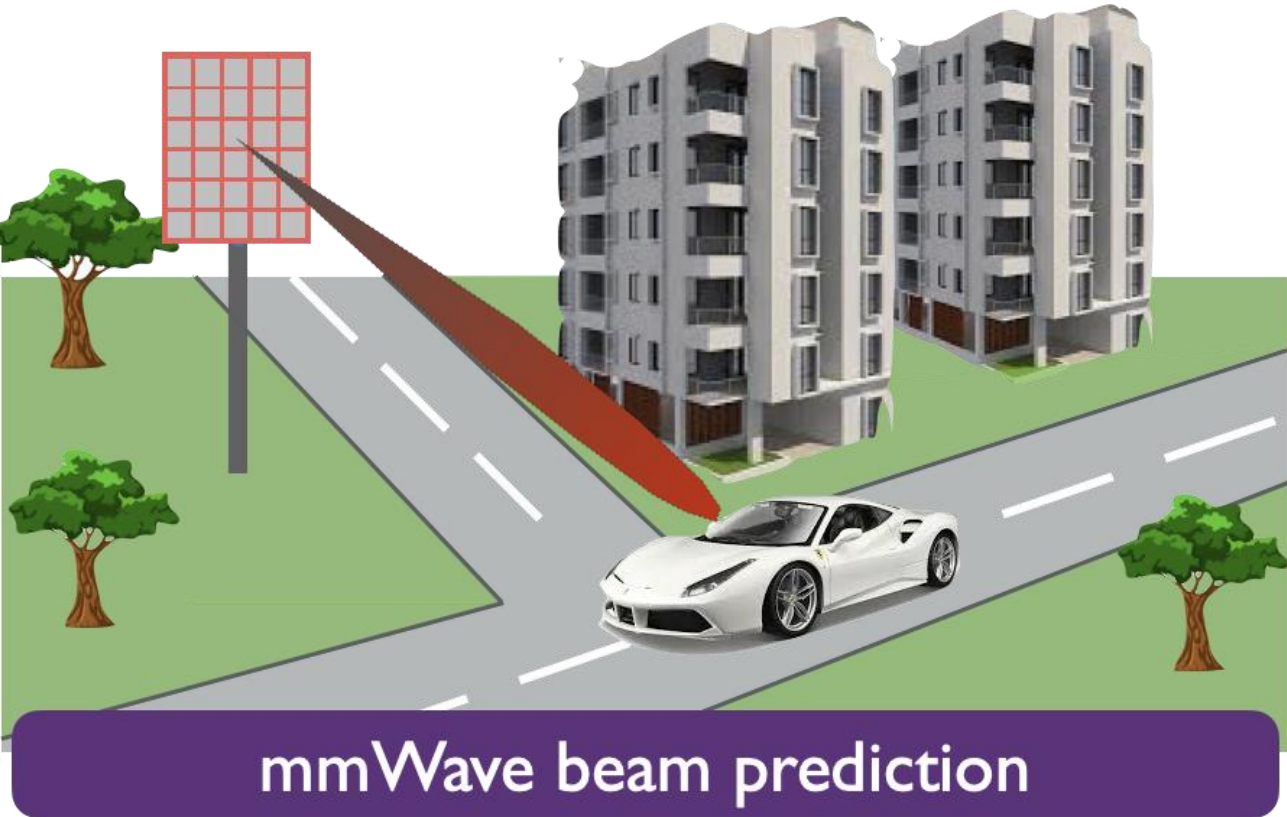


Enabling distributed (cell-free) massive MIMO systems

# DEEP LEARNING APPLICATIONS IN 5G

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Deep learning enables reliable and highly-mobile mmWave applications

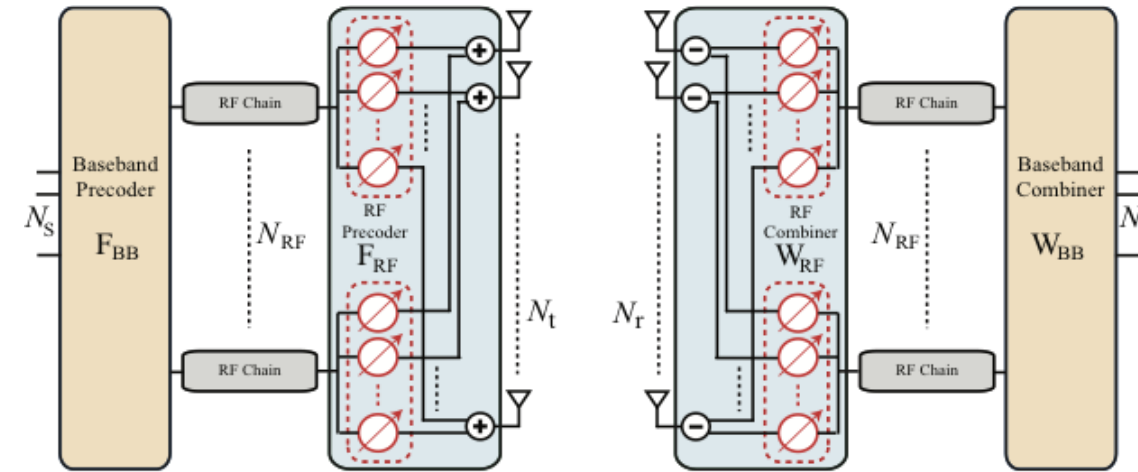
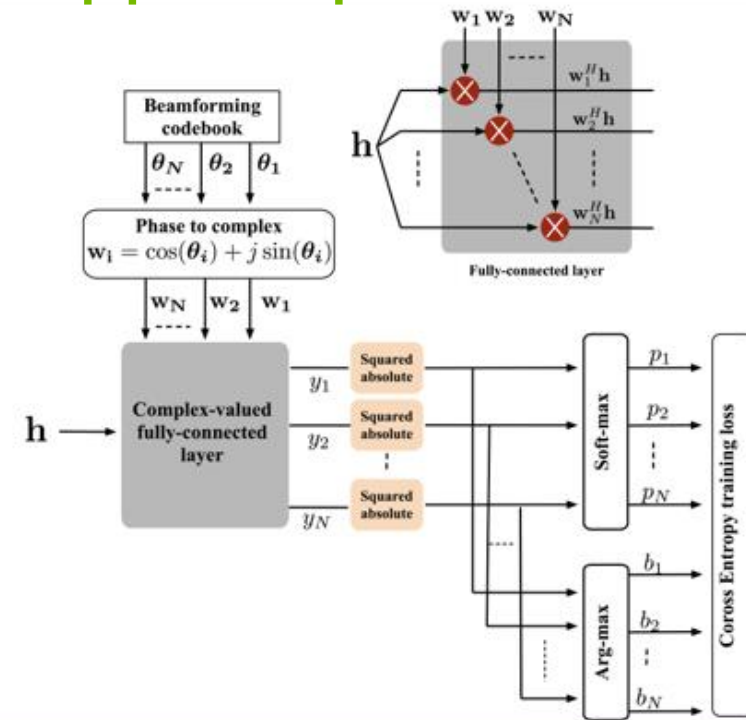
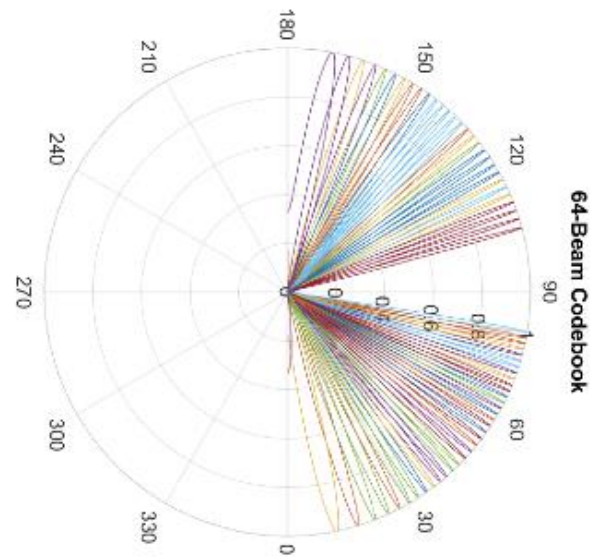




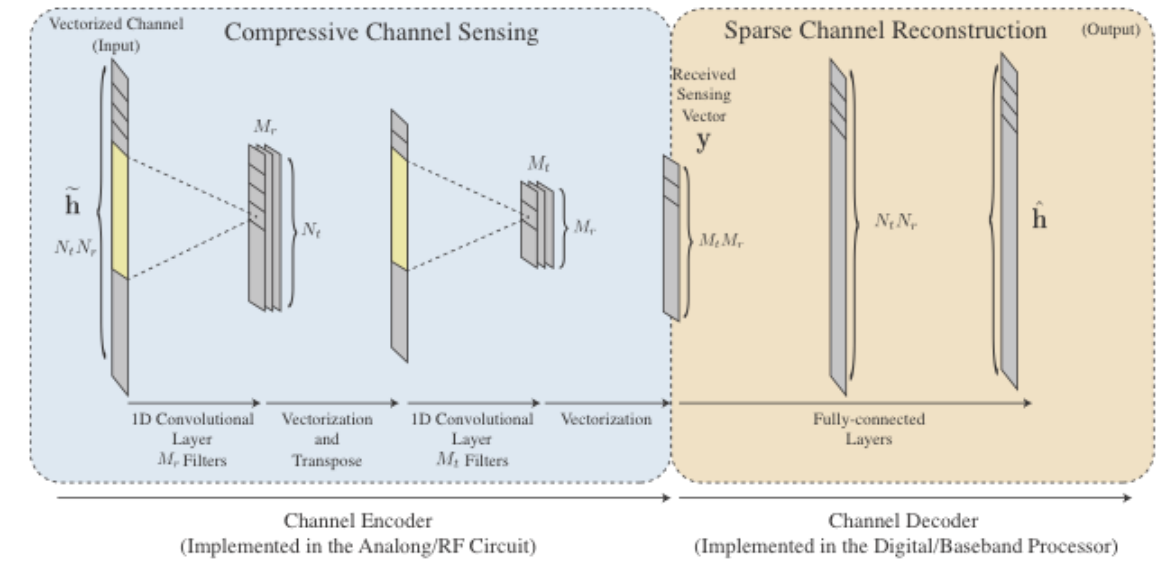
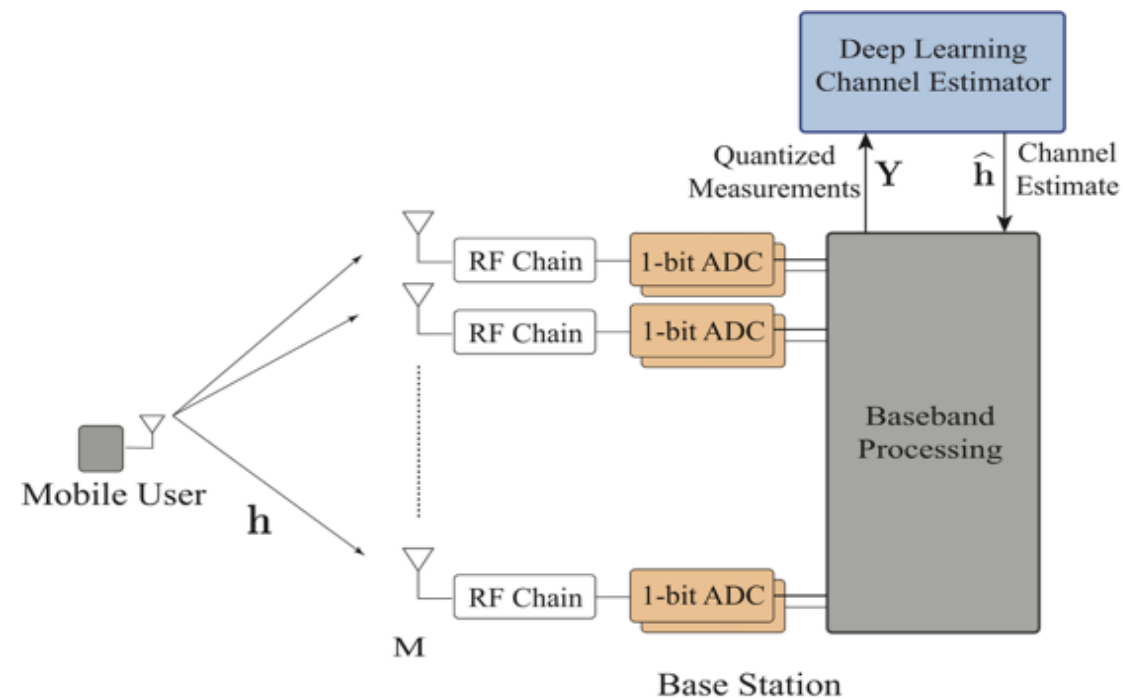
# DEEP LEARNING APPLICATIONS IN 5G

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Deep learning supports practical large-scale MIMO transceivers



