

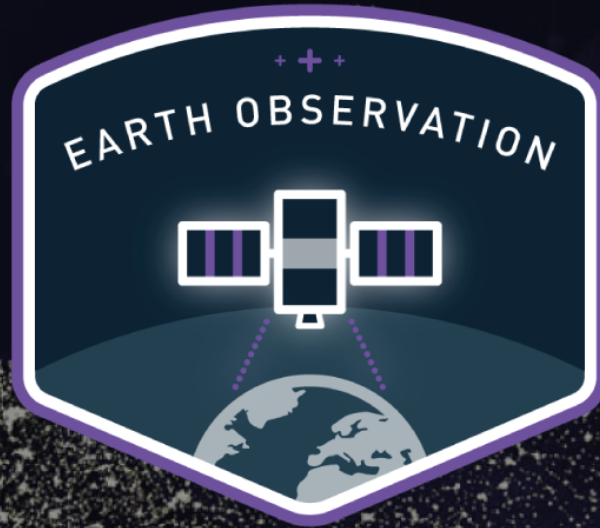


Mapping Informal Settlements in Developing Countries Using Machine Learning

Patrick Helber, Benjamin Bischke

German Research Center for Artificial Intelligence (DFKI)

NASA and ESA Frontier Development Lab

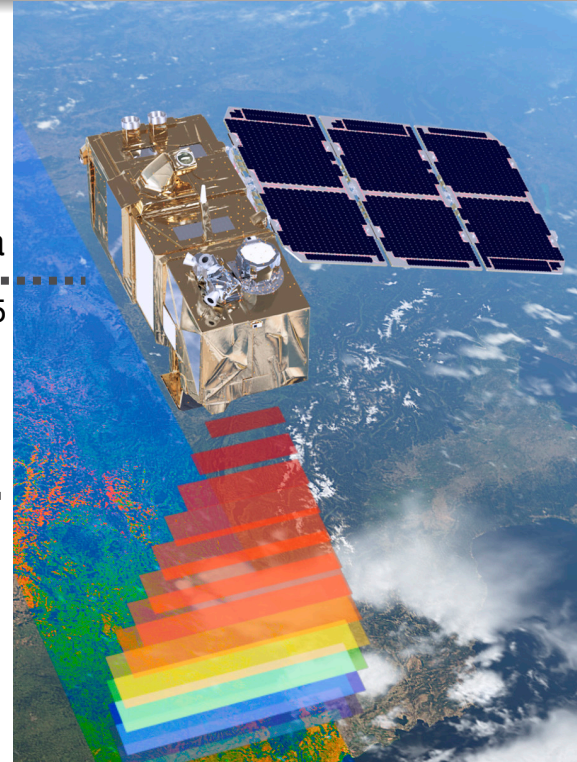


Sustainable Development Goals + EO Data



Large amount of Earth observation data
.....
Copernicus Sentinel-2 Data available from 2015

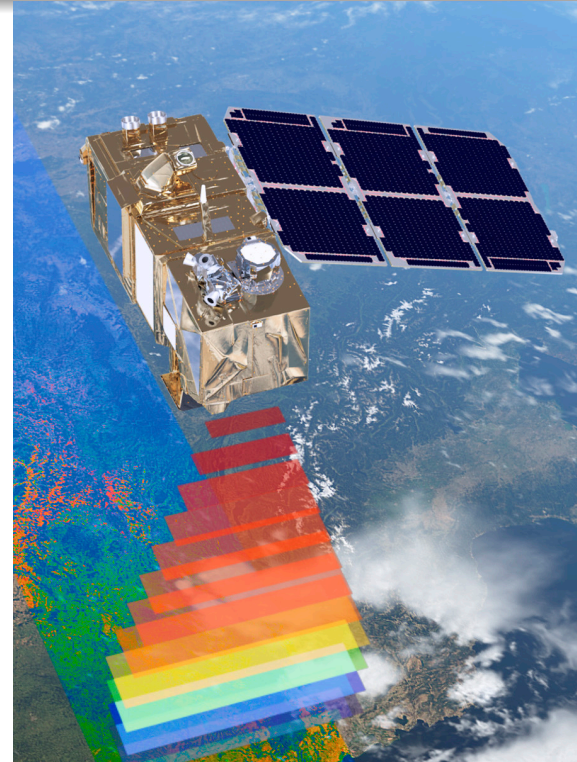
Global challenges: Global vision for humanity
.....
SDG: Defined by UN in 2015



Sustainable Development Goals + EO Data



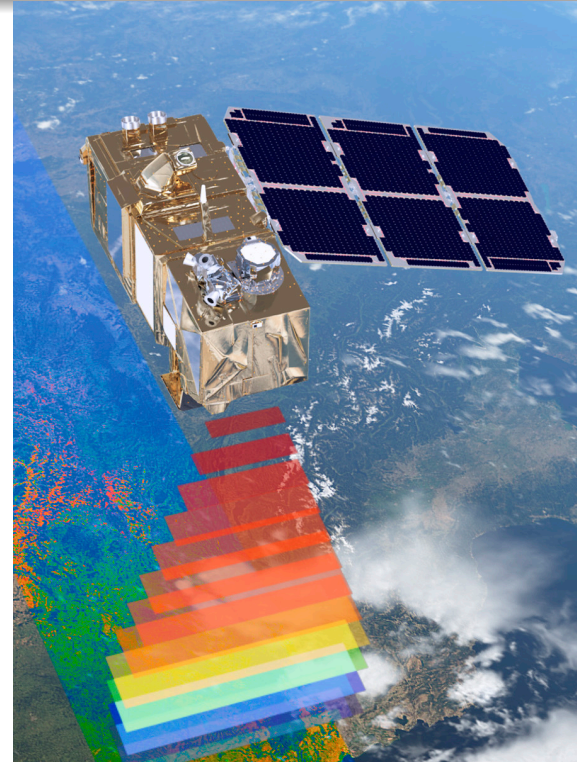
- **Half of humanity** – 3.5 billion people – lives in cities today and 5 billion people are projected to live in cities by 2030
- **95 per cent of urban expansion** in the next decades will take place in **developing world**
- **883 million people live in slums**
- **Rapid urbanization is exerting pressure** on fresh water supplies, sewage, the living environment, and public health