## Environment and hardware-aware codebook learning



## Deep learning for hybrid beam prediction and channel estimation

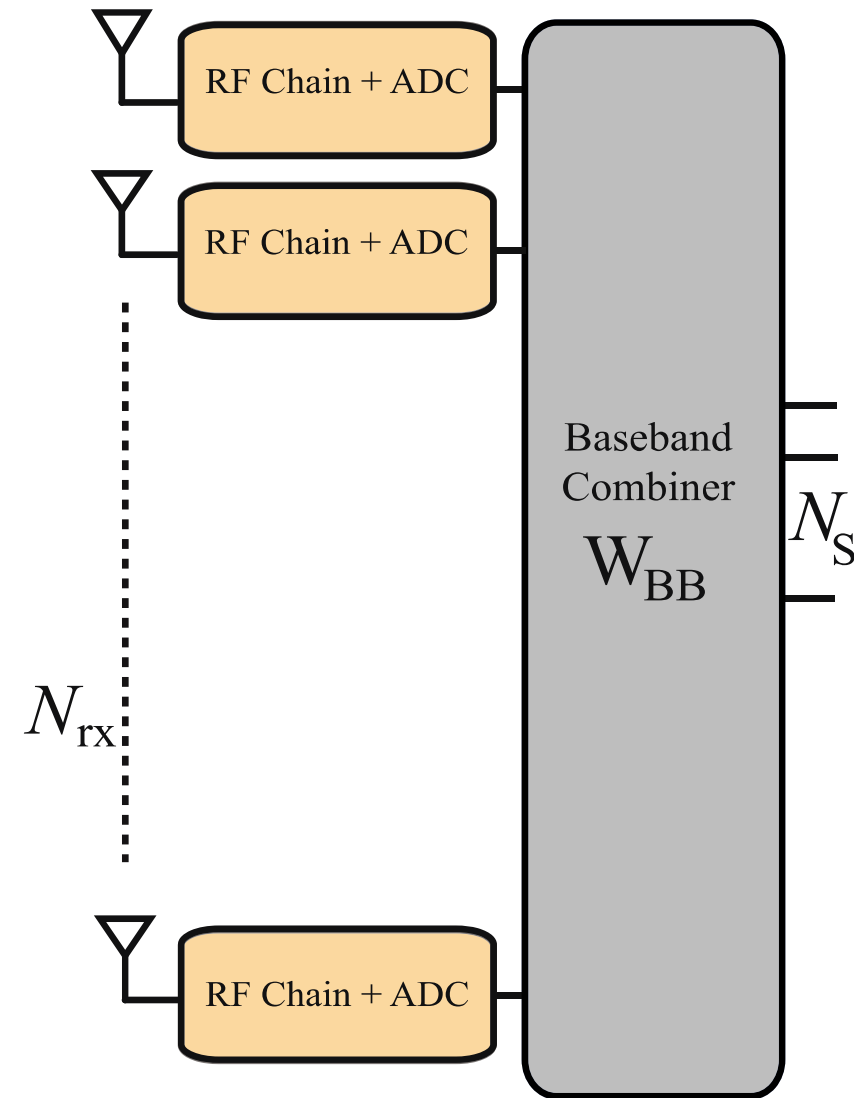




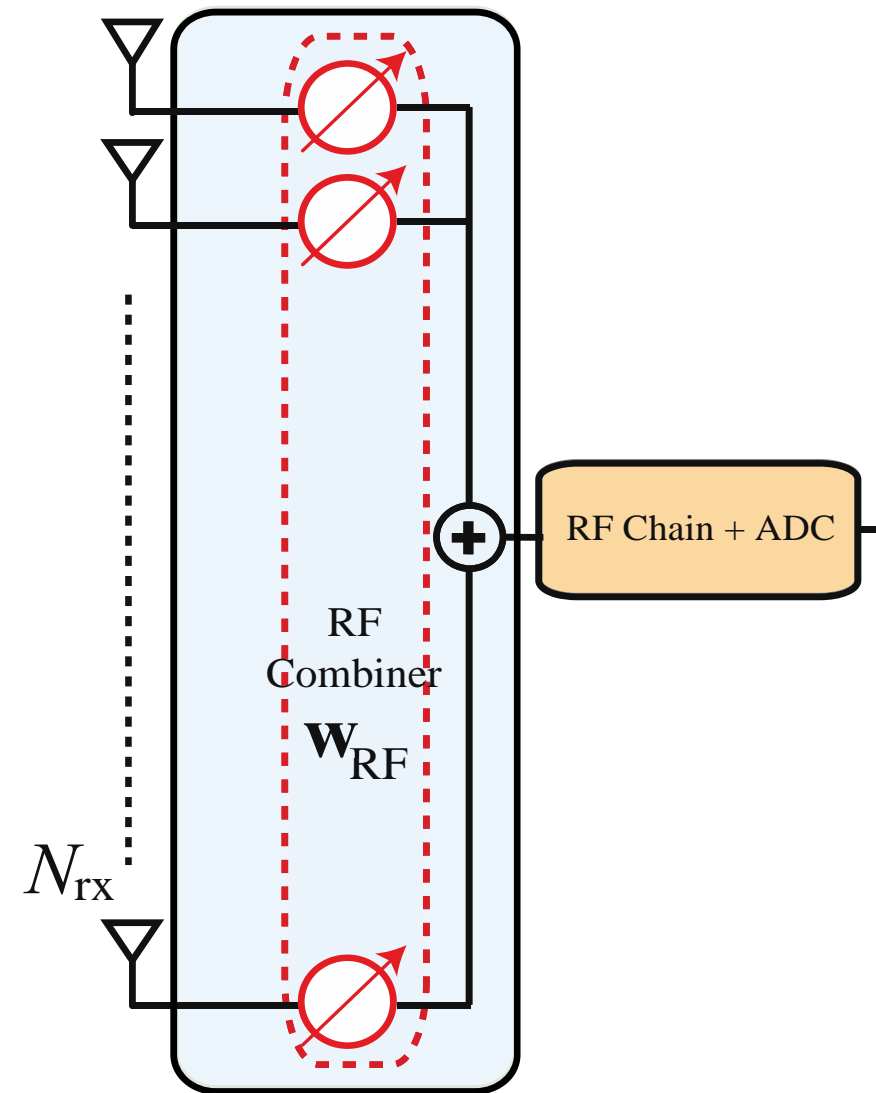
**AUTO-PRECODER**

# BACKGROUND AND MOTIVATION

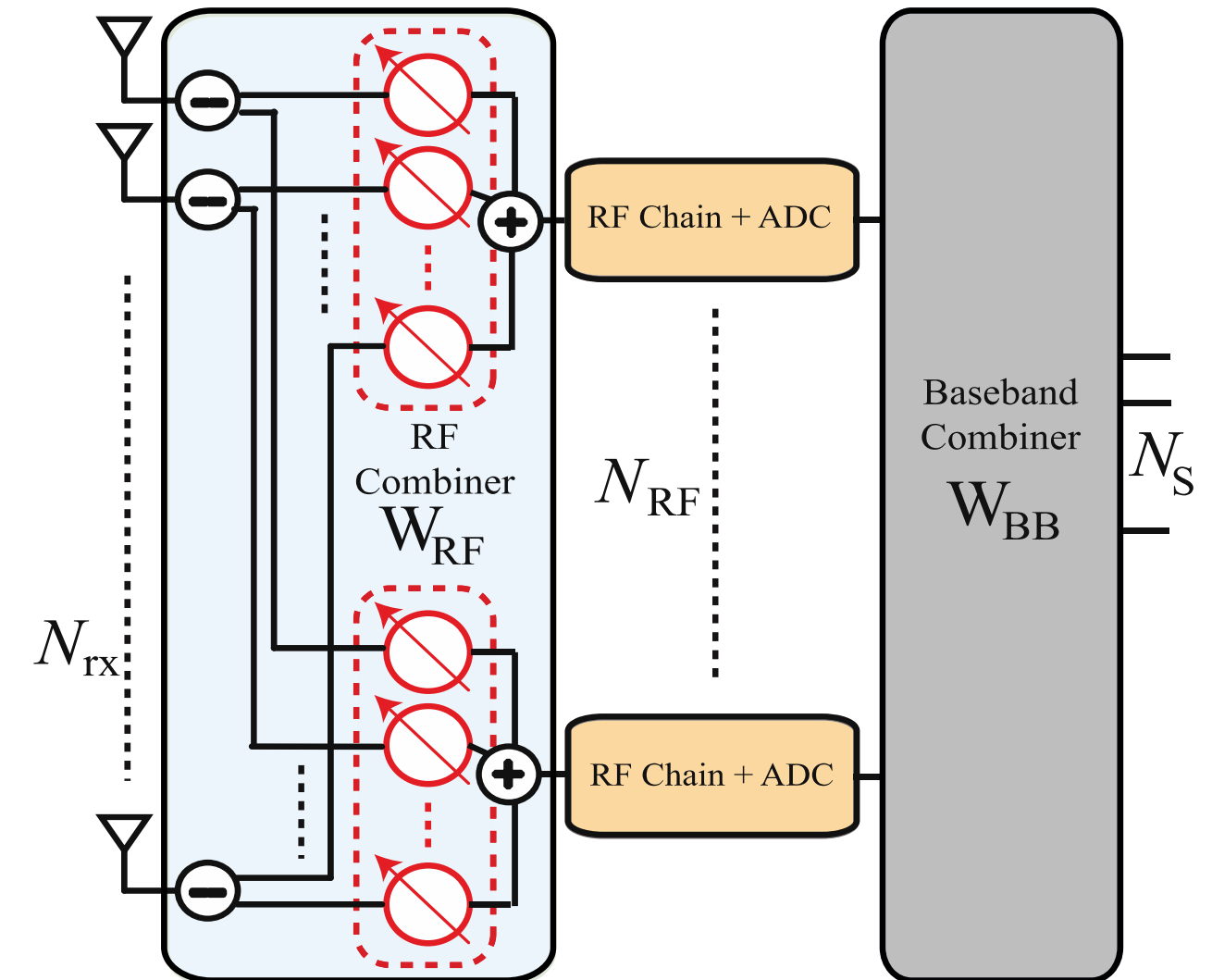
Why hybrid analog-digital architectures?



Fully-digital



Analog-only

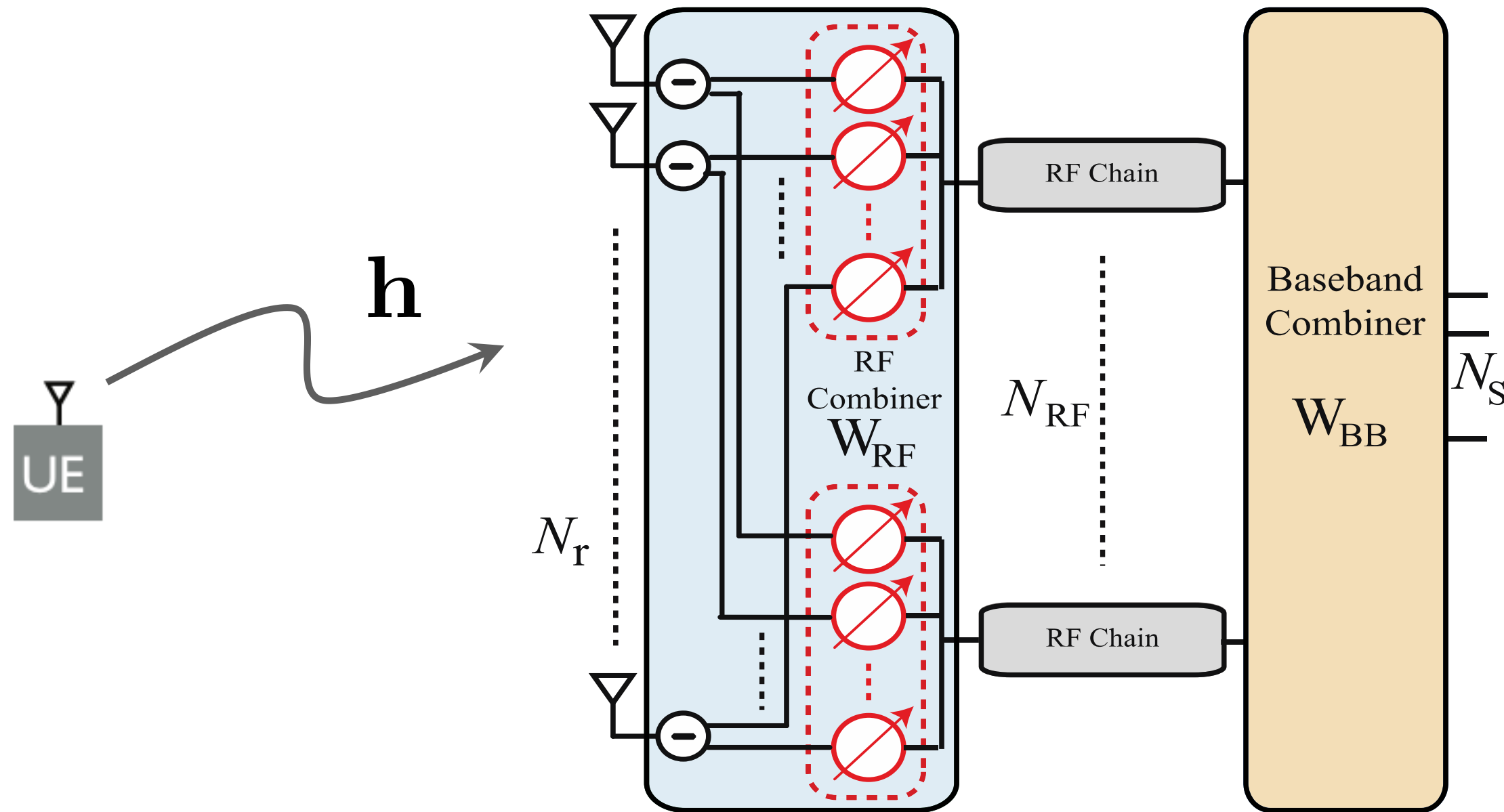


Hybrid analog/digital

Hybrid analog/digital architectures achieve high data rates with reasonable complexity

# BACKGROUND AND MOTIVATION

Channel estimation is challenging!



Channel is seen through the RF lens

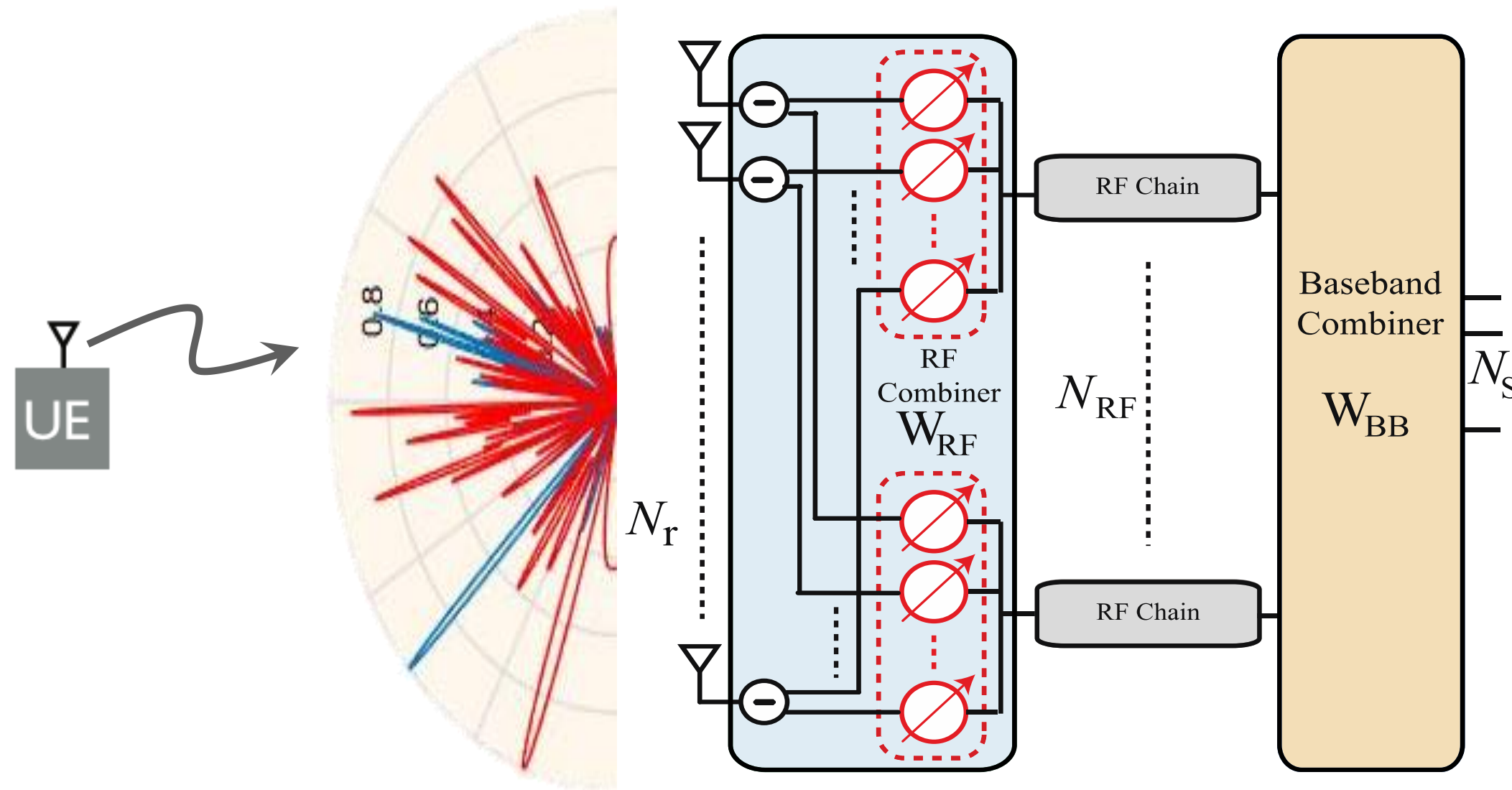
Analog circuits add strict constraints

Leveraging hybrid architectures requires developing efficient channel estimation solutions



# BACKGROUND AND MOTIVATION

Classical channel estimation approaches for hybrid architectures



## Classical Compressive Sensing Approach

Sensing the channel with random beam patterns

Sparse channel reconstruction using approaches such as OMP

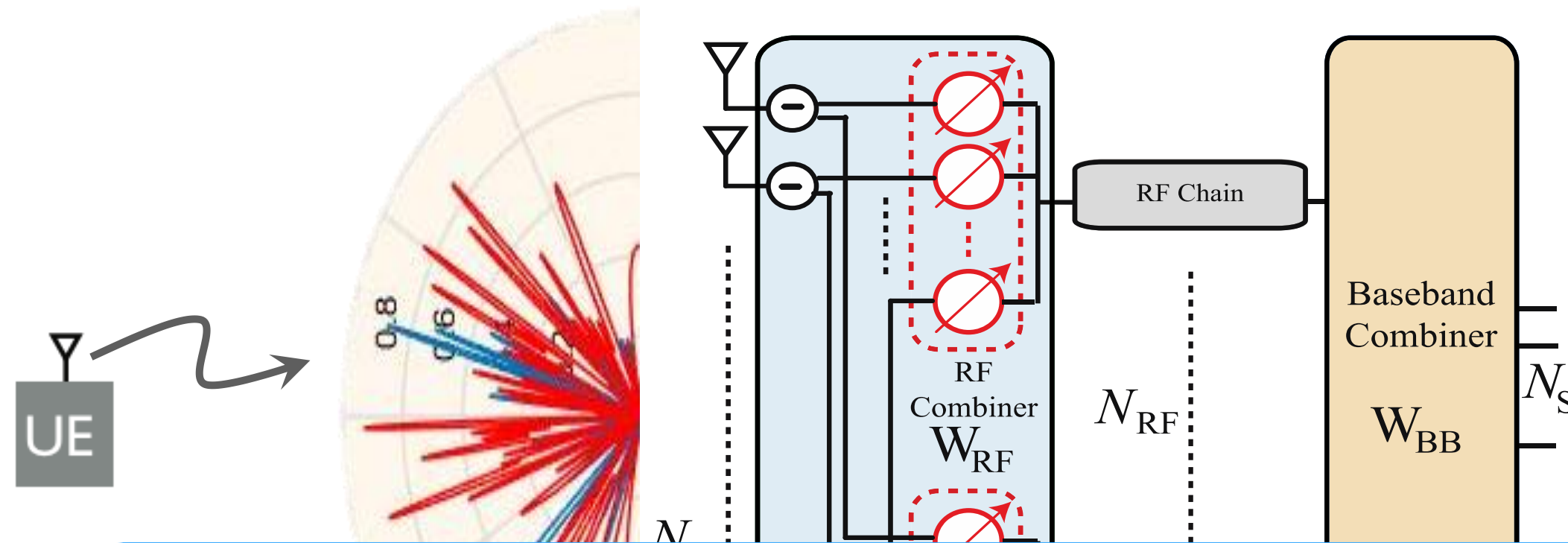
## Limitations

Random beams sense directions that may never be used

Prior channel observations are not leveraged

# BACKGROUND AND MOTIVATION

Classical channel estimation approaches for hybrid architectures



## Classical Compressive Sensing Approach

Sensing the channel with random beam patterns

How can deep learning help?

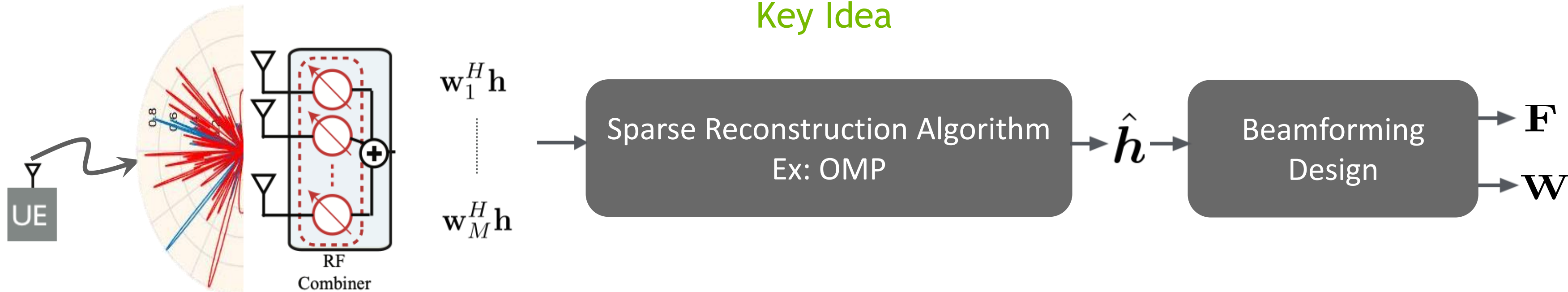
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# AUTO PRECODER

## Key Idea



mmWave channel estimation .. followed by hybrid precoding design



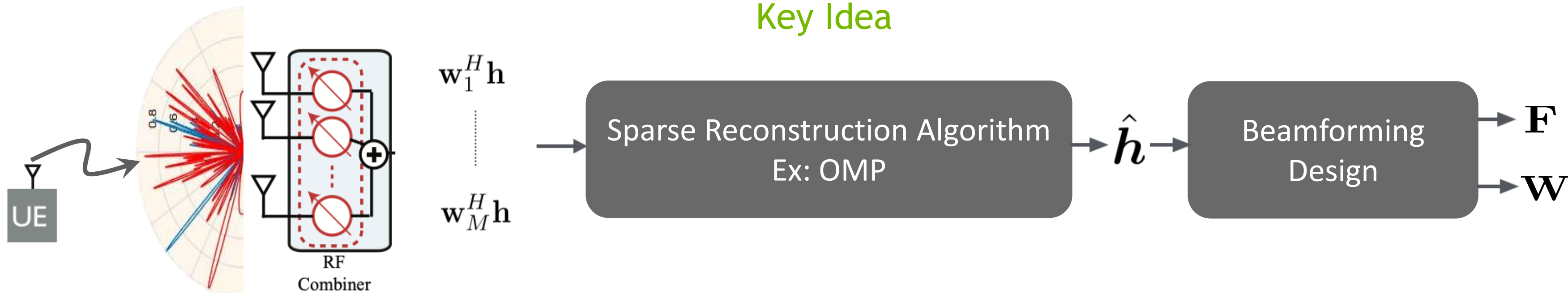
Neural network weights realize measurement beams focusing on important directions

Channel reconstruction leverages prior observation



# AUTO PRECODER

Key Idea



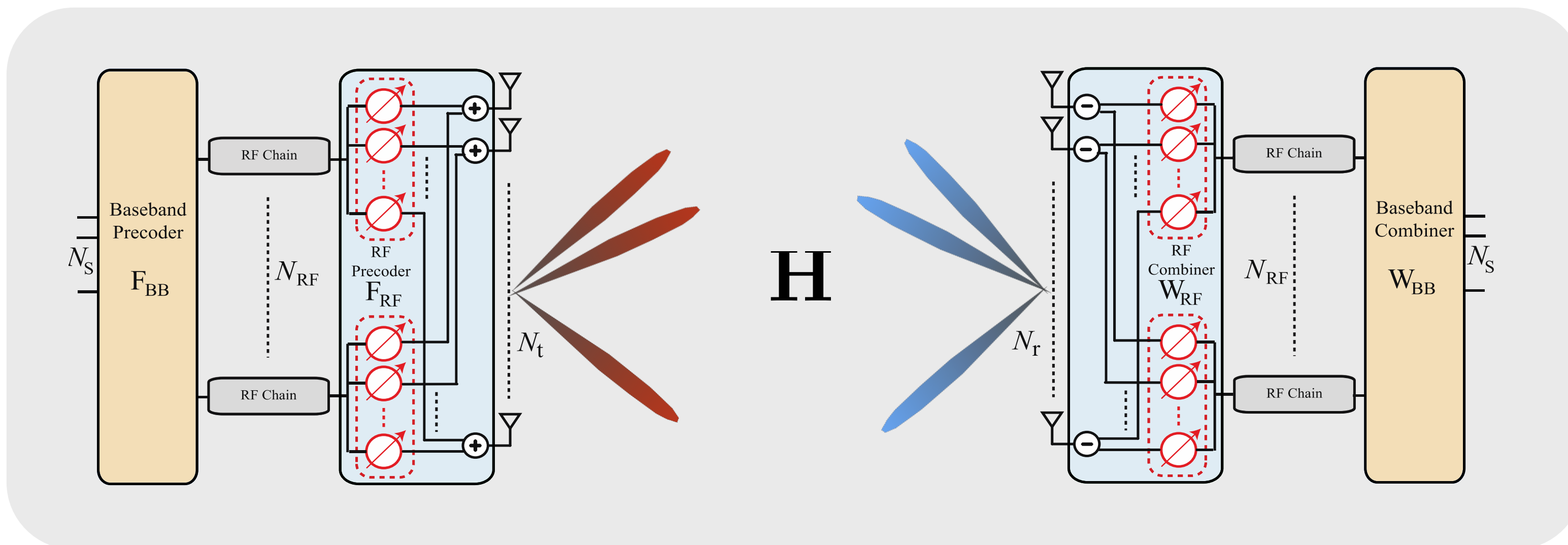
mmWave channel estimation .. followed by hybrid precoding design