Sustainable Development Goals + EO Data

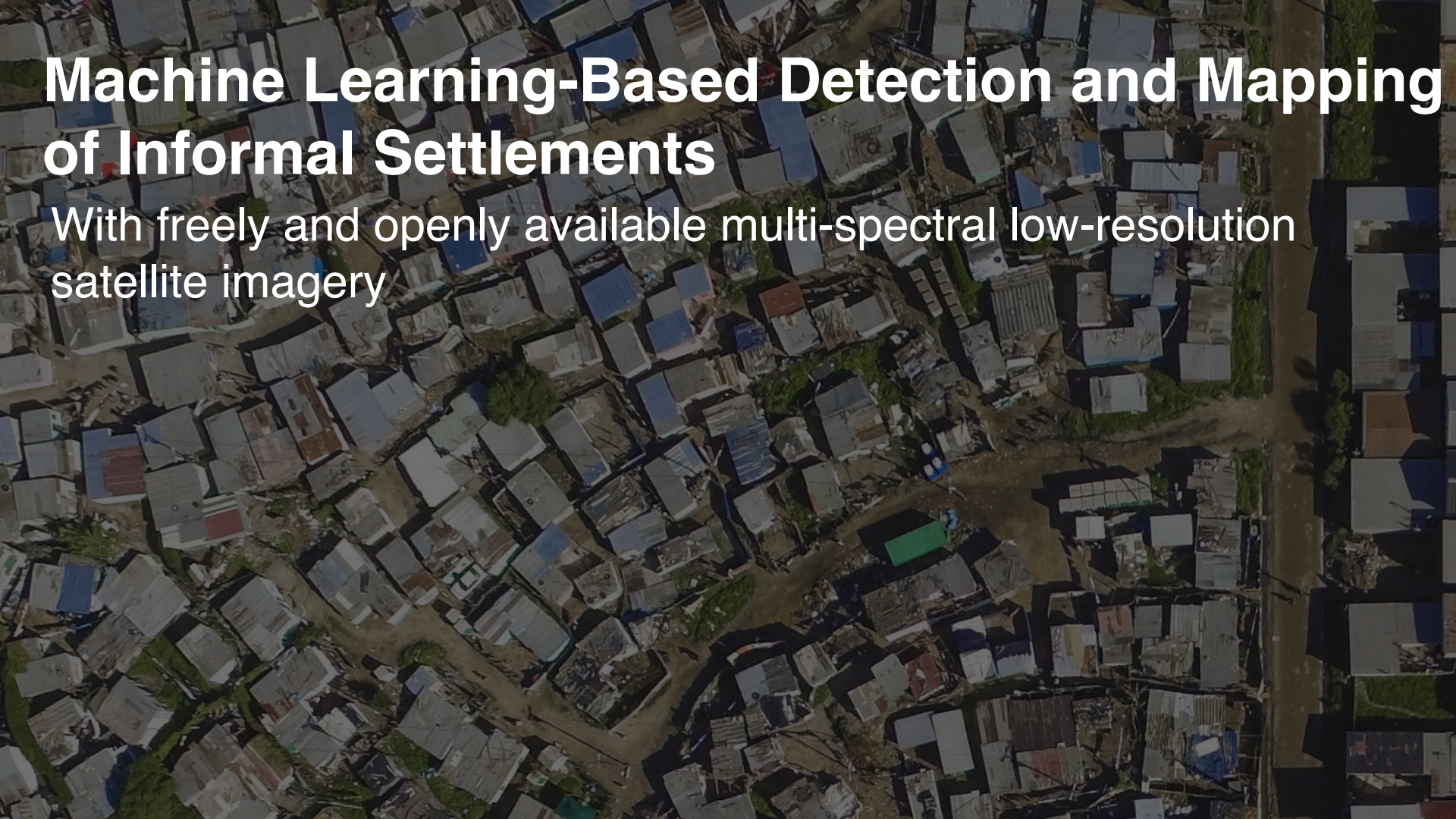


- Ensure **access** for all to adequate, **safe** and affordable **housing** and **basic services** and **upgrade slums**
- **Reduce people affected by disasters** with a focus on protecting the poor and people in vulnerable situations
- **Building sustainable** and resilient **buildings** utilizing local materials
- **Expansion monitoring** to deliver effective economic and social aid to **informal settlements**



Machine Learning-Based Detection and Mapping of Informal Settlements

With freely and openly available multi-spectral low-resolution satellite imagery







Informal Settlement (Slums)

United Nations (UN) and OECD:

- Inhabitants have **no security of tenure** vis-à-vis the land or dwellings they inhabit
- Neighborhoods lack, or are **cut off from, basic services and city infrastructure.**
- The housing may **not comply** with **current planning**



The Problem

Lack of information about
the informal settlements (slums)

- The **locations** of (small) slums are often unknown
- No reliable **information** about the number of **residents**
- **Most vulnerable** in case of **natural disaster situations**

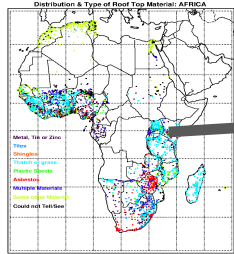
Approach

Informal Settlements can be characterized remotely by:

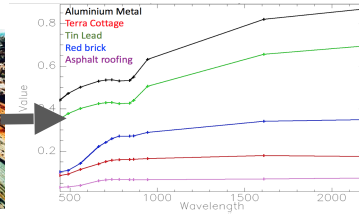
- Roofing materials
- Roofing size
- Building density

Creation of a spectral and textural model

Spectral Model: Extracting materials from single-pixel spectra



Tin Lead Roof Material



Spectrum

Textural Model: Extracting context information using convolutional filter



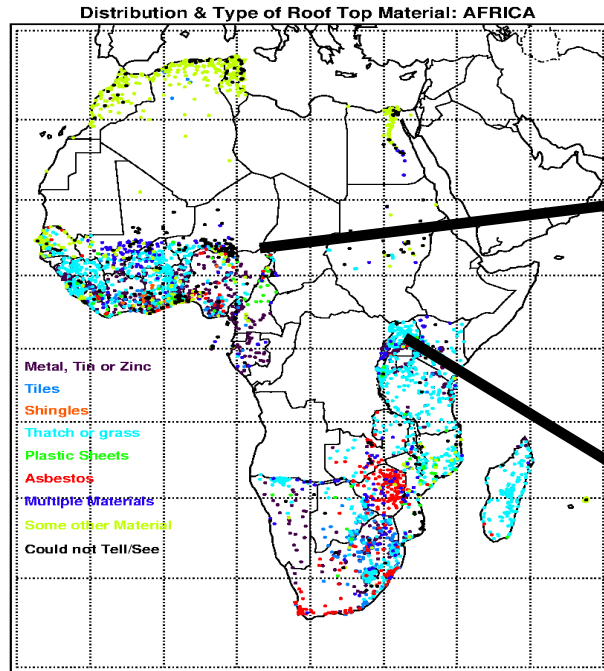
Informal



Formal

El Geneina,
Sudan

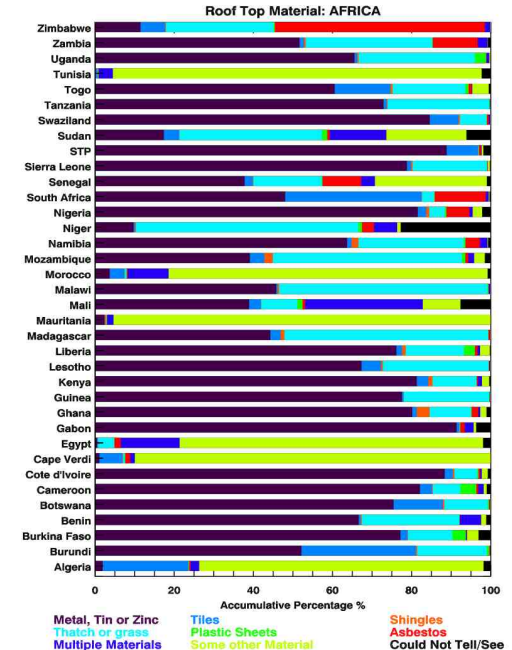
Types of Roofing Material in Africa



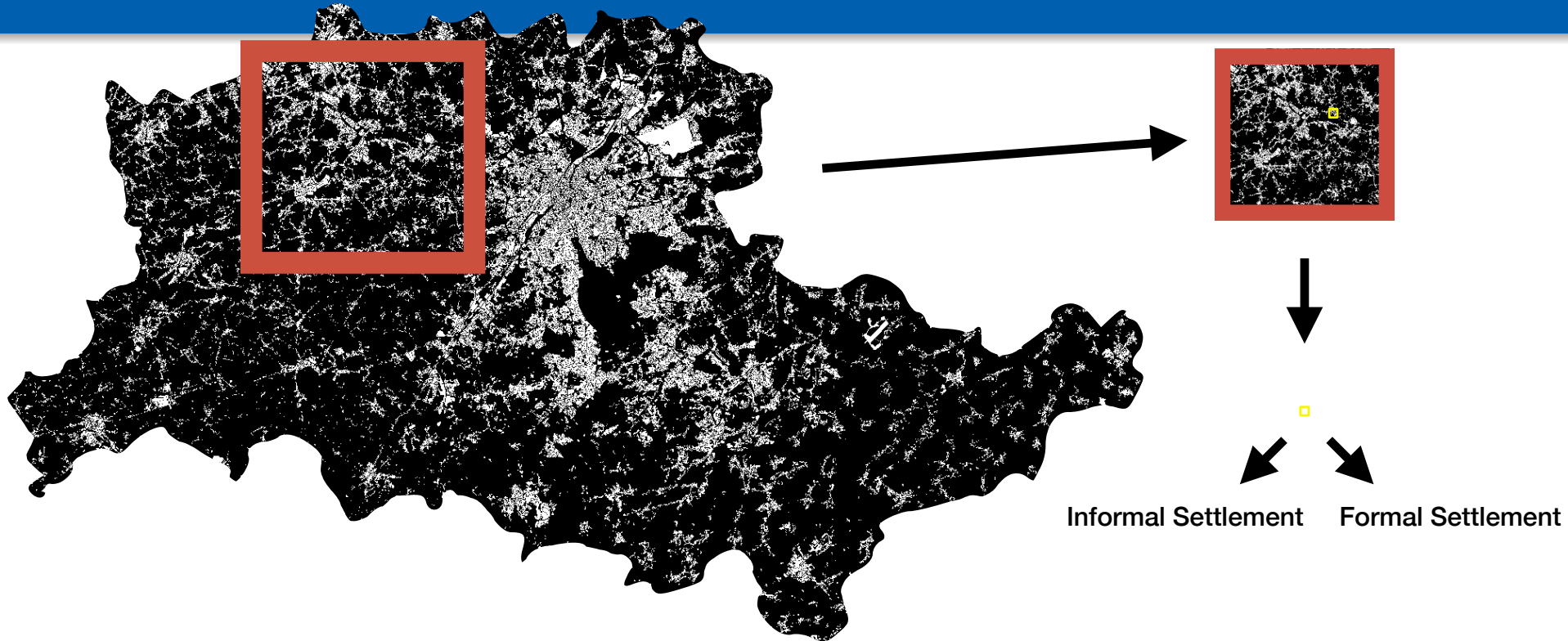
Tin roof



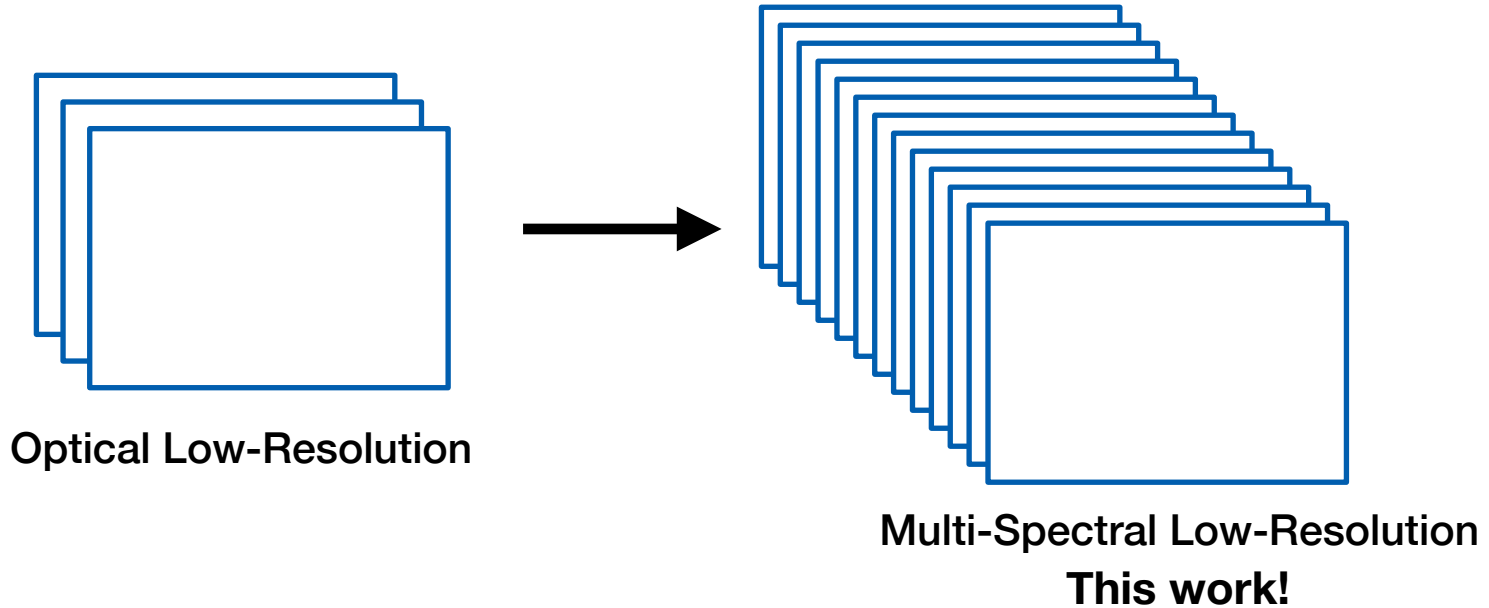
Thatch roof



Detecting and Mapping Informal Settlements



Single-Pixel Spectral Analysis

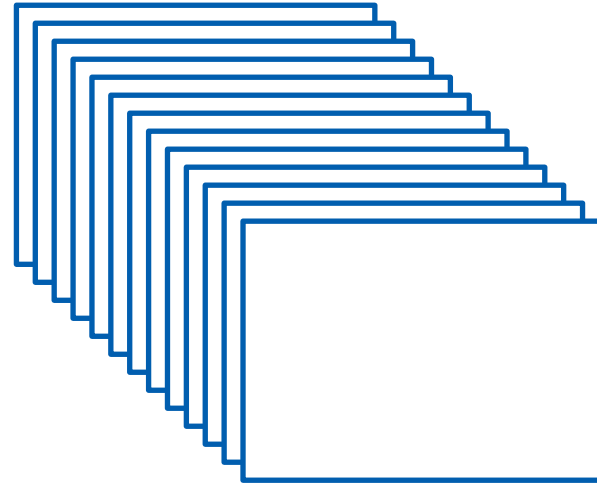


How is it possible?

Not possible with features based
on the spatial resolution

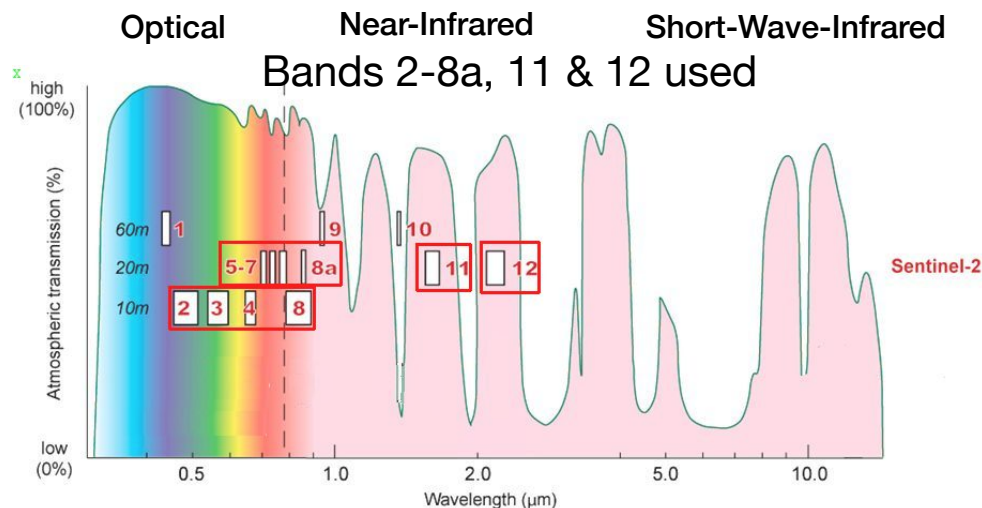
Upsampling (super resolution)
methods not applicable

Use of multi-spectral sensor
information



Multi-Spectral Low-Resolution
This work!

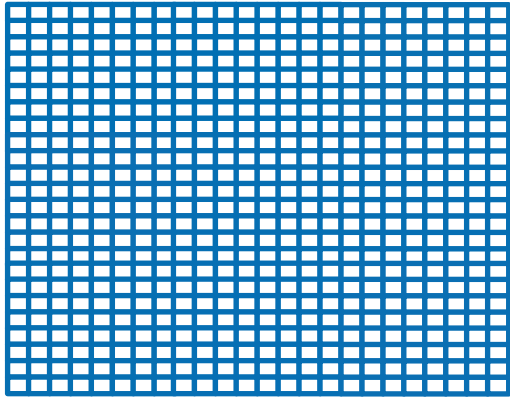
Sentinel-2 Multi-Spectral Sensing



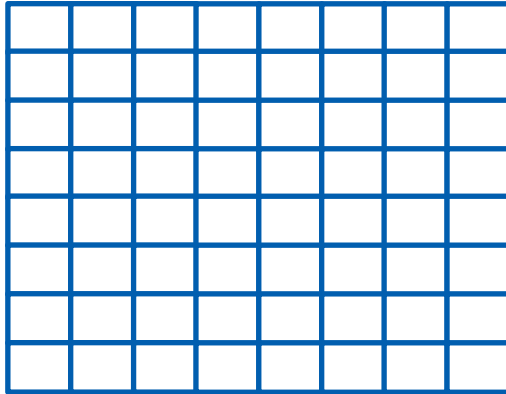
Band	Spatial resolution <i>in meter</i>	Central wavelength <i>in nanometer</i>	Band width <i>in nanometer</i>
Bo1 - Aerosols	60	443	20
Bo2 - Blue	10	490	65
Bo3 - Green	10	560	35
Bo4 - Red	10	665	30
Bo5 - Red Edge 1	20	705	15
Bo6 - Red Edge 2	20	740	15
Bo7 - Red Edge 3	20	783	20
Bo8 - NIR	10	842	115
Bo8A - Red Edge 4	20	865	20
Bo9 - Water vapor	60	945	20
B10 - Cirrus	60	1375	30
B11 - SWIR 1	20	1610	90
B12 - SWIR 2	20	2190	180