Proposed "Auto-precoder": Optimizes measurements and leverages prior observations

# AUTO PRECODER

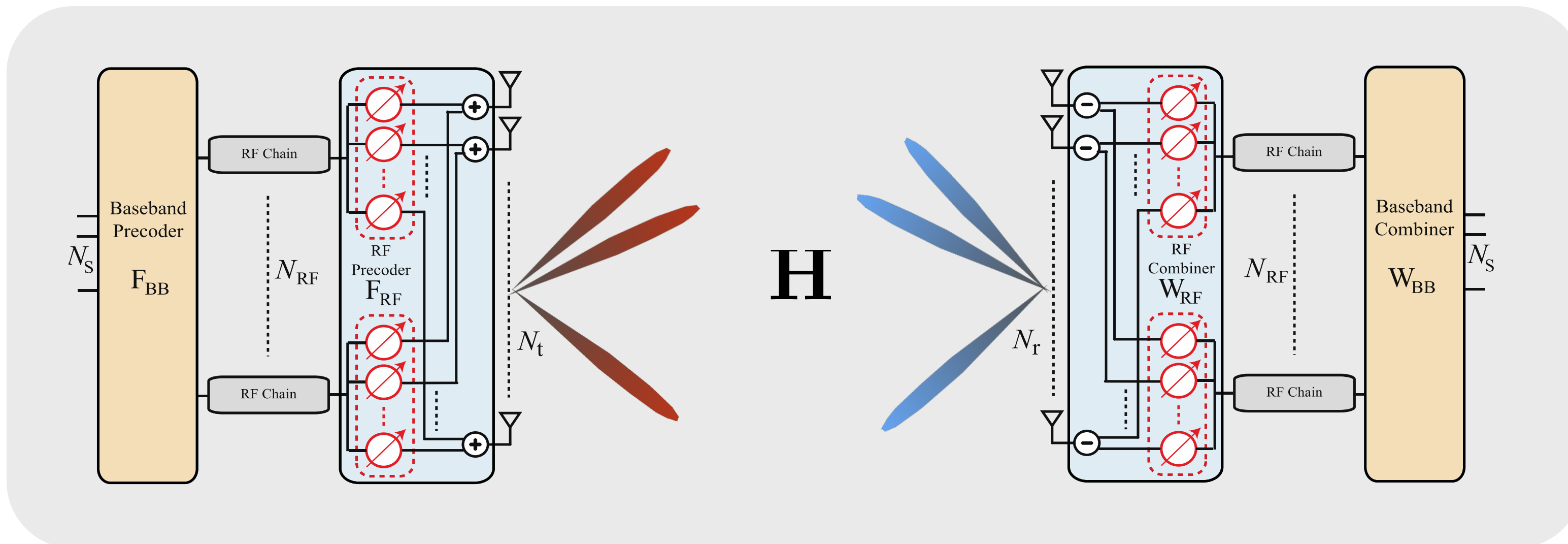
Joint channel sensing and precoder prediction



ASU  $y = Q^H H P + Q^H N$

# AUTO PRECODER

Joint channel sensing and precoder prediction

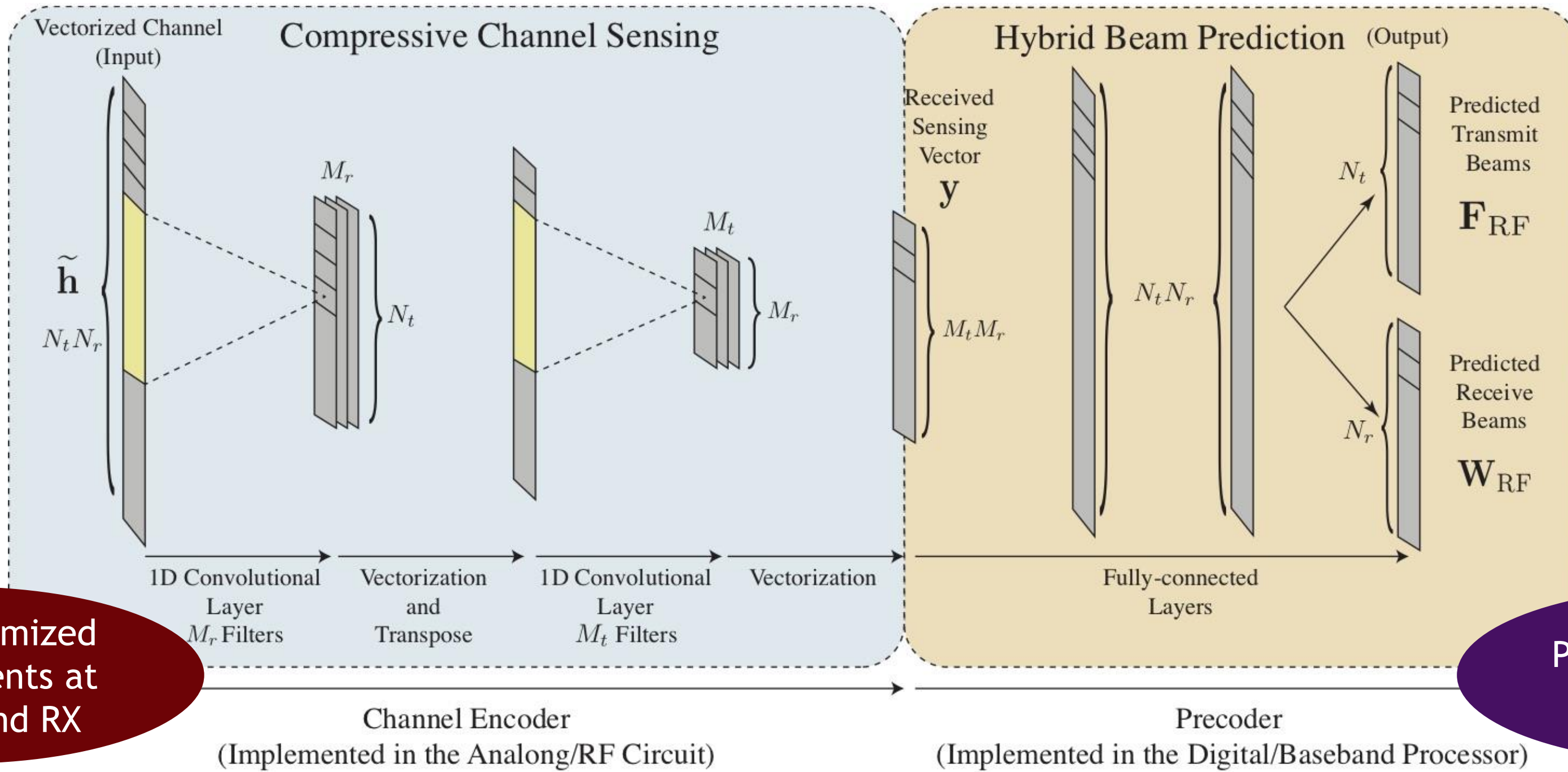


ASU  $y = Q^H H P + Q^H N$



# HYBRID BEAM PREDICTION

Joint channel sensing and hybrid beam prediction



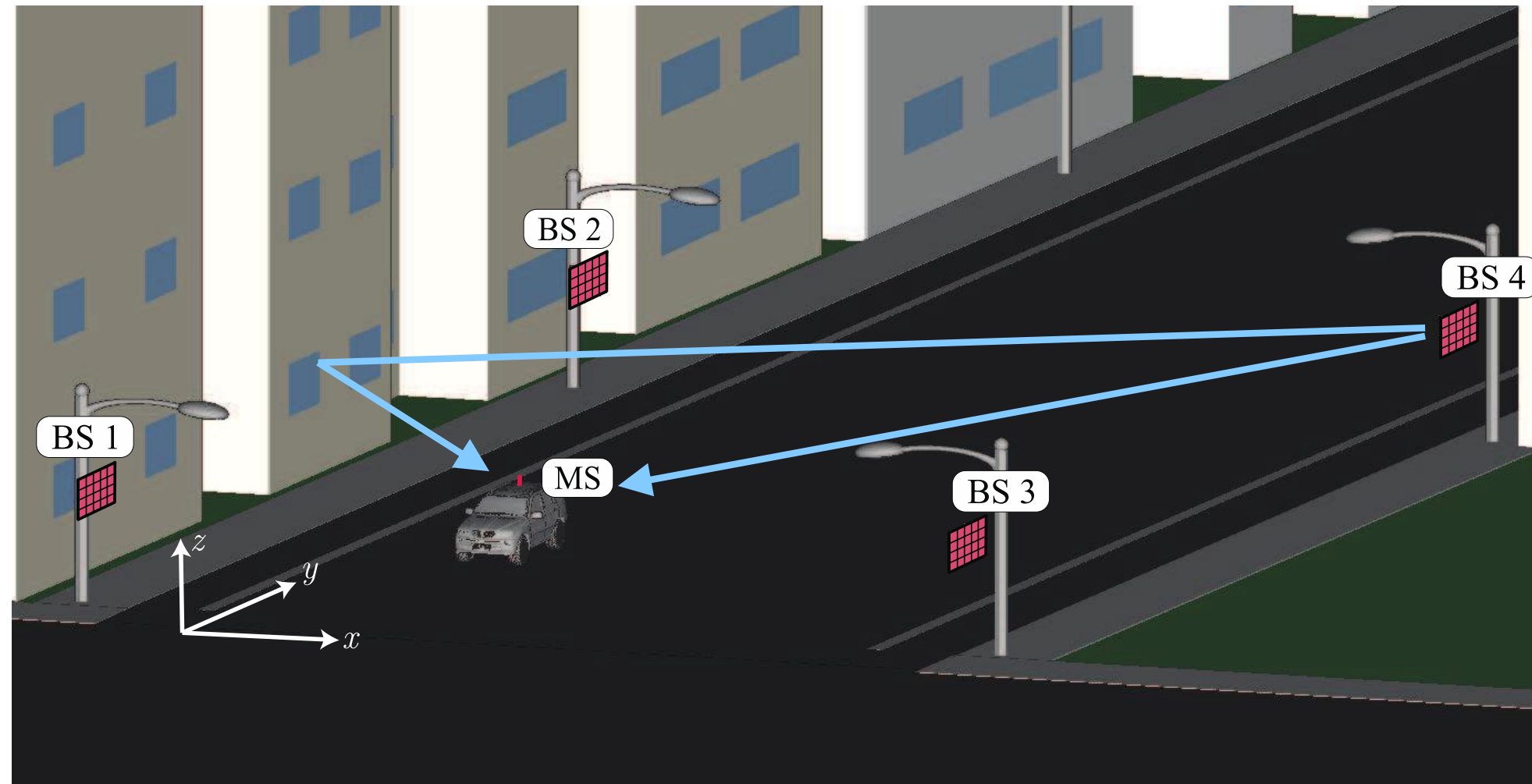
Learns optimized measurements at both TX and RX

Predicts TX and RX hybrid beams



**REAL WORLD DEPLOYMENT**

# THE NEED FOR RAY-TRACING



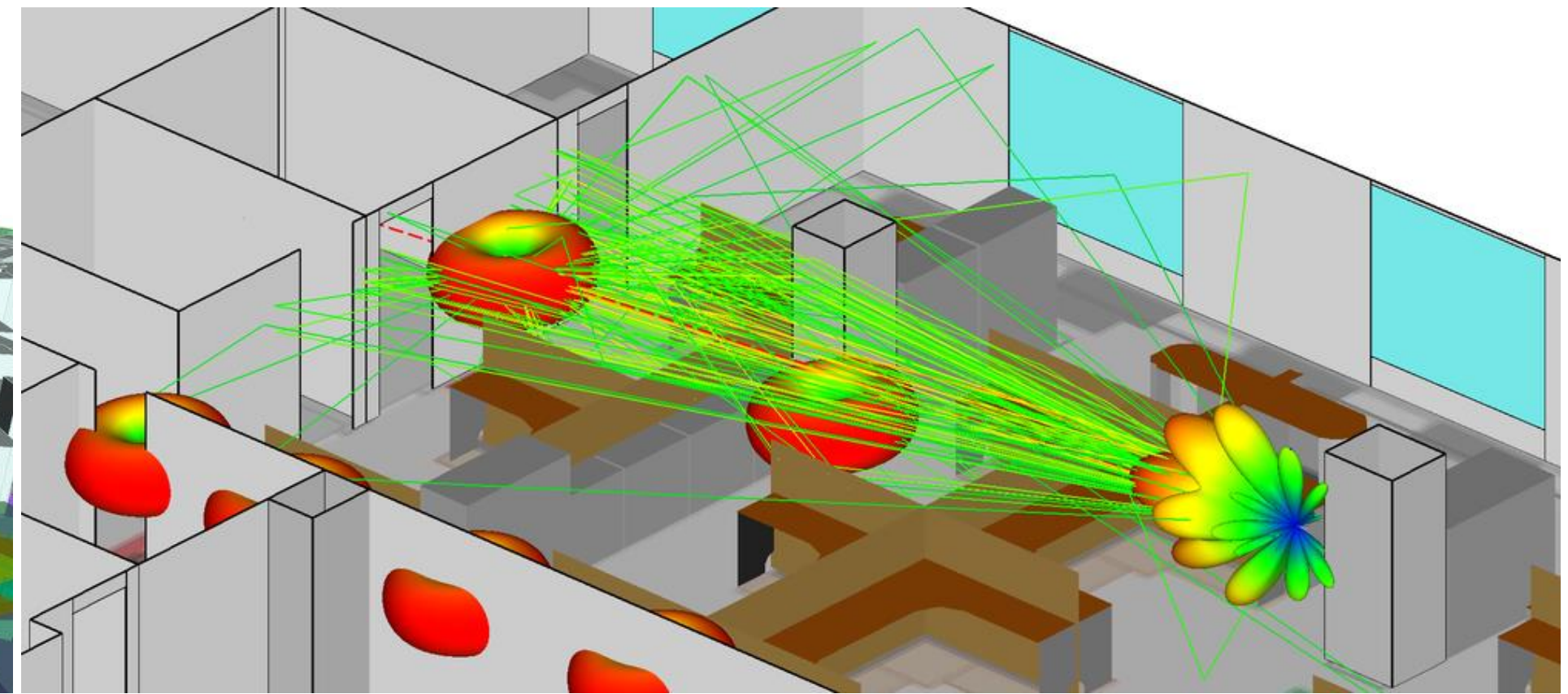
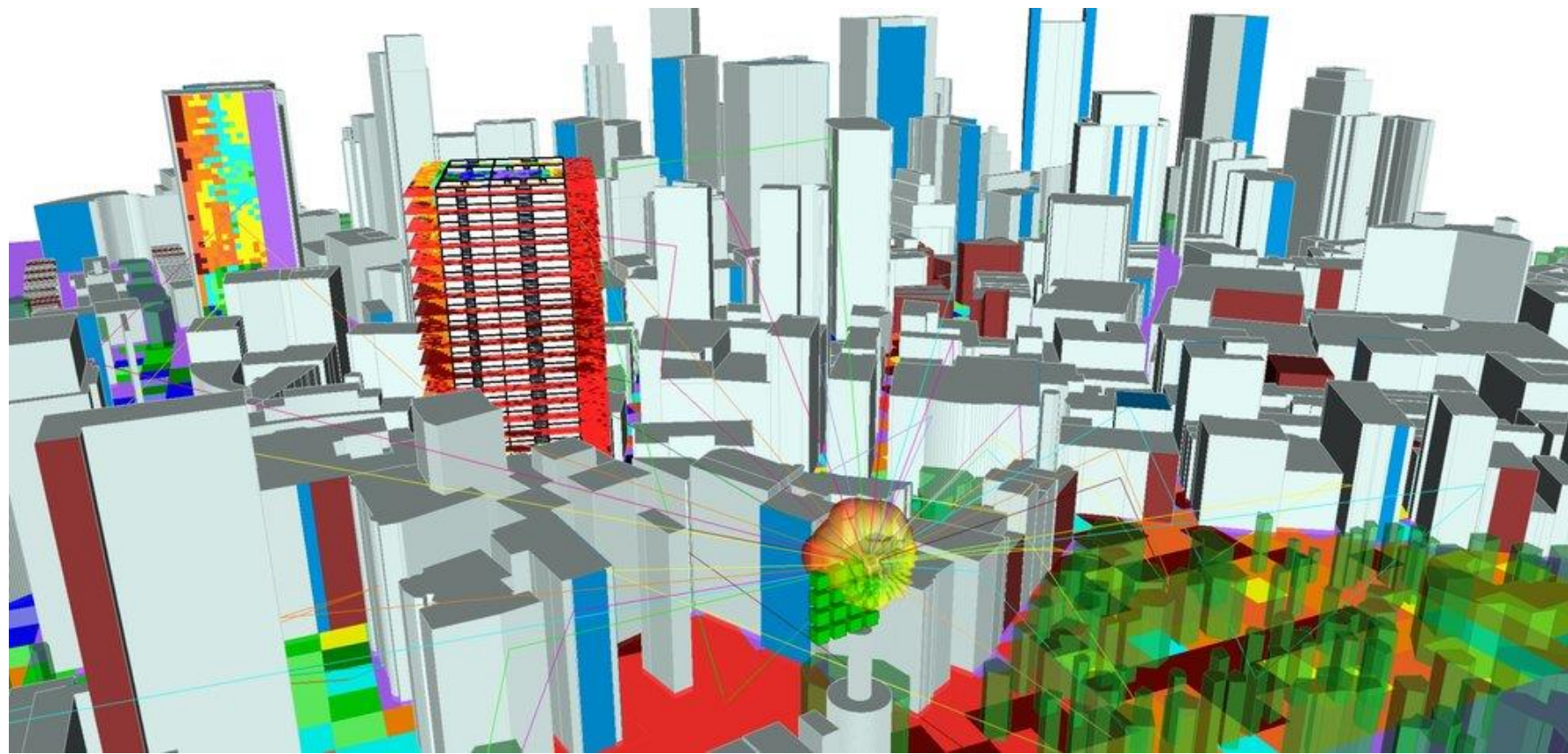
Studying the performance of the proposed deep learning approaches needs channel datasets

Generated channels should capture the dependency on the environment

Accurate 3D ray-tracing simulators could be the solution



# REMCOM WIRELESS INSITE: AN ACCURATE RAY-TRACING TOOL



Accurate 3D ray-tracing

Advanced propagation models

MIMO capabilities

Ray-tracing results have been validated with measurements at both sub-6GHz and mmWave



# DEEPMIMO: A DEEP-LEARNING DATASET FOR MIMO SYSTEMS

<https://www.deepmimo.net/>

Captures the dependence on environment, locations, etc.