Sentinel-2 Multi-spectral bands

Single-Pixel Spectral Analysis



Very-High-Resolution



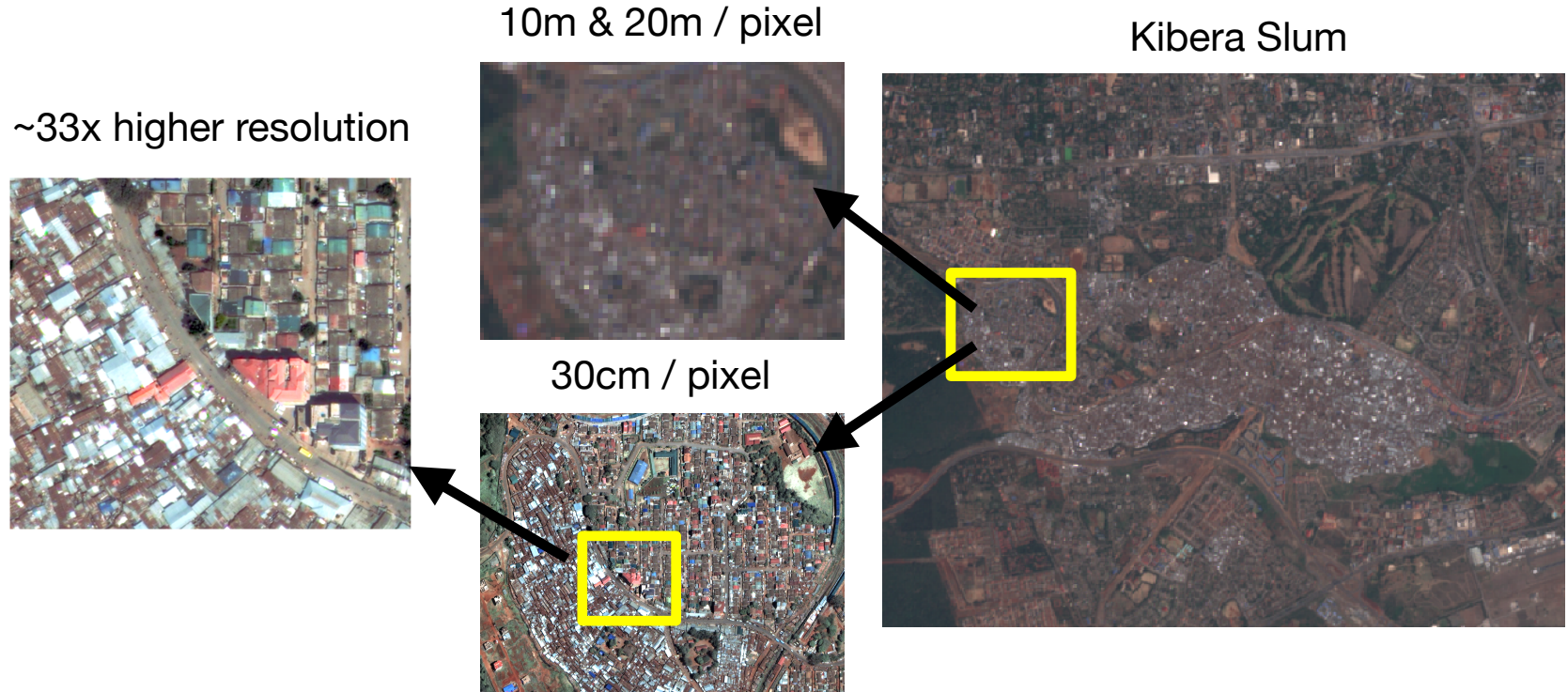
High-Resolution



Low-Resolution

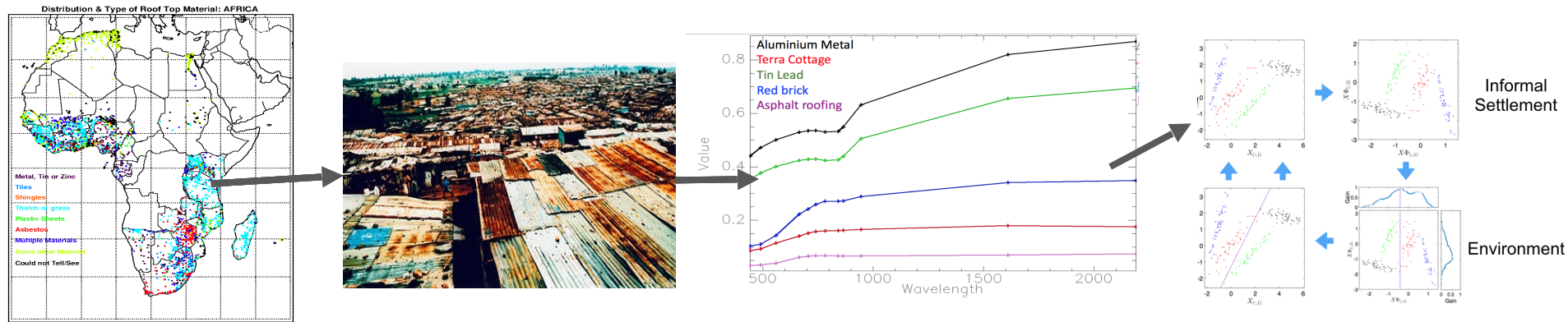
This work!

Very-High-Resolution vs. Low-Resolution



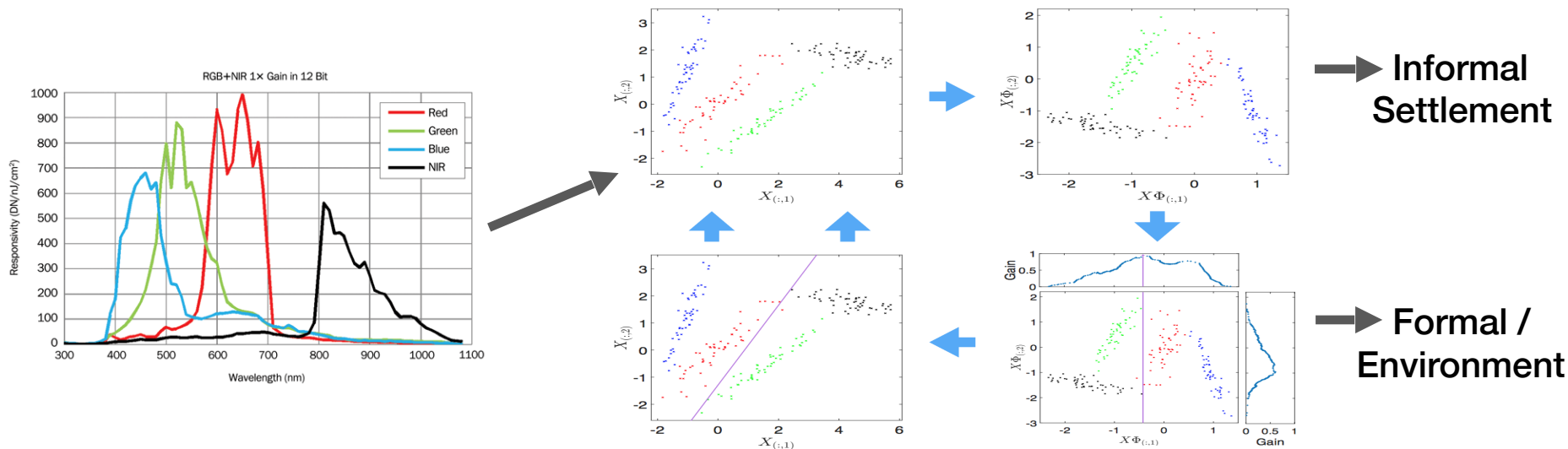
The Spectral Model

Spectral Model: Extracting materials from single-pixel spectra



Canonical Correlation Analysis

- Use of a **Canonical Correlation Forests**

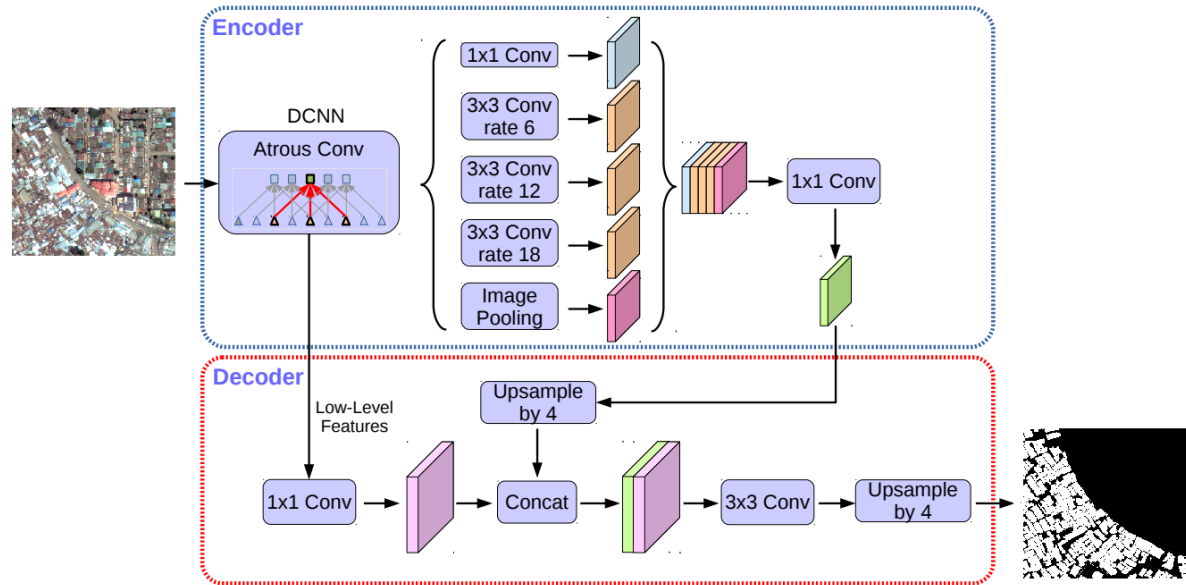


The Textural Model

Convolutional Neural Network (CNN) for spatial features

- Trained on VHR satellite images
- Multi-Resolution
- Single-Scale Evaluation
- Deeplabv3+ is pre-trained using Pascal VOC
- Trained using 8 GPUs (Batch Size 32. initial LR 0.001)

$$y[i] = \sum_k x[i + r \cdot k]w[k]$$



Mapping Informal Settlements in Developing Countries using Machine Learning and Low Resolution Multi-spectral Data. Bradley Gram-Hansen*, Patrick Helber* (shared first author), Indhu Varatharajan, Faiza Azam, Alejandro Coca-Castro, Veronika Kopackova, Piotr Bilinski. AAAI/ACM Conference on Artificial Intelligence, Ethics, and Society, 2019 (accepted)

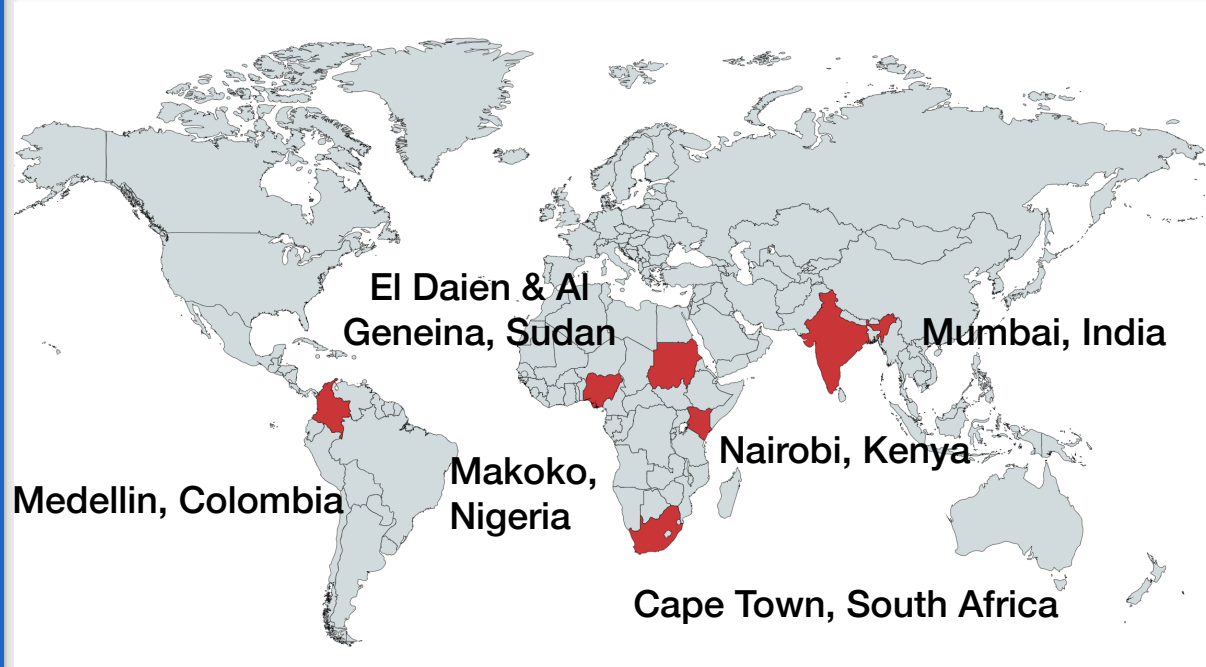
Encoder-Decoder with Atrous Separable Convolution for Semantic Image Segmentation, Liang-Chieh Chen et al., arXiv: 1802.02611, 2018.

Global Case Study

Provided Benchmarks

Low-Resolution Multi-Spectral Satellite Imagery

Very-High-Resolution Satellite Imagery



Quantitative Results

SpecM



TexM



Pixel-wise Classification of Informal Settlements (SpecM) and Contextual Classification(TexM)

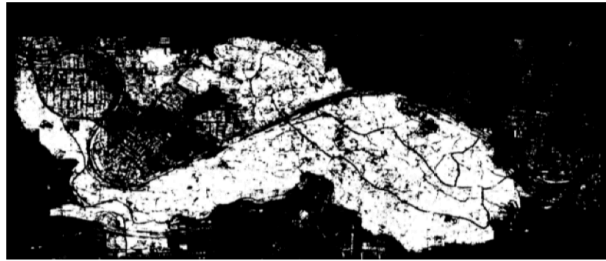
Continent	Region	Mean IOU		Pixel Accuracy	
Informal Settlements		SpecM	TexM	SpecM	TexM
Africa	Kenya (Northern Nairobi)	62.0 %	80.8 %	69.4 %	93.1 %
	Kenya (Kibera)	73.3 %	65.5 %	69.0 %	78.2 %
	Sudan (El Daien)	61.3 %	73.4 %	78.0 %	86.0 %
	Sudan (Al Geneina)	35.7 %	76.3 %	83.2 %	89.2 %
	Nigeria (Makoko)*	59.9 %	74.0 %	76.2 %	87.4 %
Asia	India (Mumbai)*	40 %	-	97 %	-
South America	Colombia (Medellin)*	74.0 %	83.0 %	84.2 %	95.3 %

Qualitative Results

Predictions of informal settlements
(white pixels) in Kibera, Nairobi



CCF prediction (LR MS)