Generic/parametrized for system & channels

Enables accurate definition and simple reproduction

A ray-tracing scenario 'R'

Channel parameters

DeepMIMO dataset generation code

The DeepMIMO dataset of scenario 'R' and parameters  $\mathcal{S}$

DeepMIMO dataset parameters  $\mathcal{S}$

Active BSs
Active users
Number of BS Antennas
Antenna spacing
Number of OFDM subcarriers
OFDM sampling factor
OFDM limit
Number of paths

Channel matrices between every TX and RX

In addition to other features such as TX/RX locations



The DeepMIMO dataset enables a wide range of machine learning tasks



# 1-DATA COLLECTION: MMWAVE PRECODING PREDICTION

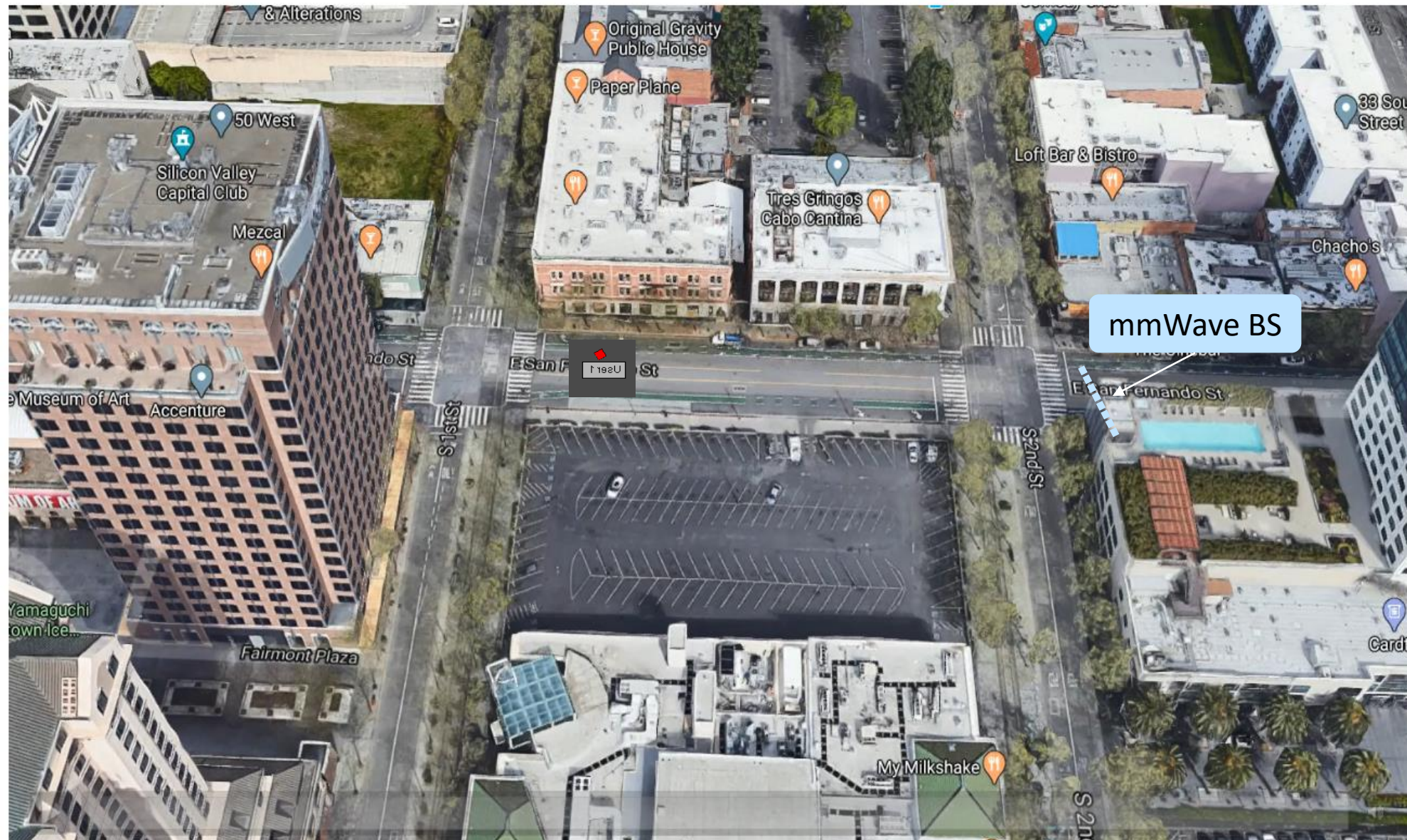
Data Collection and Evaluation Using Accurate 3D Ray Tracing





# 1-DATA COLLECTION: MMWAVE PRECODING PREDICTION

Data Collection and Evaluation Using Accurate 3D Ray Tracing



Top View

# 1-DATA COLLECTION: MMWAVE PRECODING PREDICTION

Data Collection and Evaluation Using Accurate 3D Ray Tracing

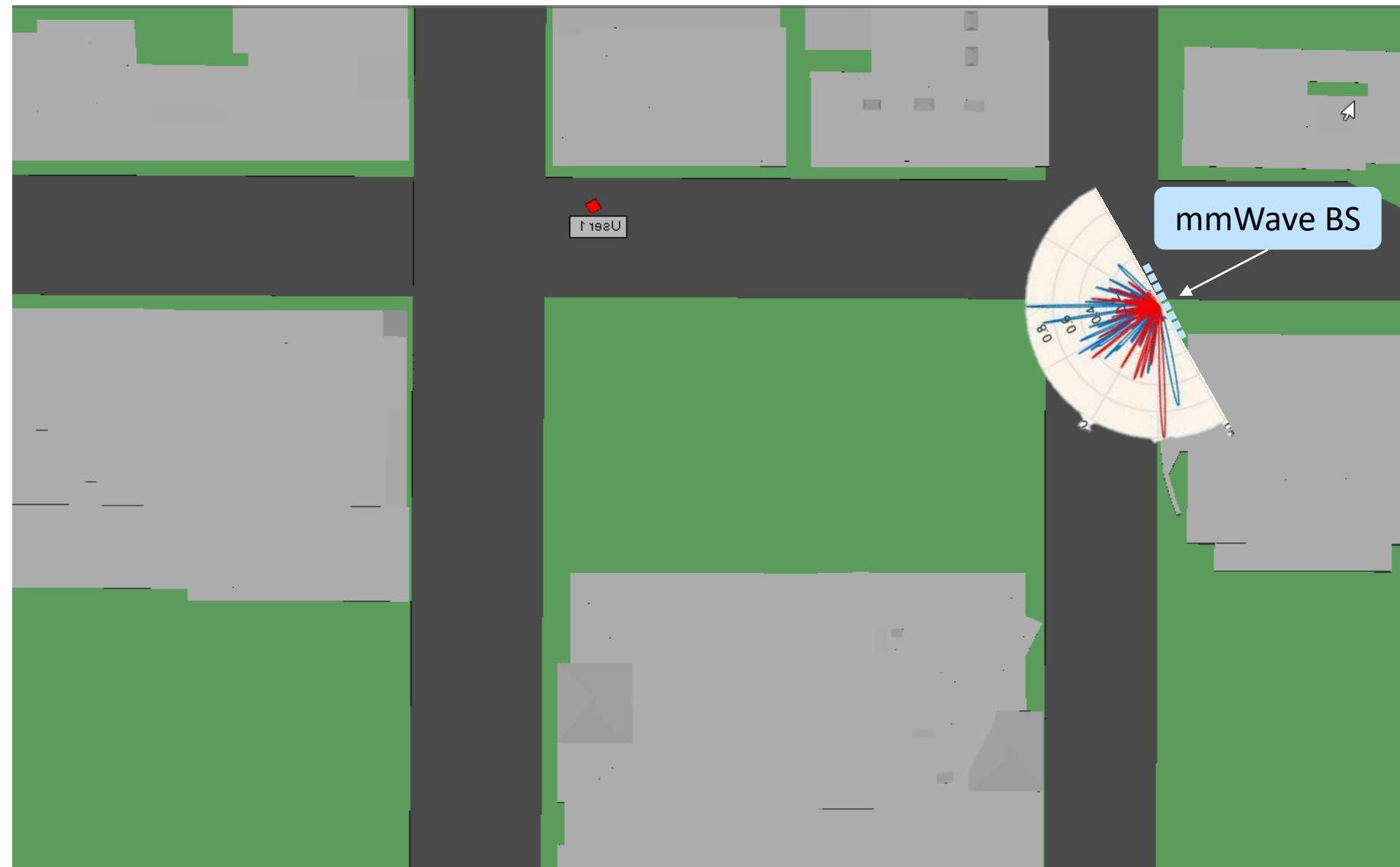


Top View



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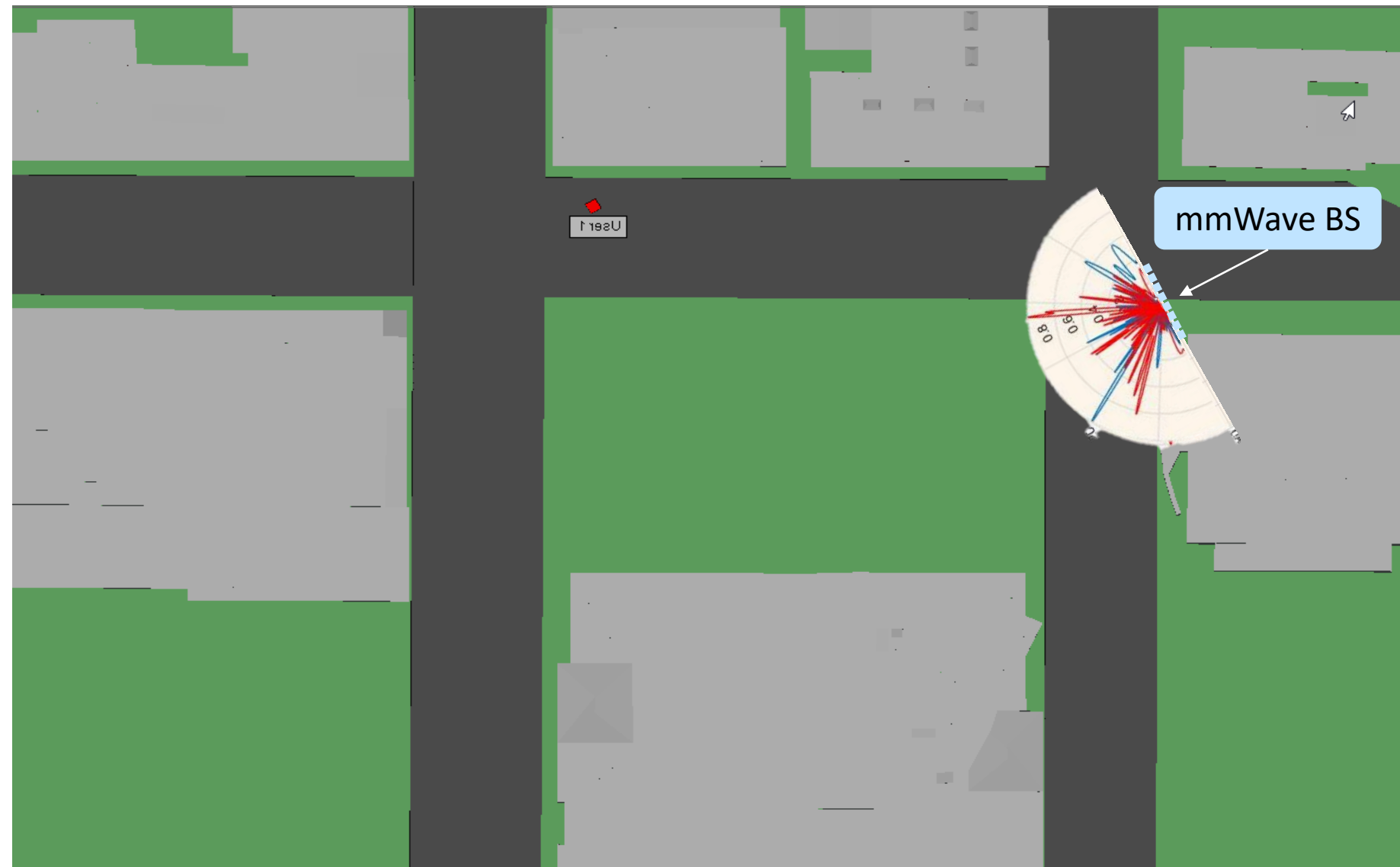
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Top View

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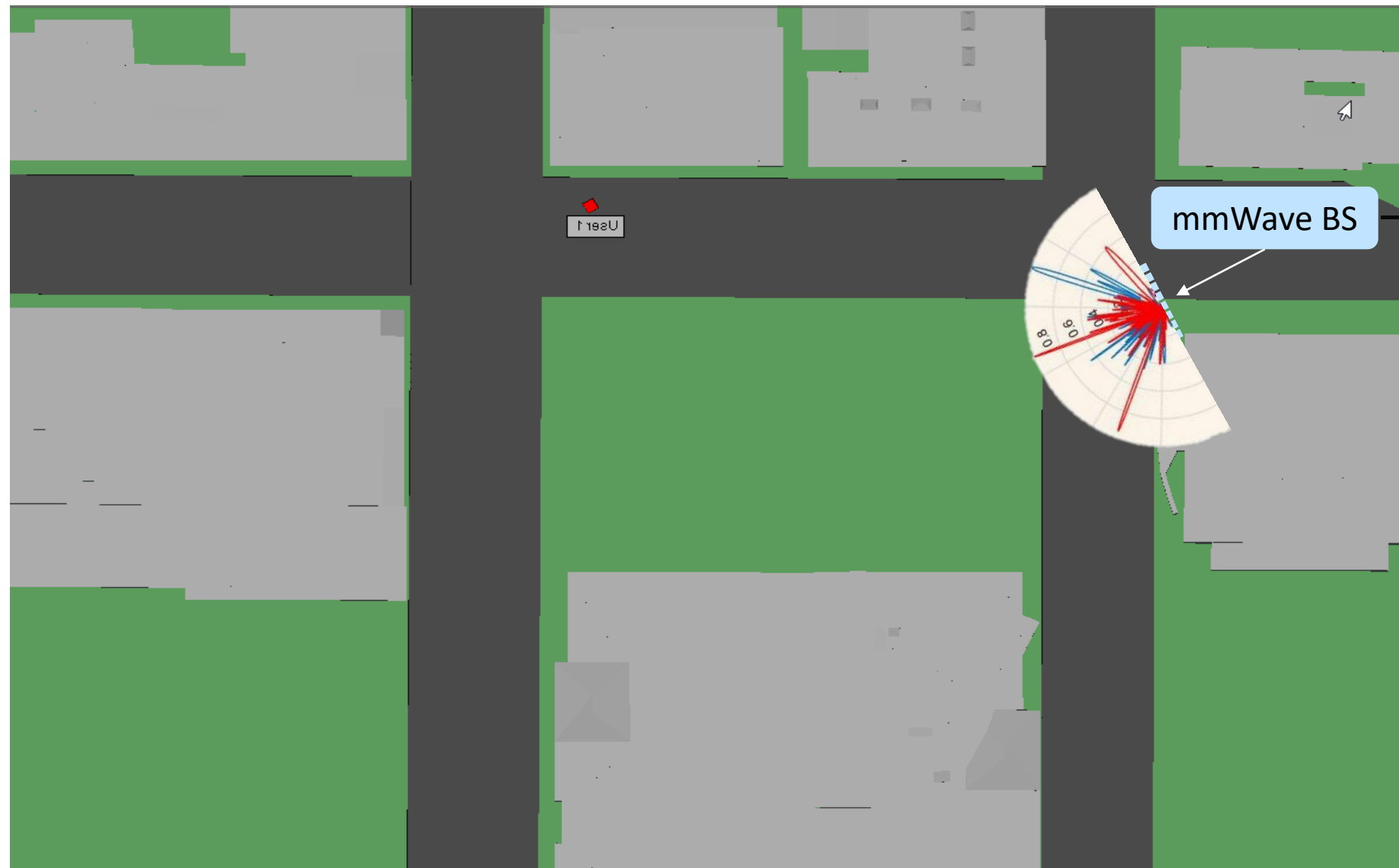


Top View

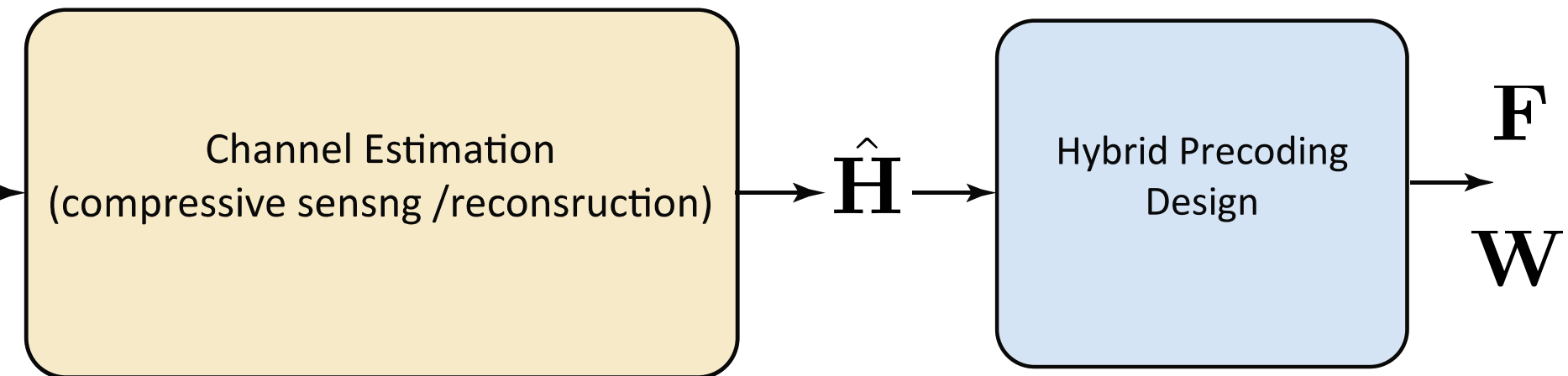


# 1-DATA COLLECTION: MMWAVE PRECODING PREDICTION

Data Collection and Evaluation Using Accurate 3D Ray Tracing



Top View



## Dataset Construction

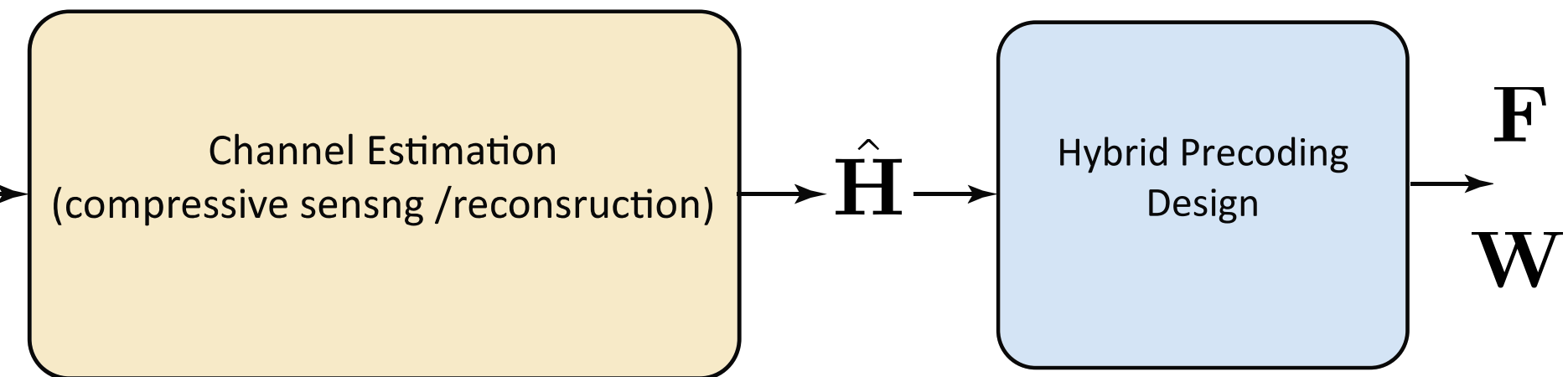
Estimated Channel	BS Beams	Mobile User Beams
$\hat{\mathbf{H}}$	$\mathbf{F}$	$\mathbf{W}$