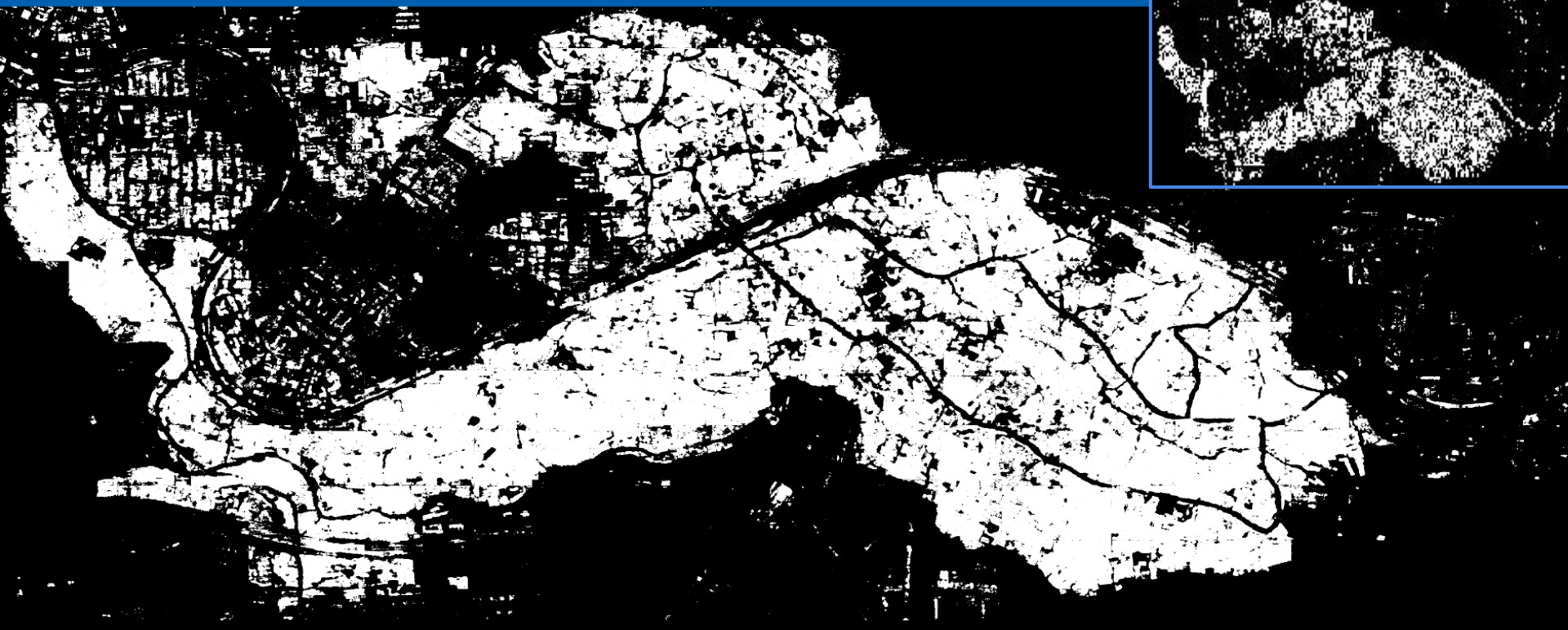


CNN prediction (VHR RGB)



Ground Truth

Qualitative Results



Generating Material Maps to Map Informal Settlements



Mumbai, India

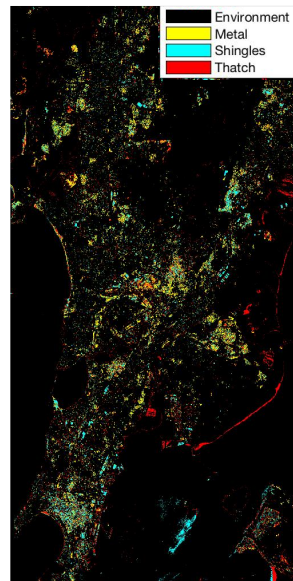
Sentinel-2



Ground Truth*



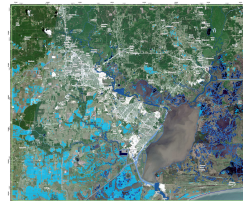
Prediction



Open Source Solutions - Map Visualizations



Open Source
Code + Models + Data



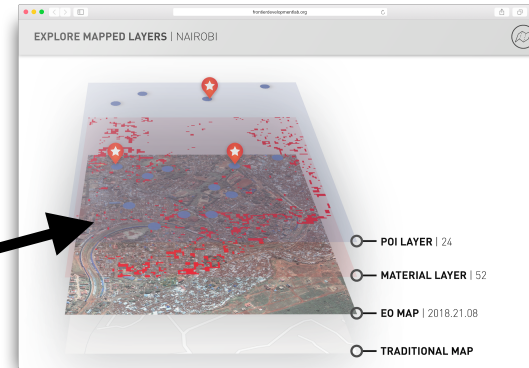
Flooding
Event

Pre-Disaster and Disaster Relief

- Government

Support Well-Being

- Government, NGOs, Developing Organizations



Map Visualization

<https://frontierdevelopmentlab.github.io/informal-settlements/>

Machine Learning-Aided Disaster Response

Identifying flood-affected buildings after disaster events for emergency response





The Problem

Lack of information about
the disaster

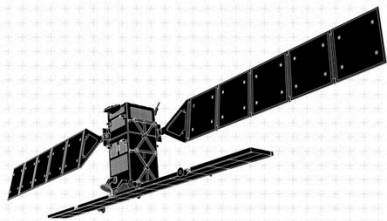
- the **location** of affected communities
- the **severity** of the event/
level of damage
- rapid **response time over accuracy**

SENTINEL-1



• **All-weather, day-and-night radar imaging satellite for land and ocean services**

- Able to "see" through clouds and rain
- Data delivery within 1 hour of acquisition
- Airbus Defence and Space developed C-band radar instrument

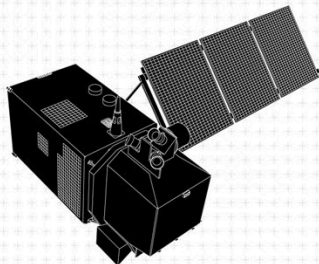


SENTINEL-2



• **Medium Res Multispectral optical satellite for observation of land, vegetation and water**

- 13 spectral bands with 10, 20 or 60 m resolution and 290 km swath width
- Global coverage of the Earth's land surface every 5 days
- Airbus Defence and Space prime contractor for satellites and instruments



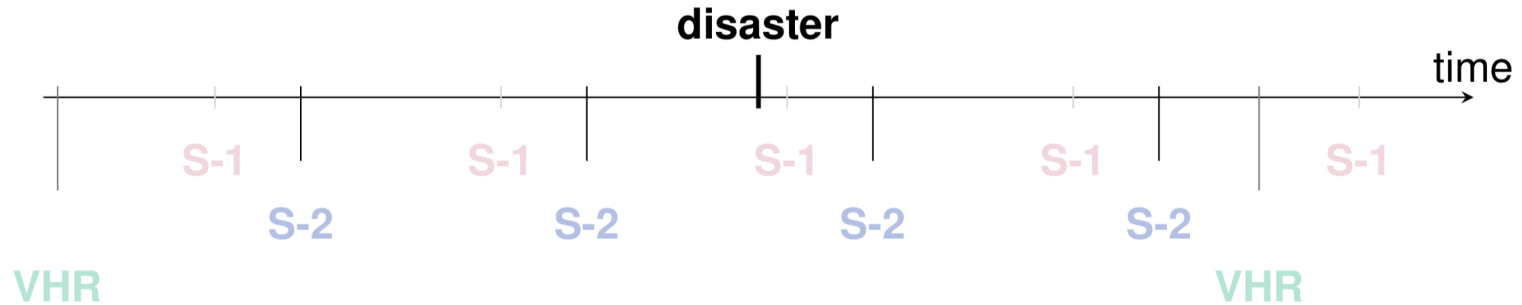
Approach

Fast **building** and **damage detection** by fusion of **multi-resolution** and **multi-temporal** satellite imagery

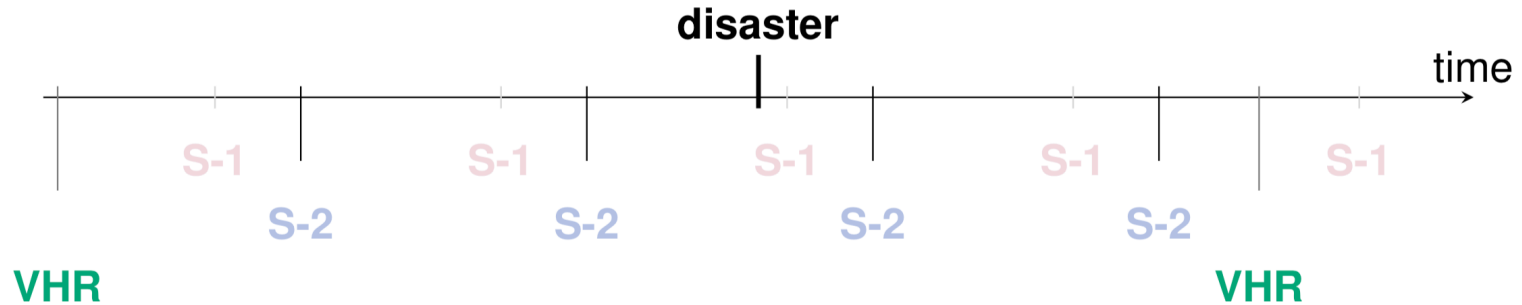
Input data sources:

- Radar: Sentinel 1 (public)
- Optical: Sentinel 2 (public)
- Very high resolution (commercial)

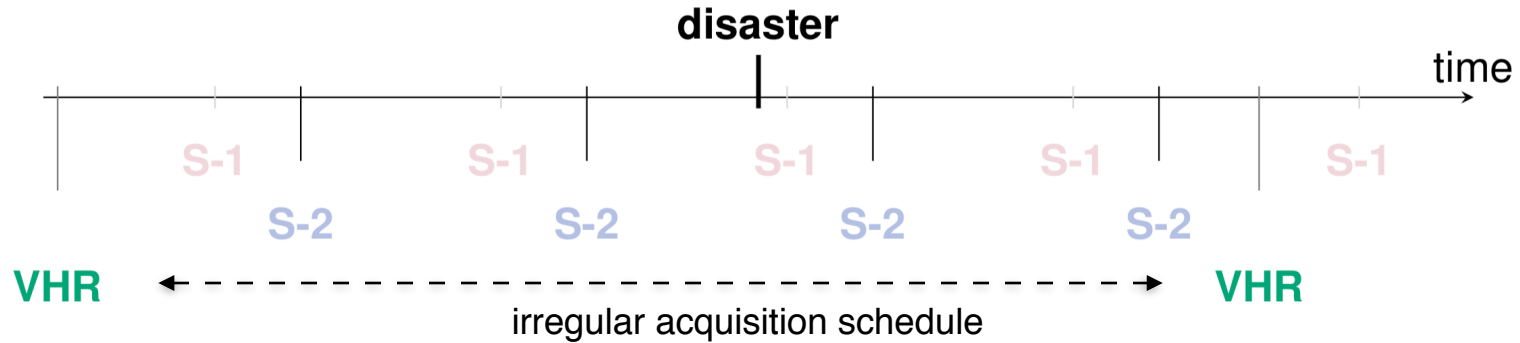
What satellite data is available?



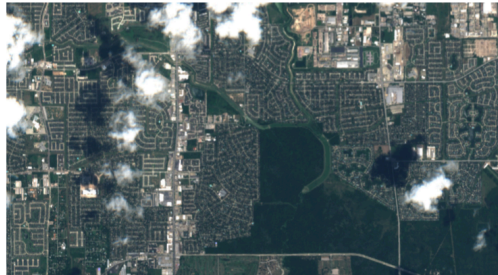
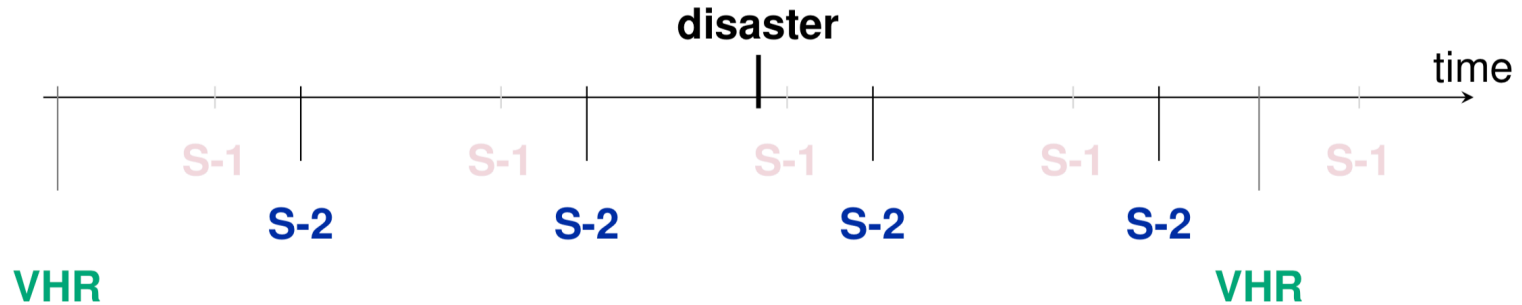
What satellite data is available?



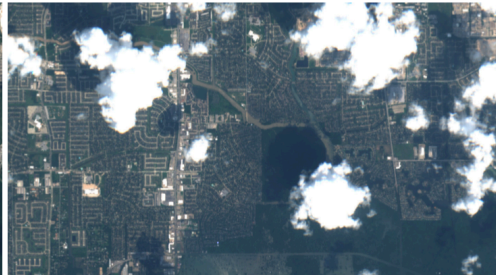
What satellite data is available?



What satellite data is available?