# 1-DATA COLLECTION: MMWAVE PRECODING PREDICTION

Data Collection and Evaluation Using Accurate 3D Ray Tracing



Top View



## Dataset Construction

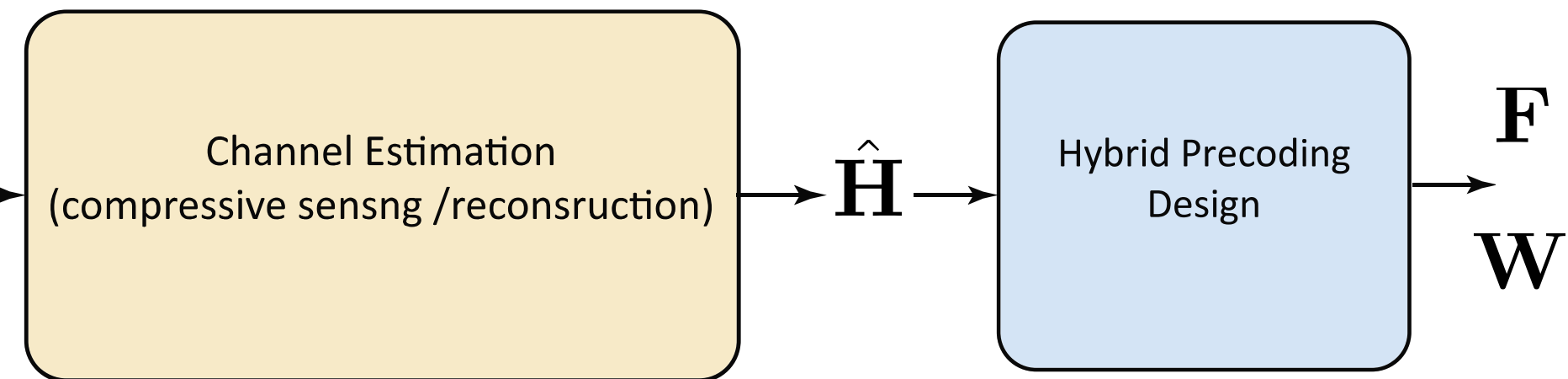
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Top View



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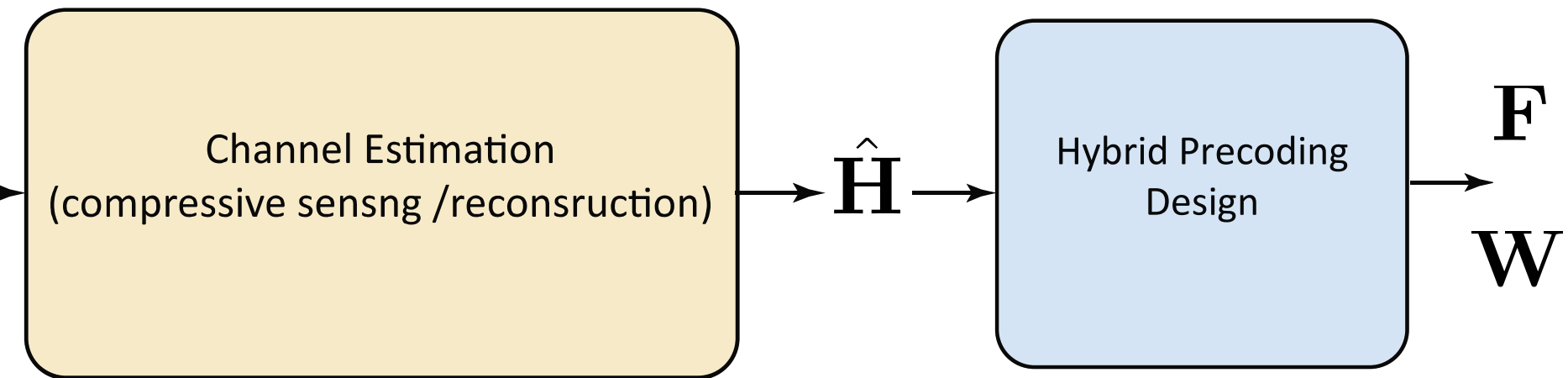
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Top View



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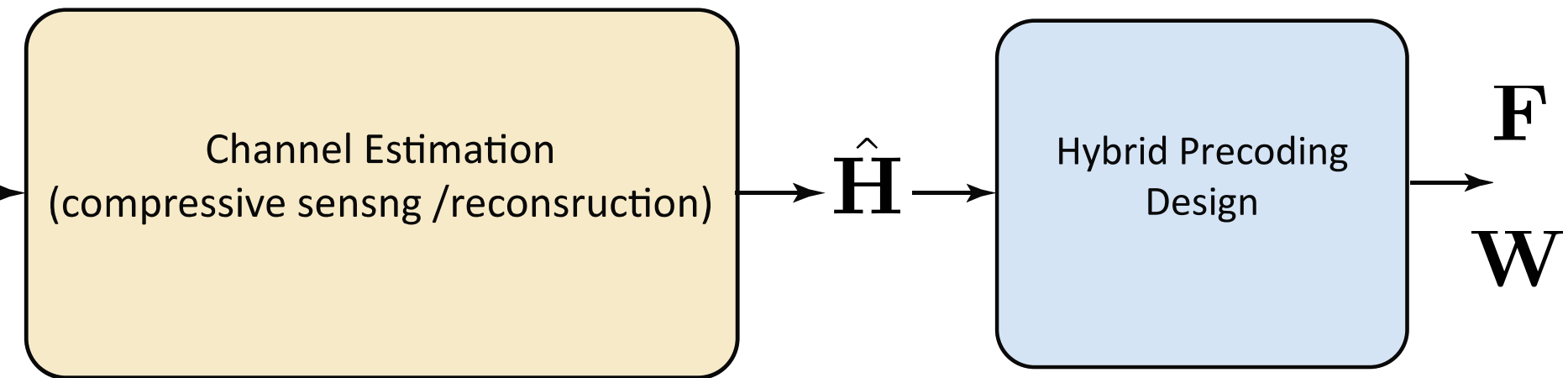


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Data Collection and Evaluation Using Accurate 3D Ray Tracing



Top View

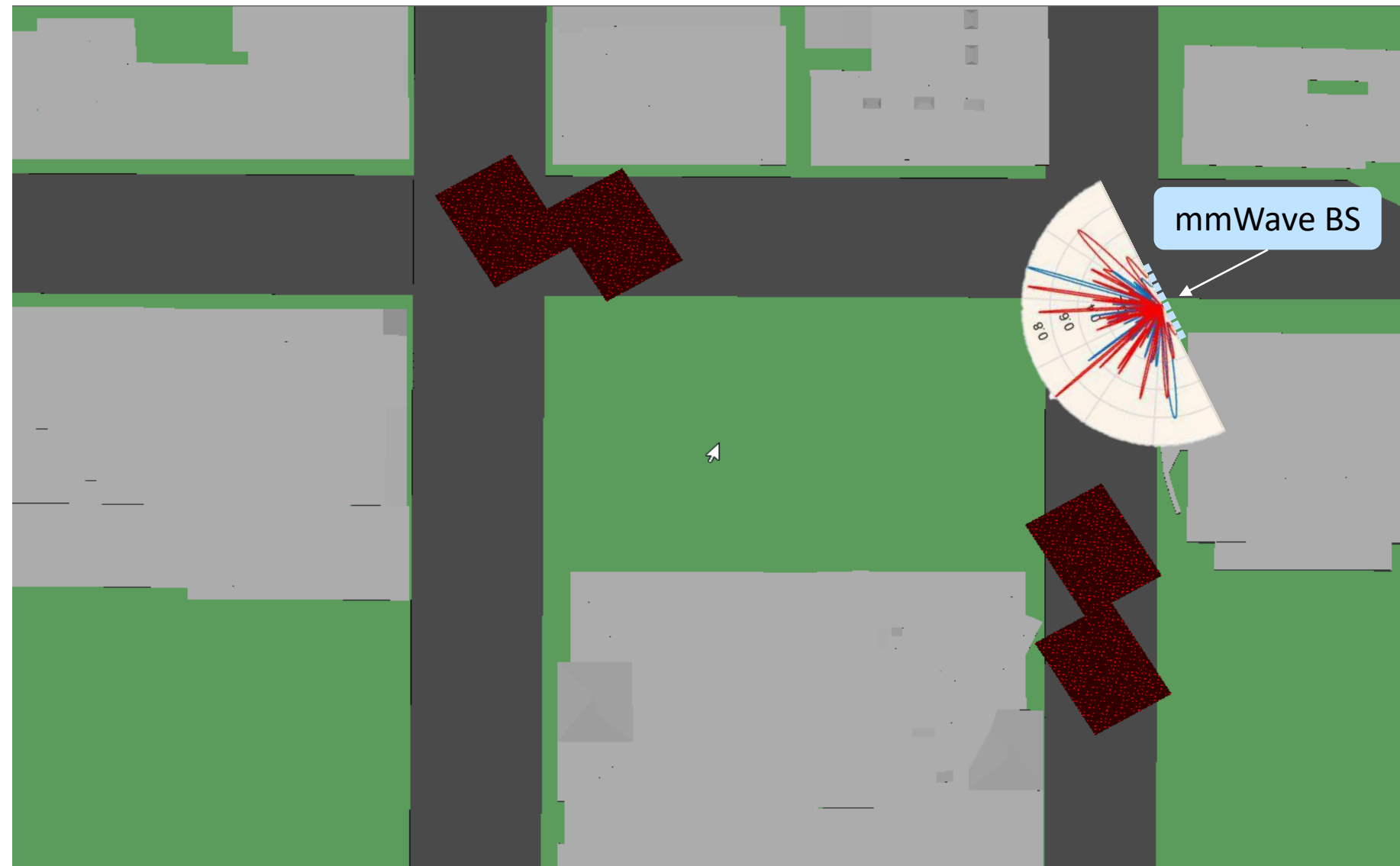


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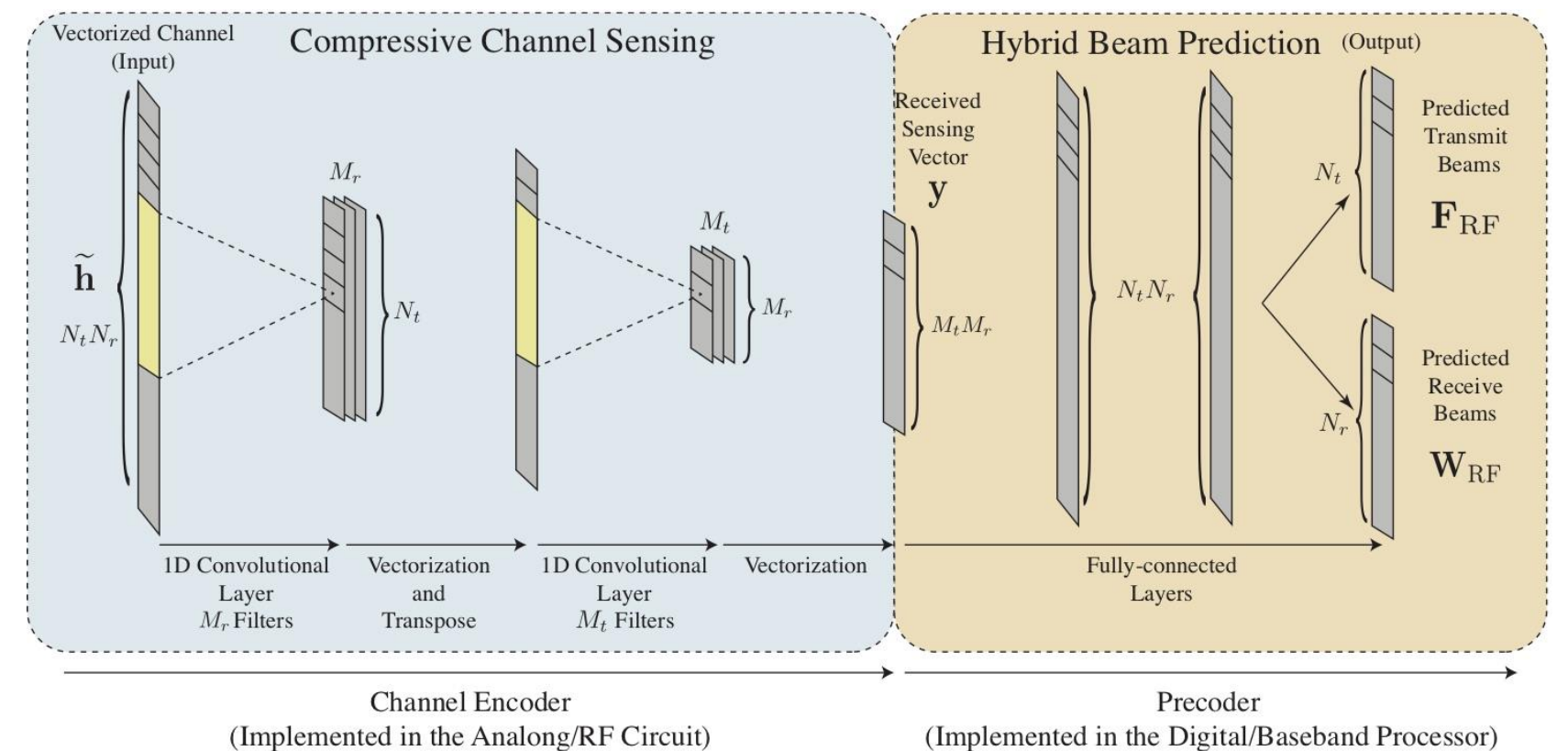
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# 2. TRAINING: MMWAVE PRECODING PREDICTION

## Training the Auto-Precoder Neural Network Model



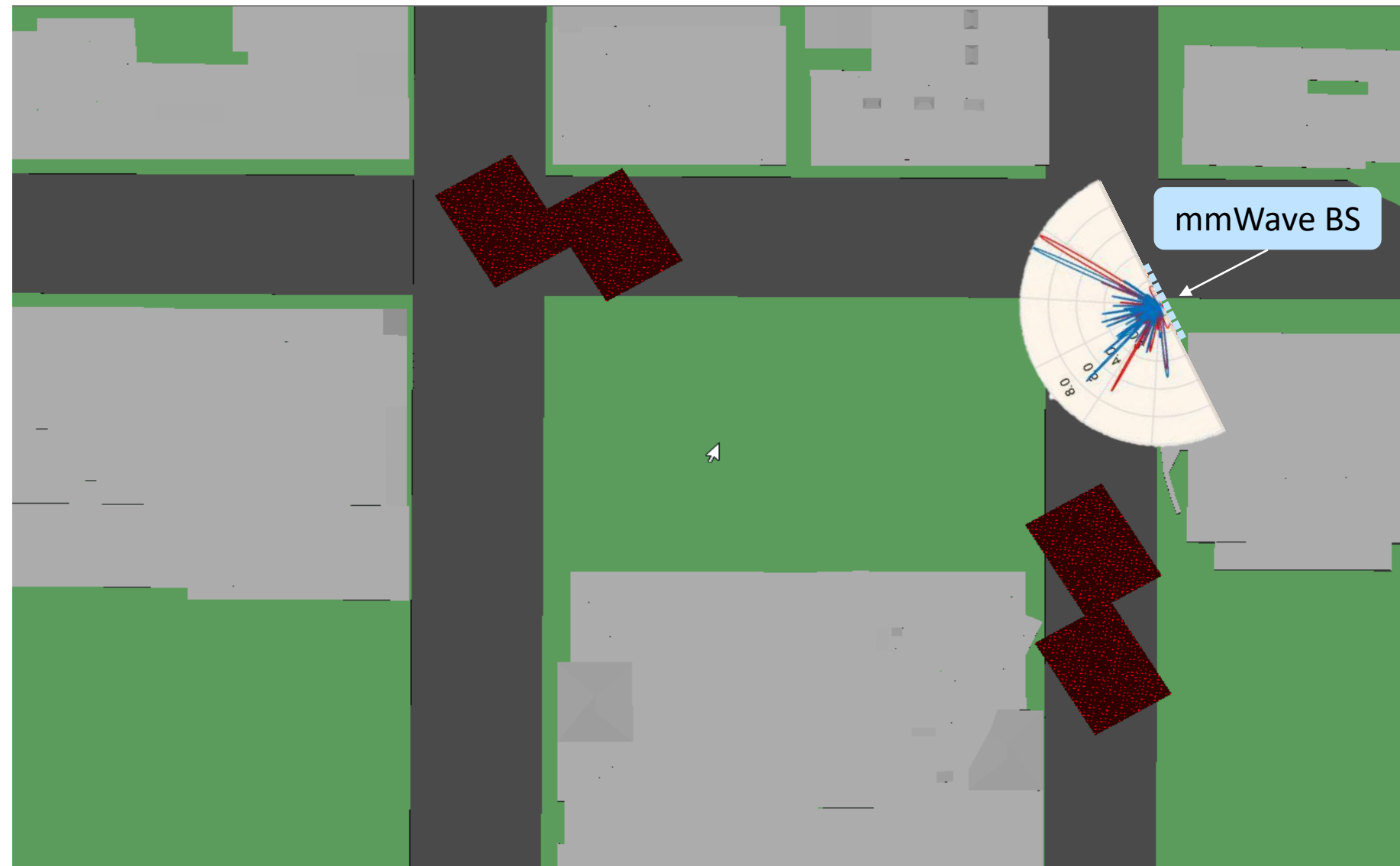
Top View



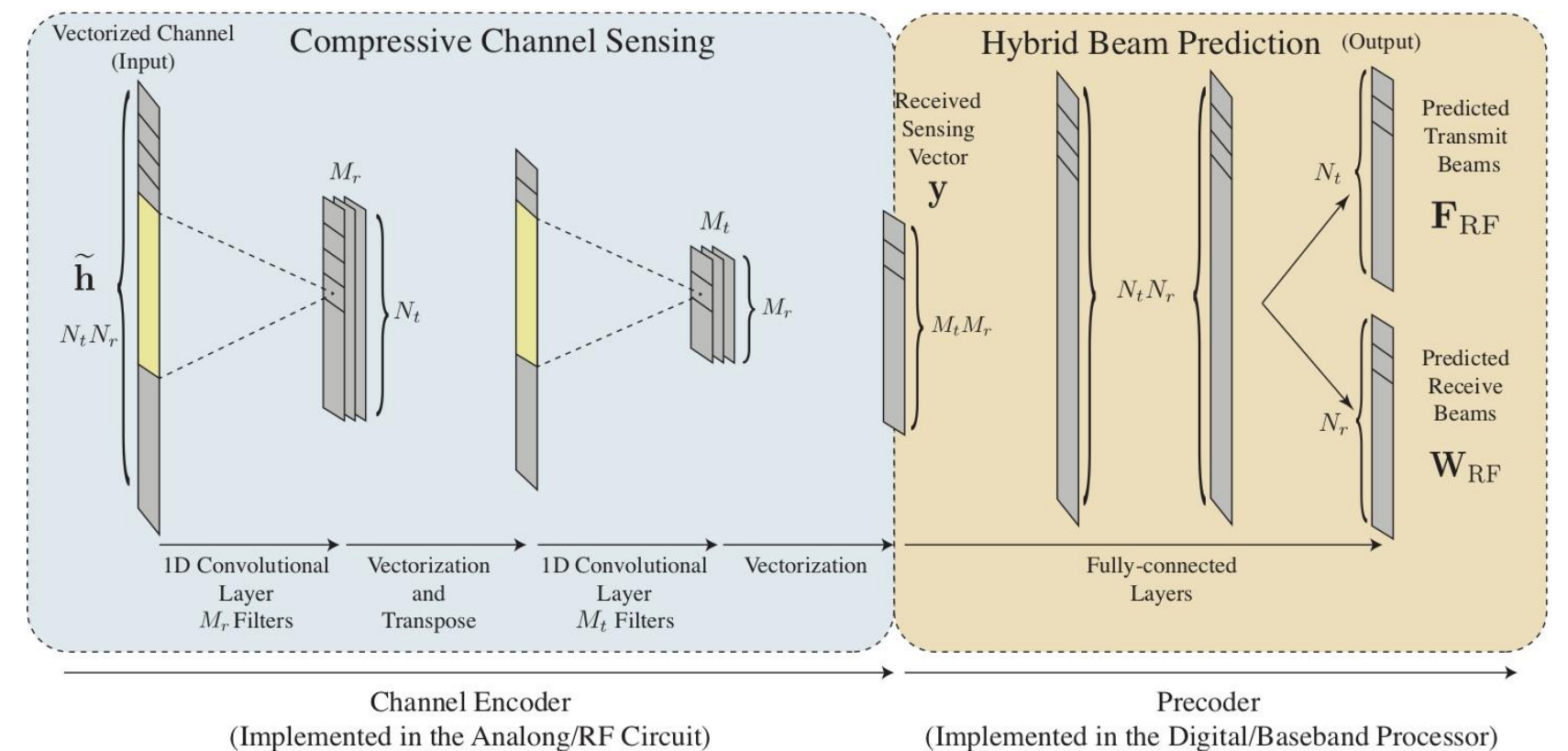
The collected dataset is used to train the NN end-to-end

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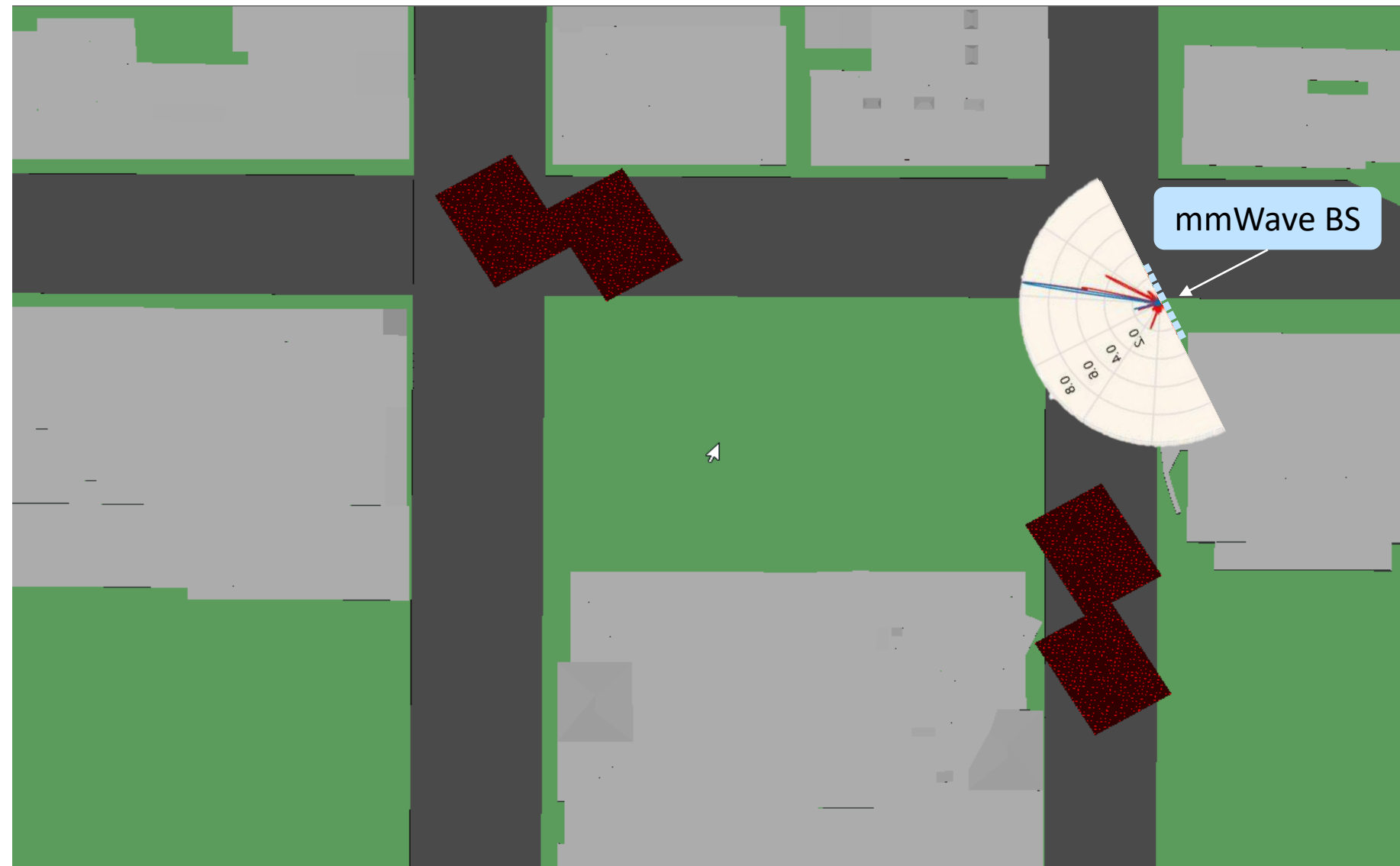
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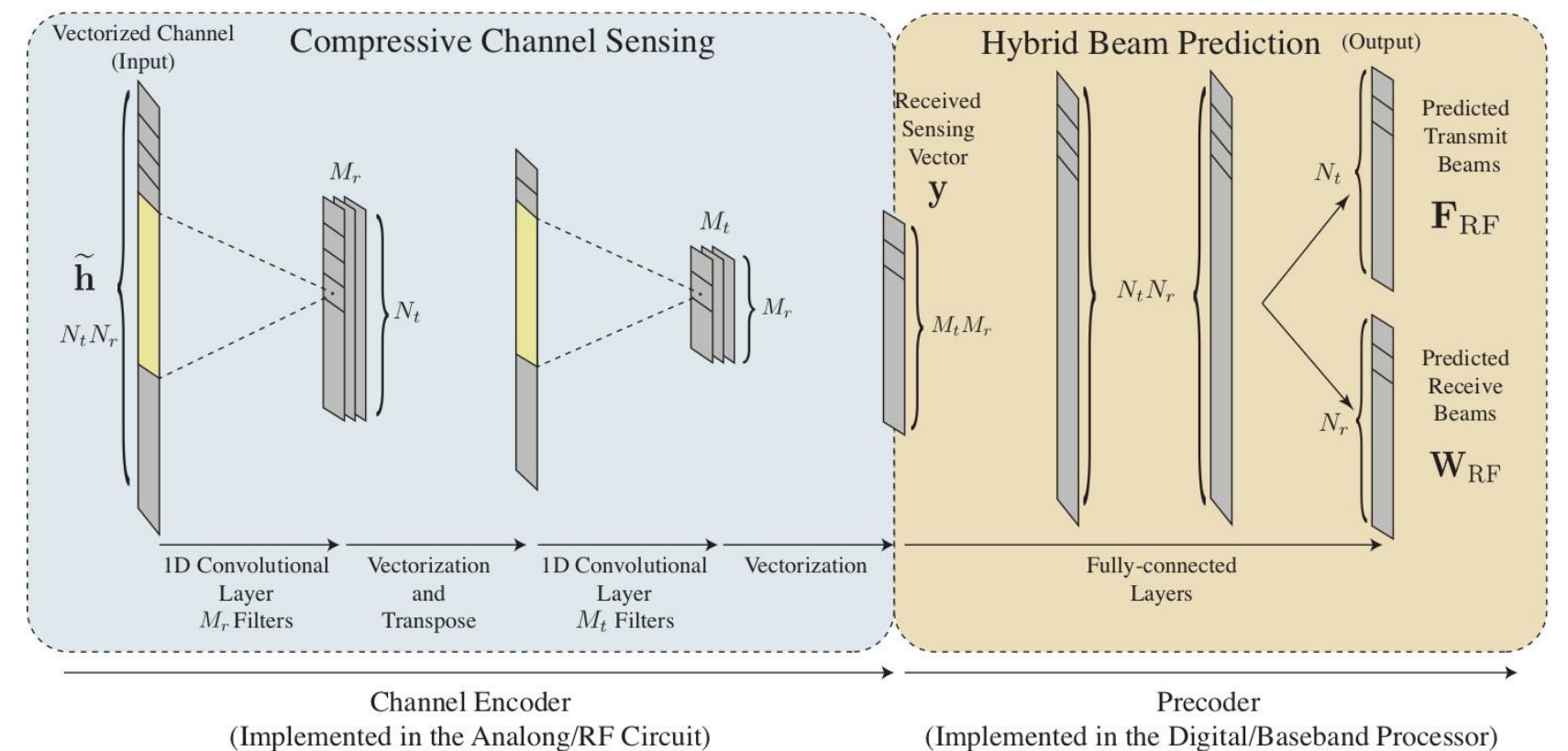
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Top View

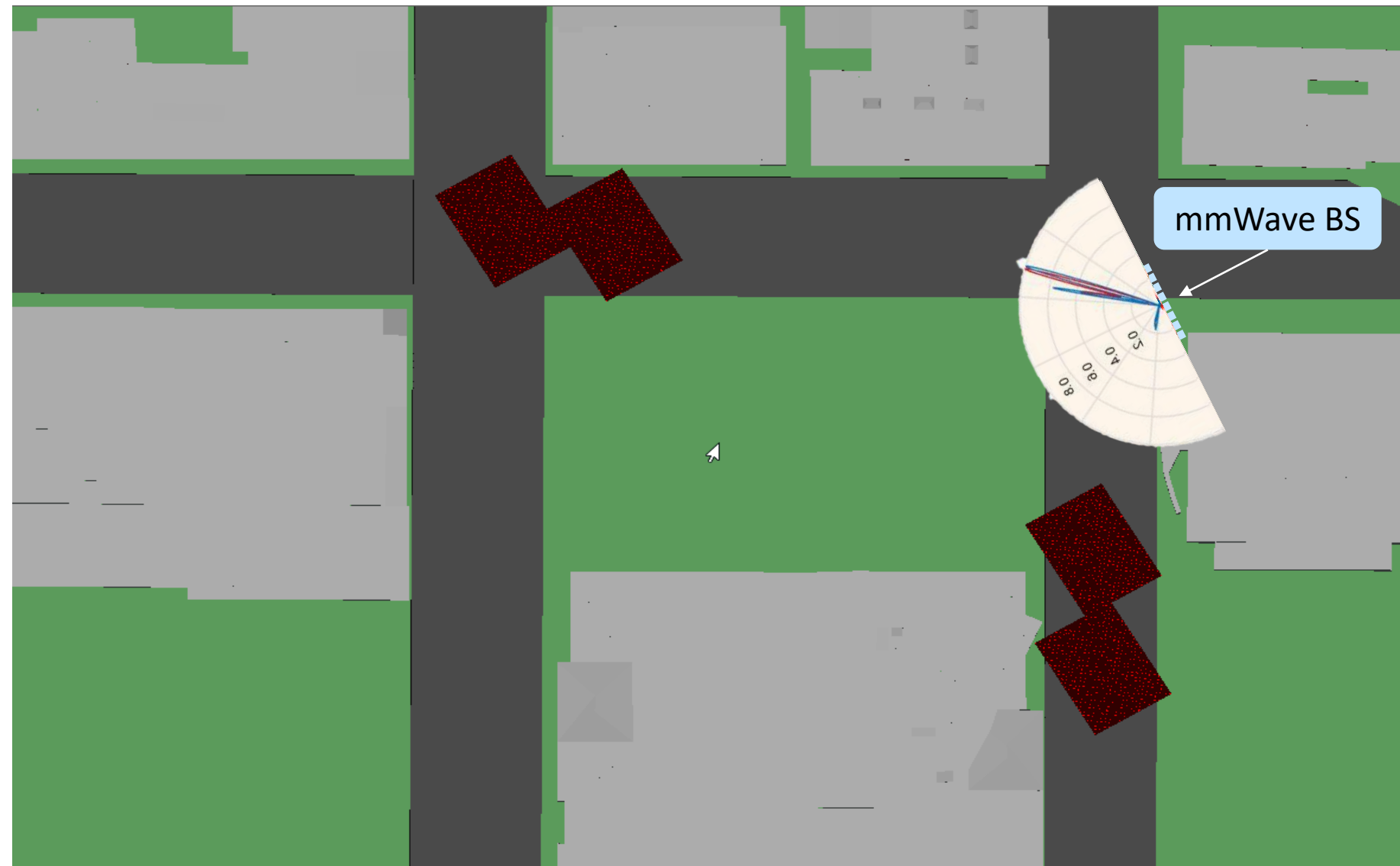


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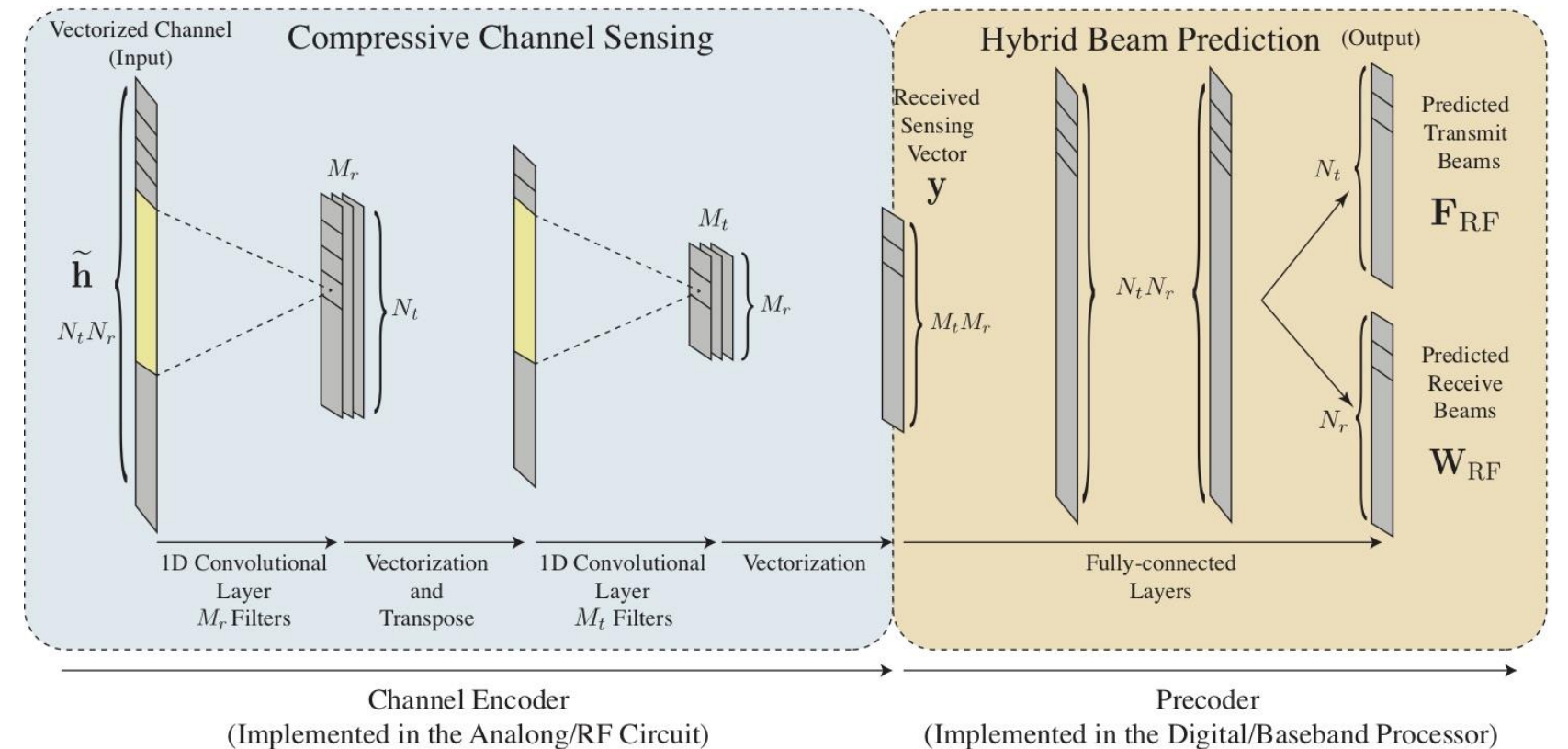


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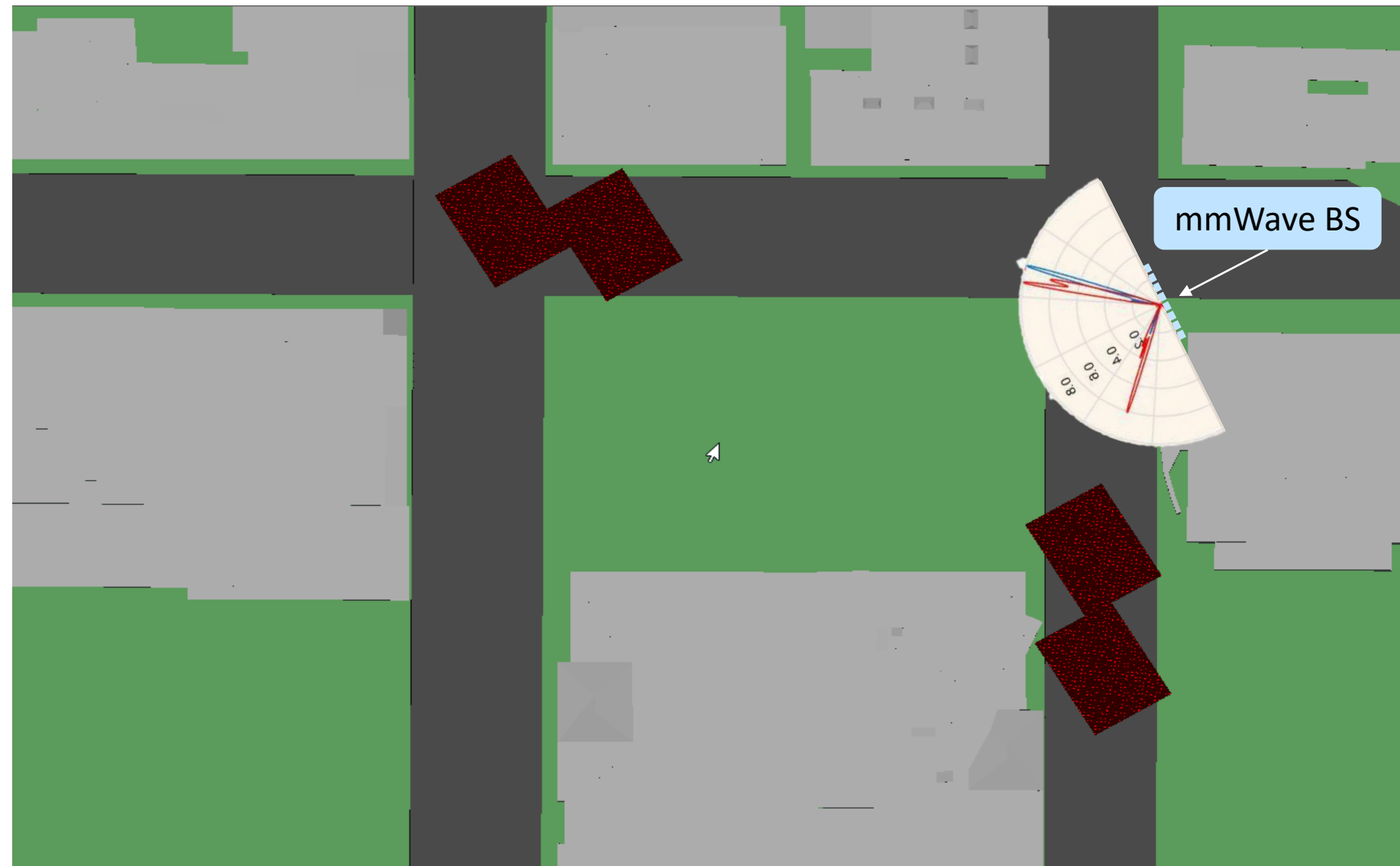
Top View



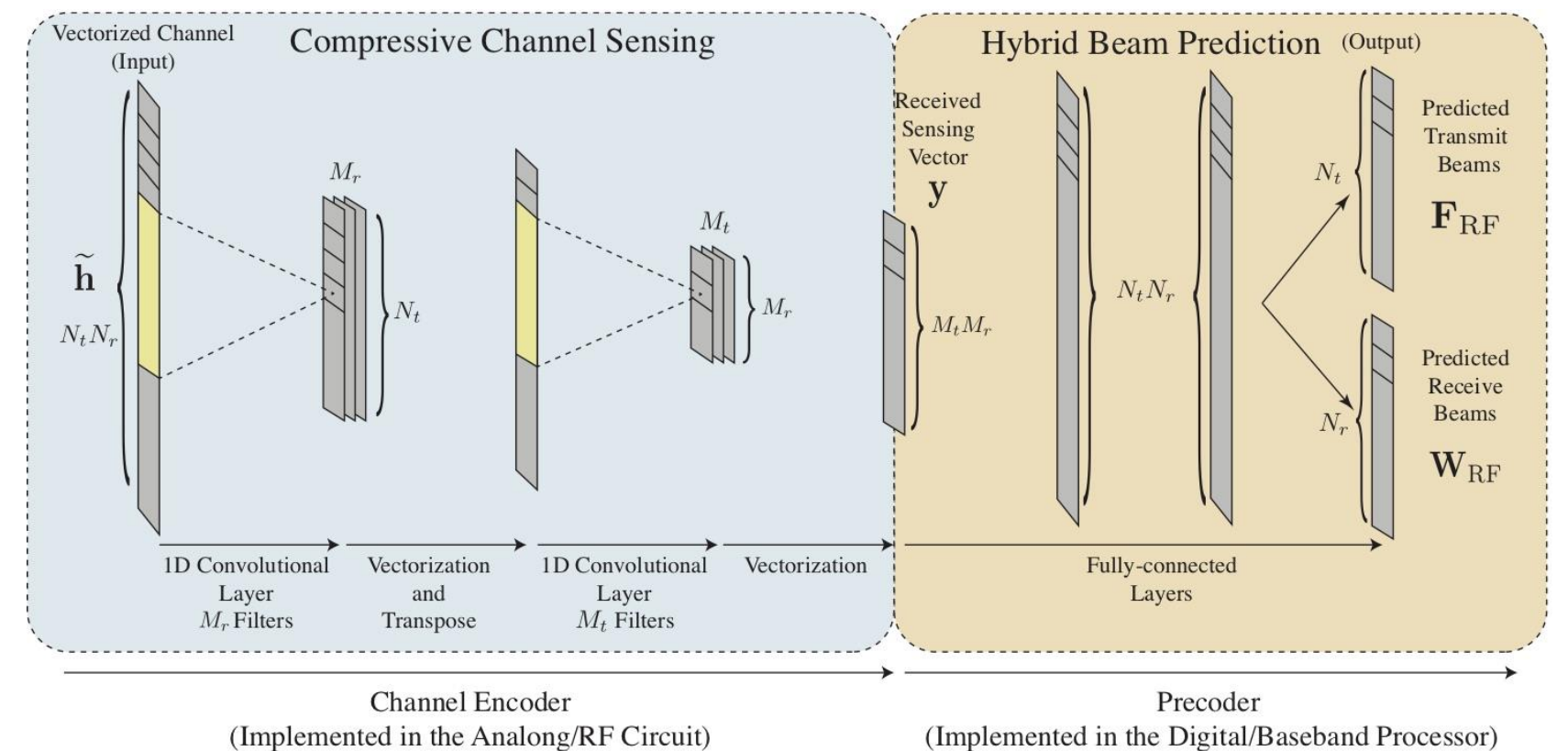
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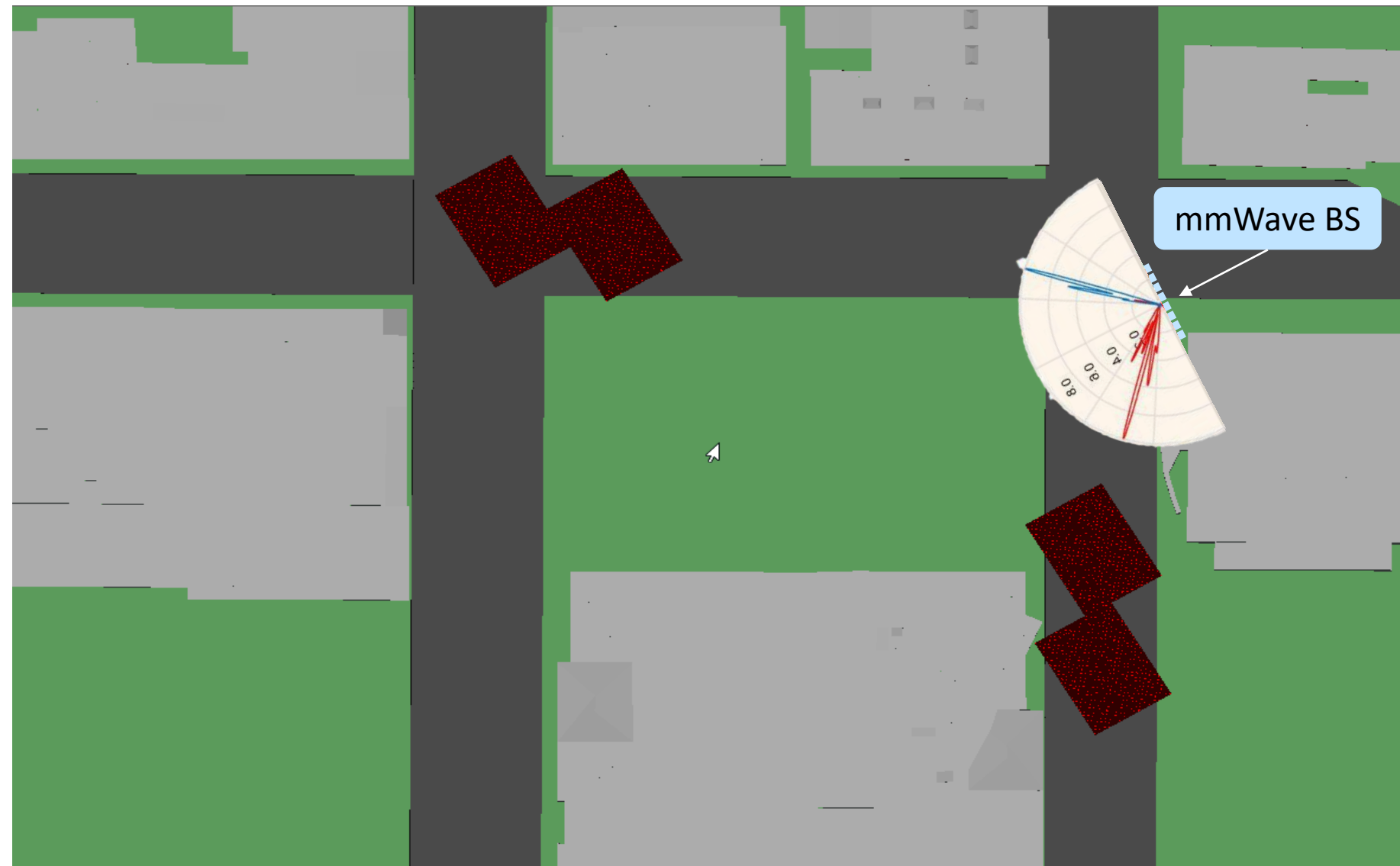
Top View



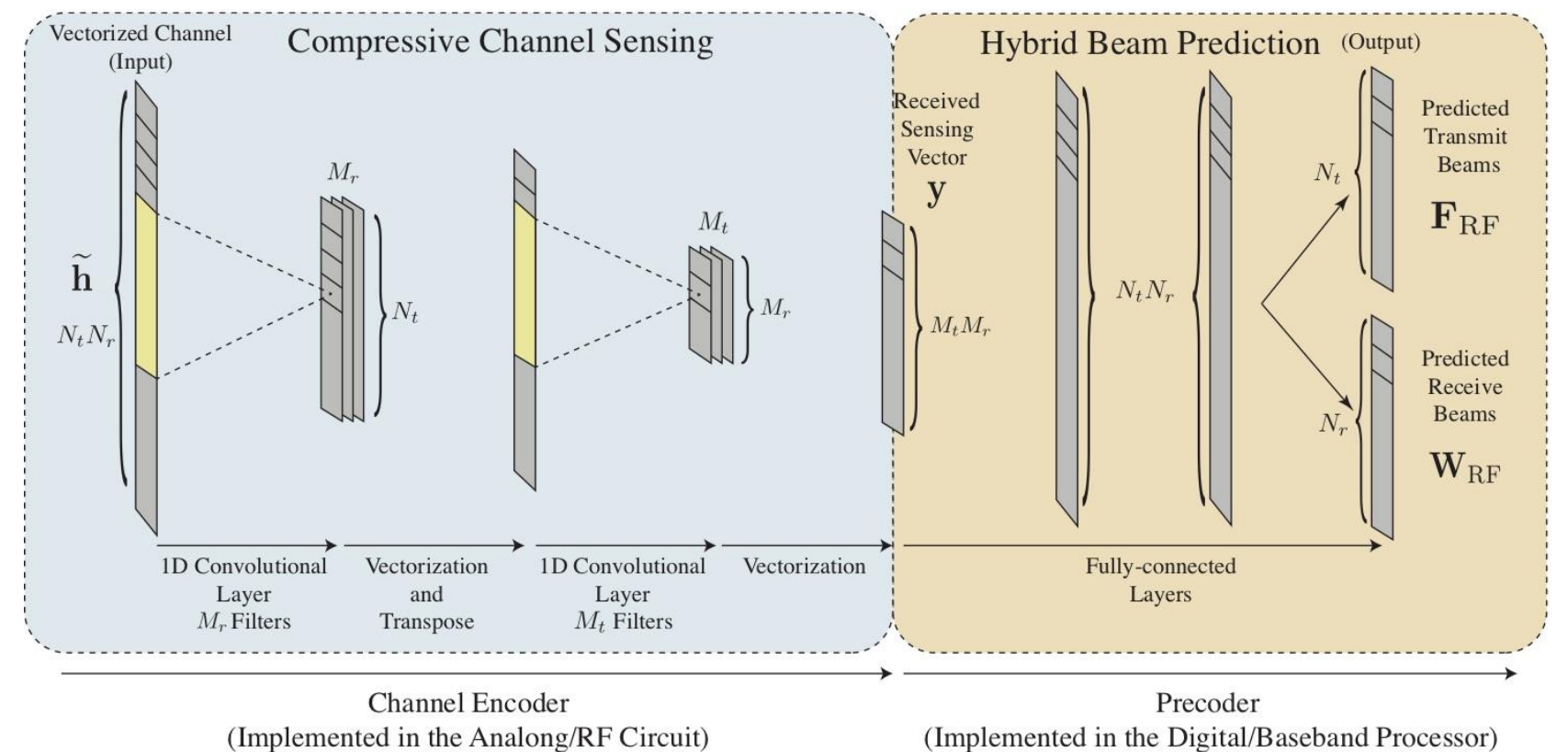
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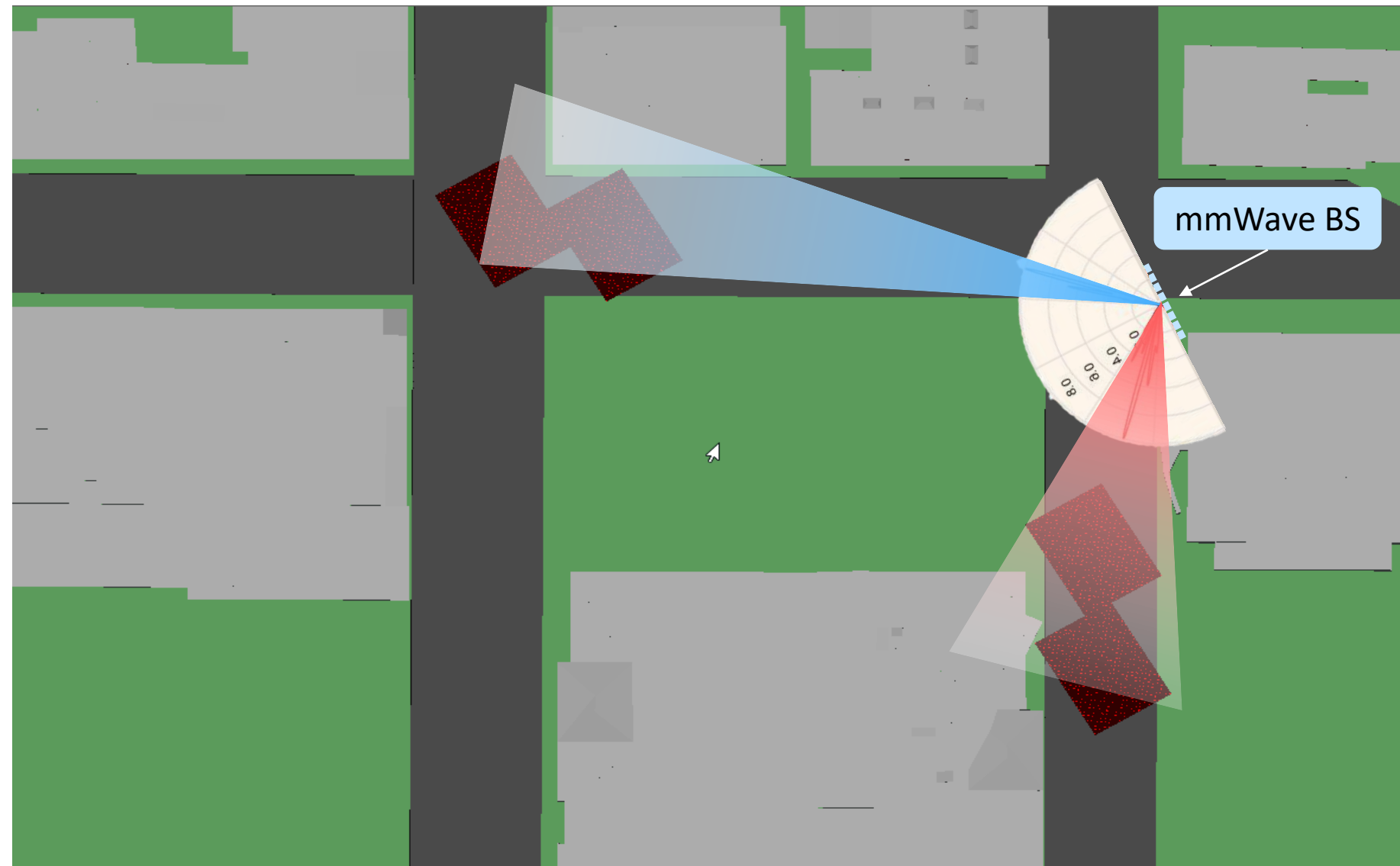
Top View



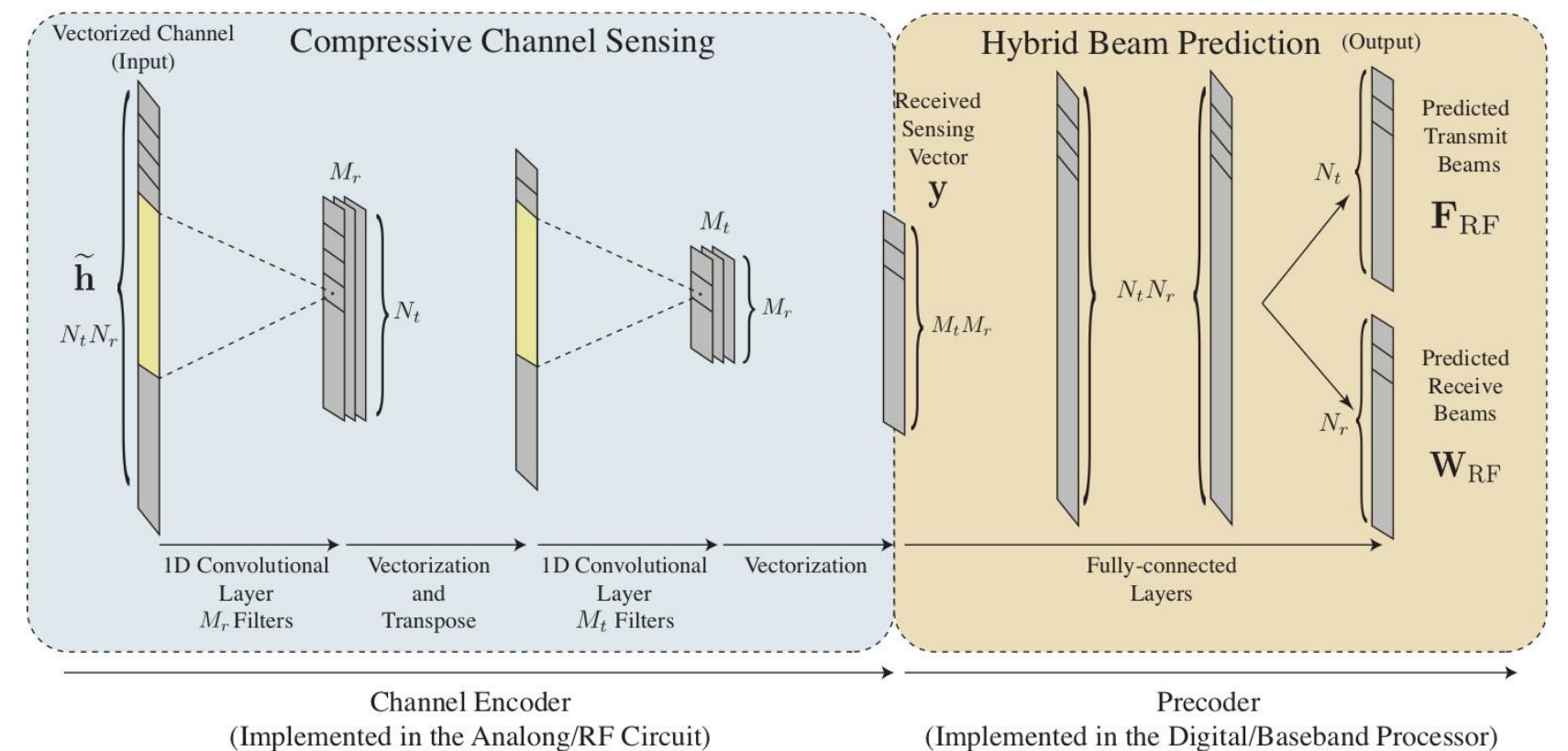
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# 2. TRAINING: MMWAVE PRECODING PREDICTION

## Training the Auto-Precoder Neural Network Model



Top View



The collected dataset is used to train the NN end-to-end

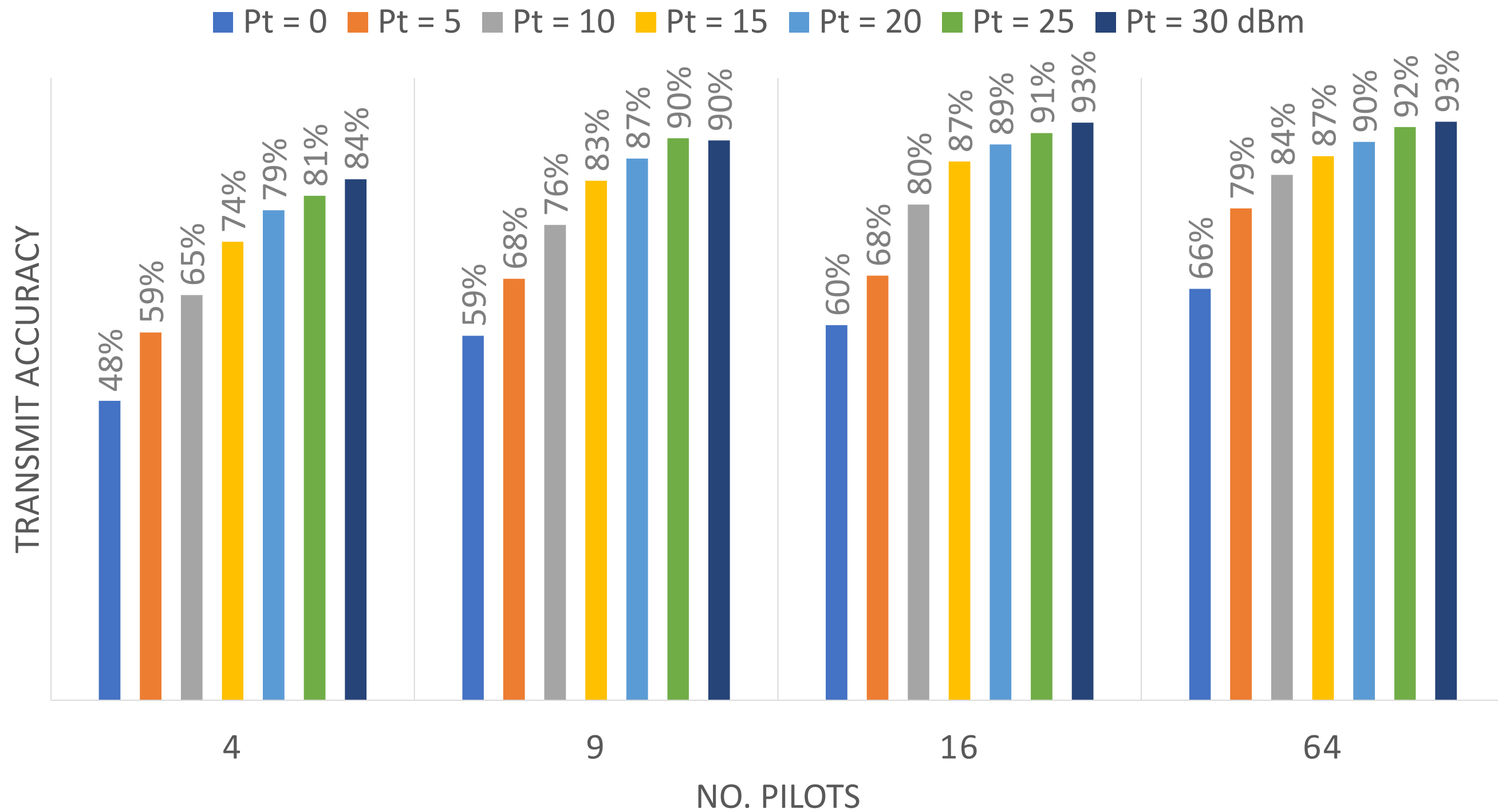




RESULTS

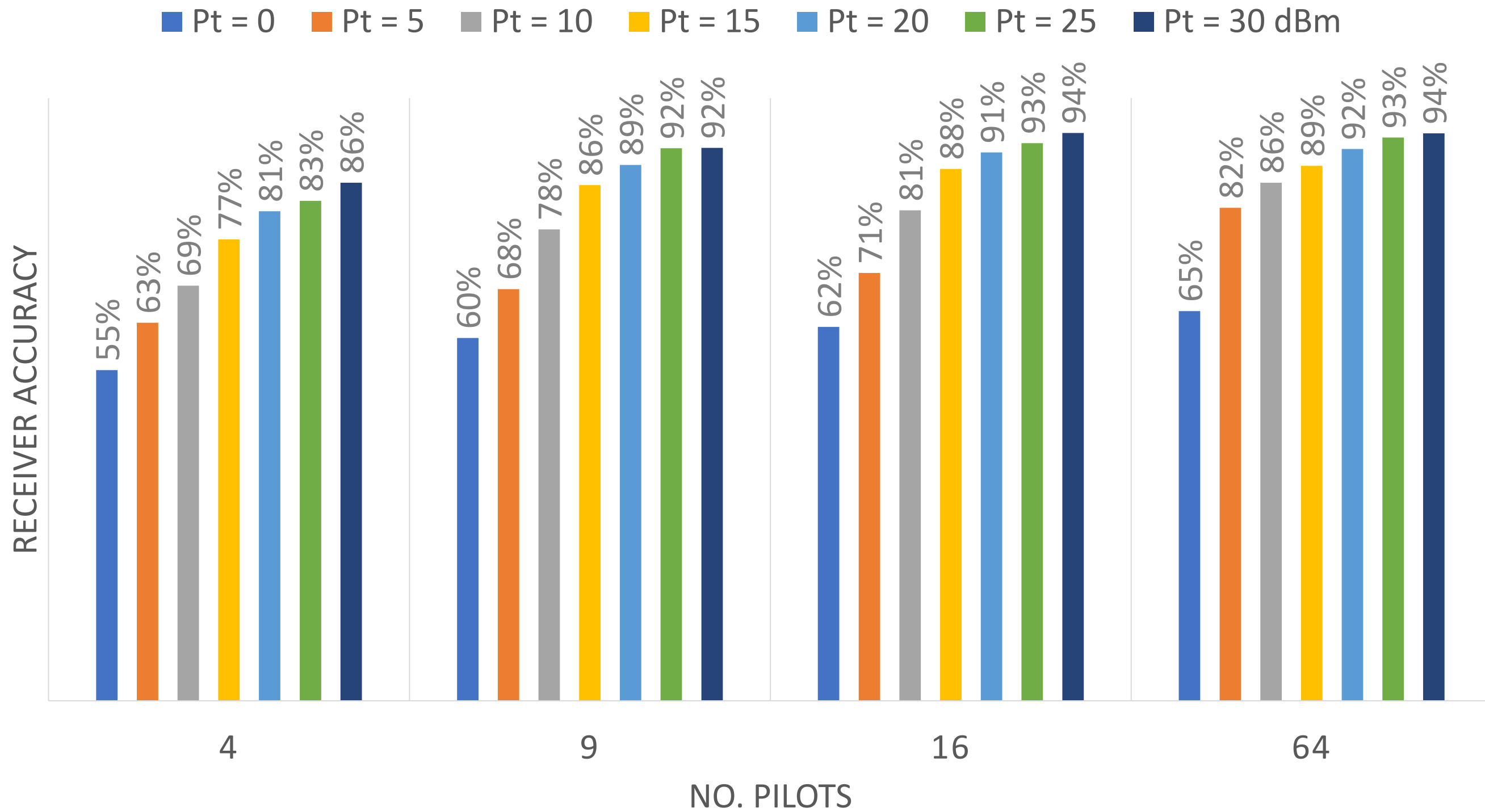
# TRANSMIT BEAM ACCURACY

90%+ Accuracy in Beam Perdition with A Few Pilots



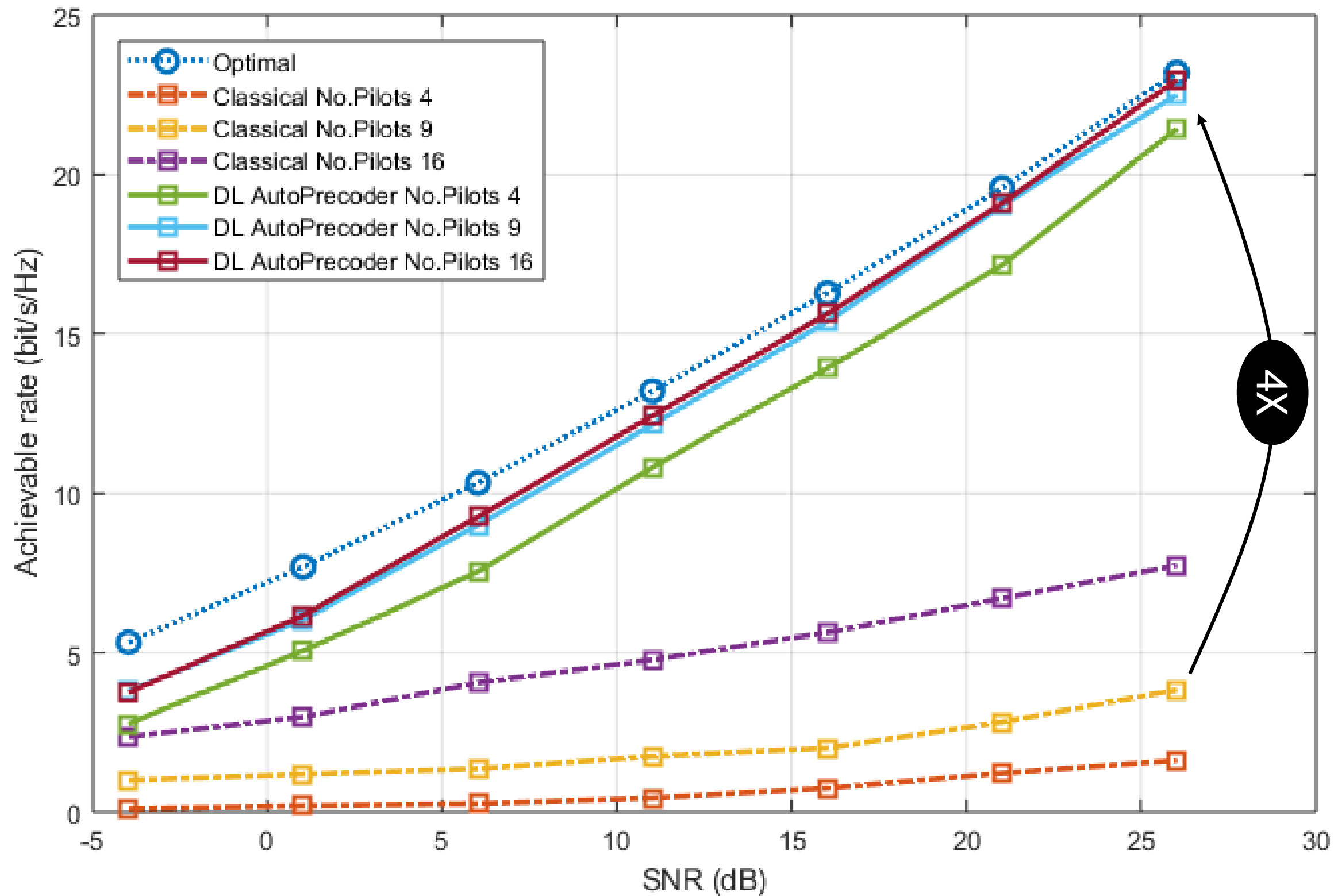
# RECEIVER BEAM ACCURACY

90%+ Accuracy in Beam Perdition with A Few Pilots



# ACHIEVABLE DATA RATES

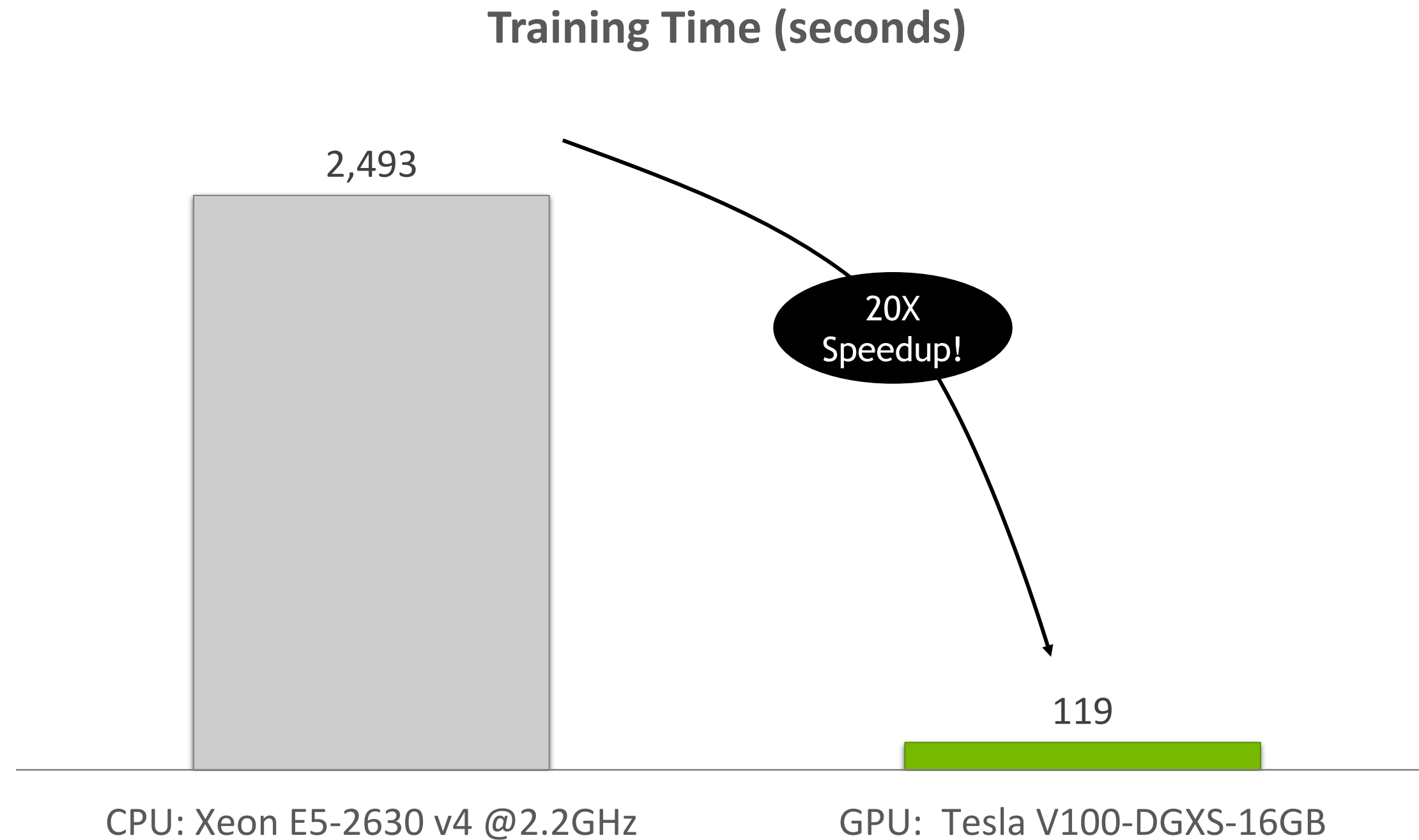
Performance: With a few measurements, 4X higher data rate





# TRAINING TIME

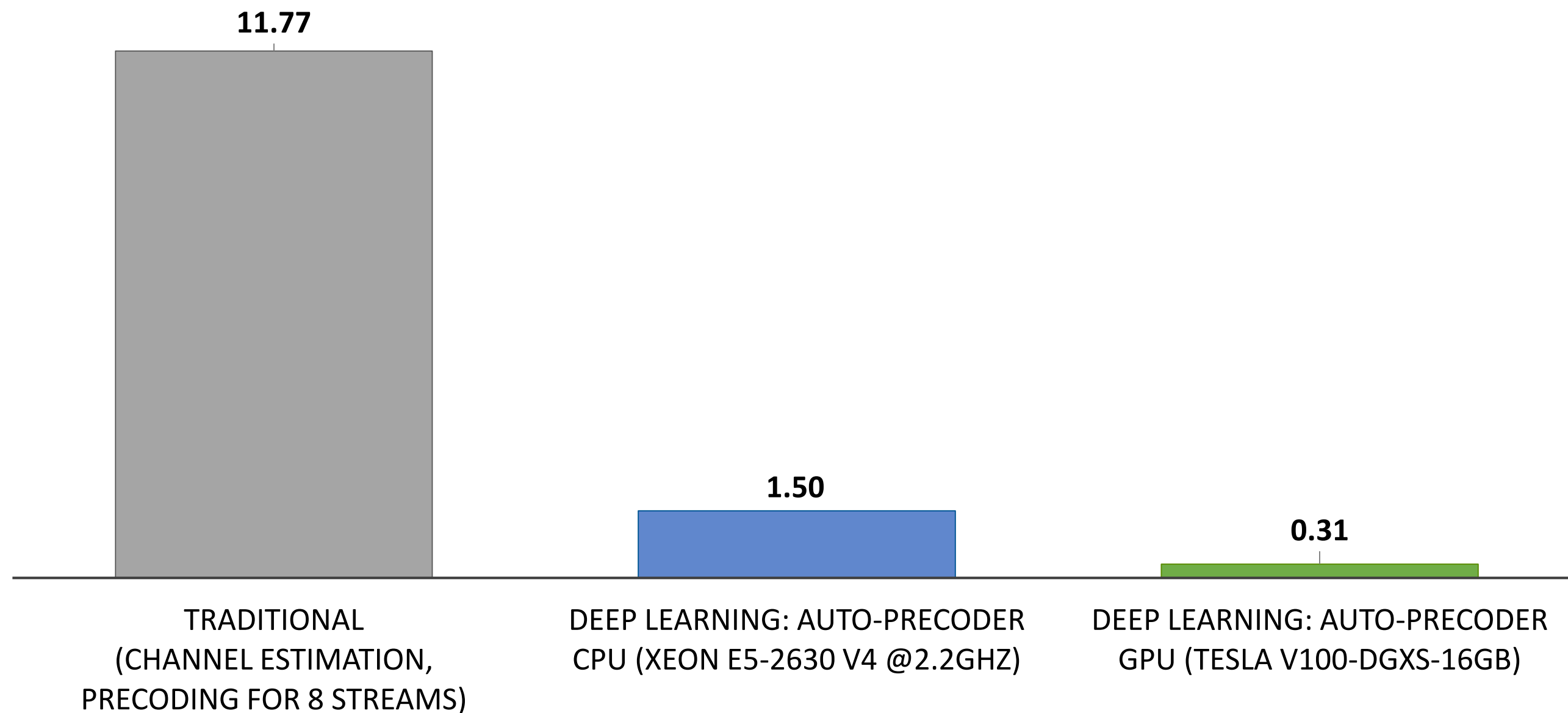
Parameters: Batch Size of 64 and 15 Epoch Counts



# INFERENCE TIME

Per each UE

Inference Time (msec)



# TAKEAWAYS

## 5G Meets Deep Learning, Ray Tracing, and GPUs

- 5G mmwave massive MIMO is promising but have limitations
- Channel acquisition overhead is a key challenge
- Deep Learning has the potential of predicting these channel and beams and thus removing the overhead
- Ray tracing is needed to construct realistic and accurate dataset
- Our proposed solution - prior channel observations to optimize the sensing beams to focus where the users are and predict the beams effectively without channel estimation
- The proposed hybrid beam prediction outperforms traditional methods with few measurements
- This is done in less time than traditional methods thanks to accelerated inference on GPUs.



# QUESTIONS

How to contact us

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Papers, datasets, and codes are available at [www.DeepMIMO.net](http://www.DeepMIMO.net)