pre



during

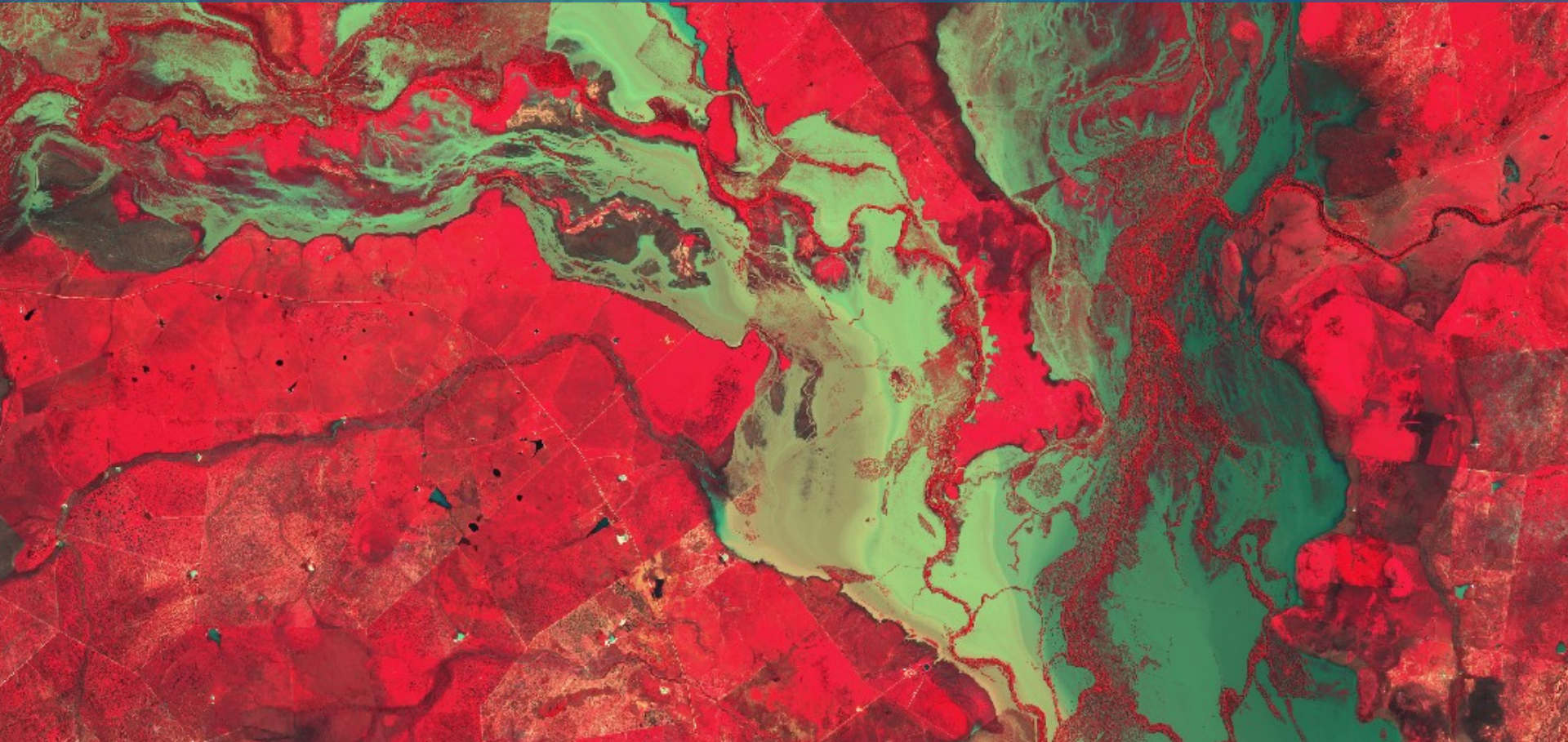


post

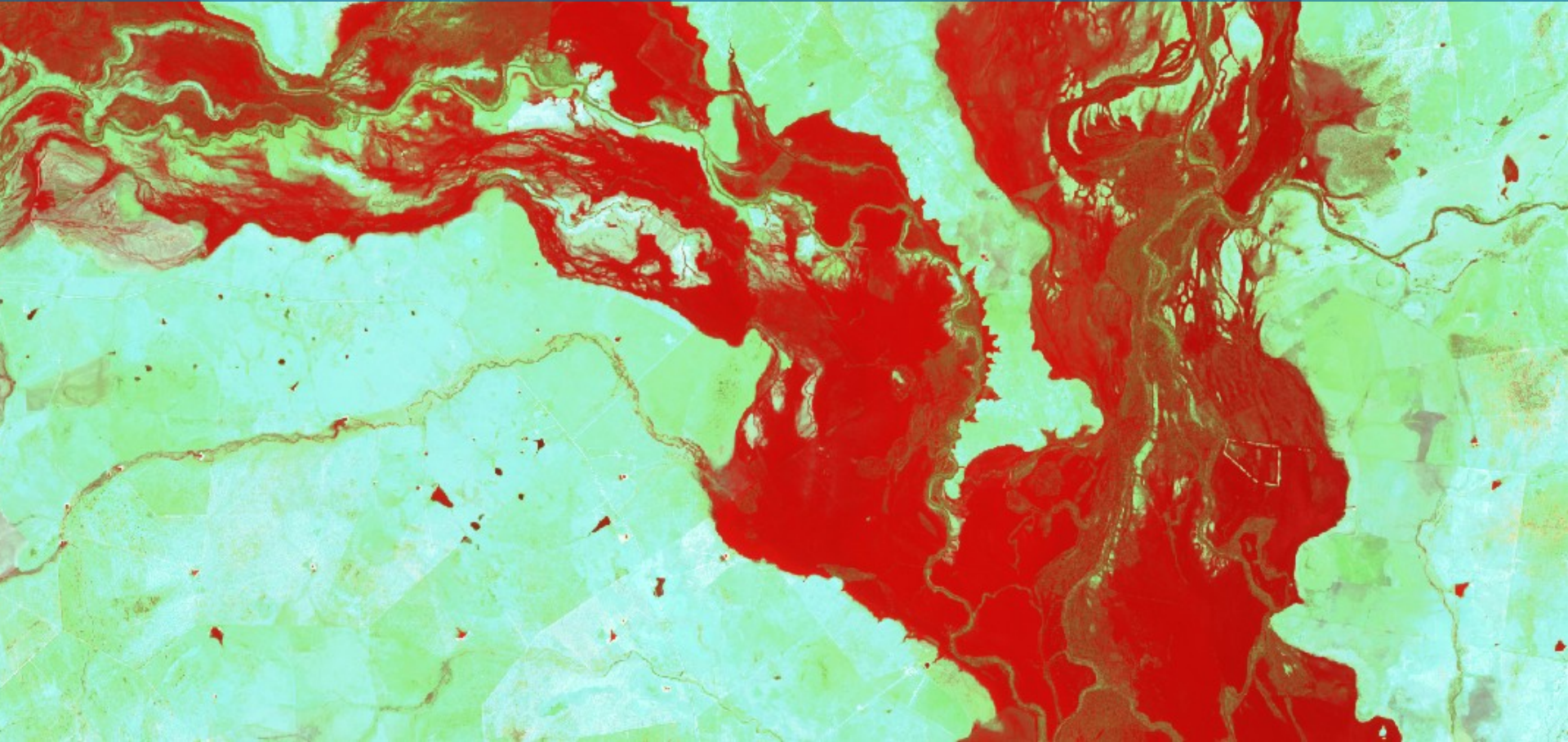
Optical Satellite Imagery: multispectral Information



Optical Satellite Imagery: multispectral Information



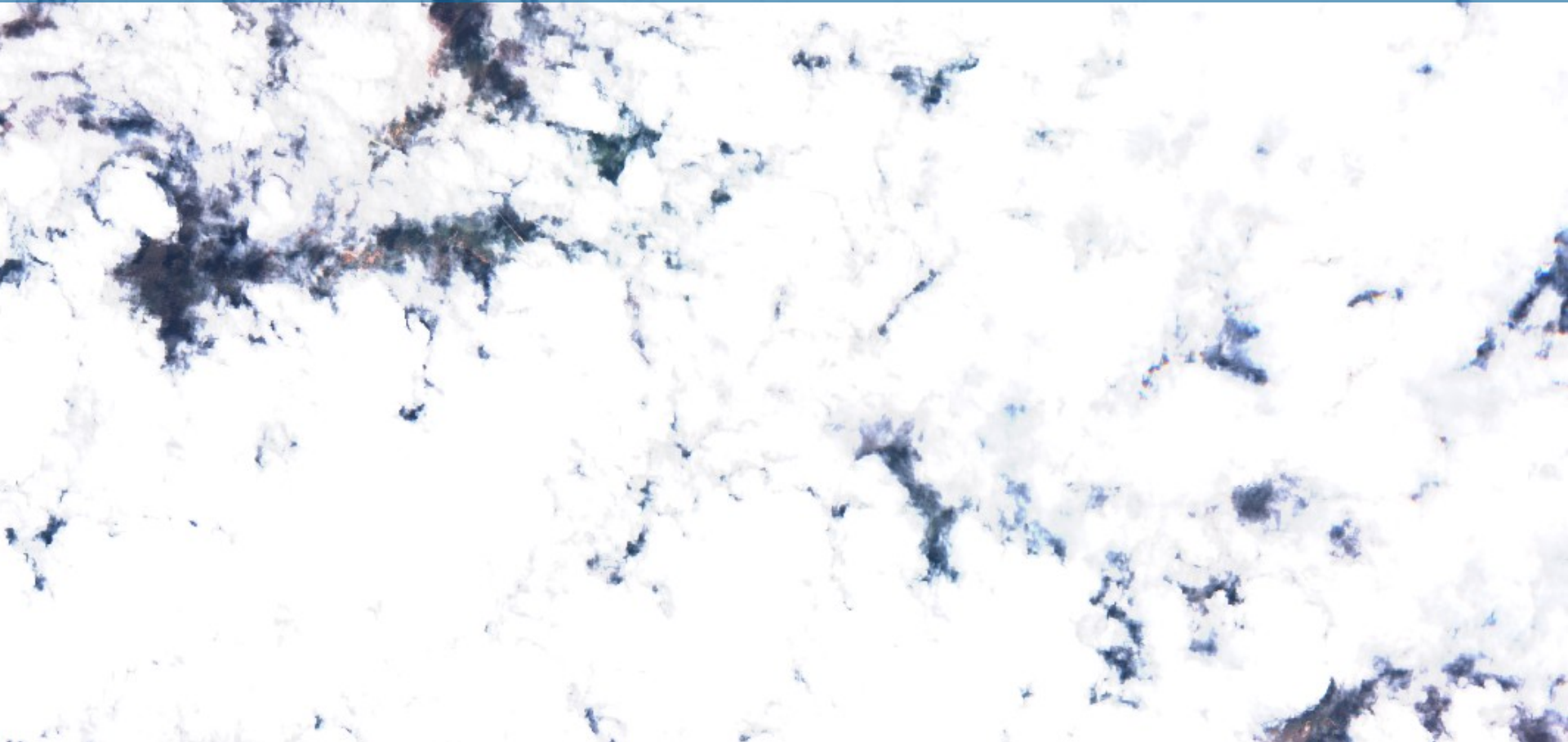
Optical Satellite Imagery: multispectral Information



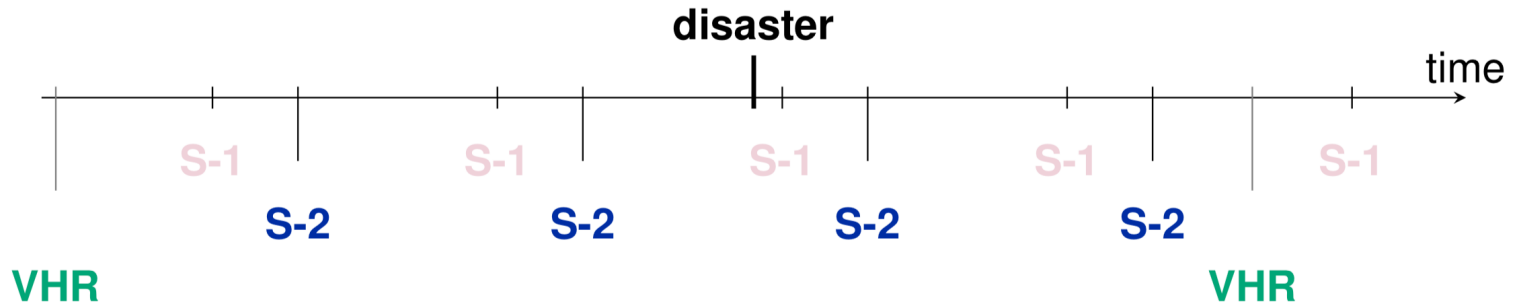
Optical Satellite Imagery: clouds



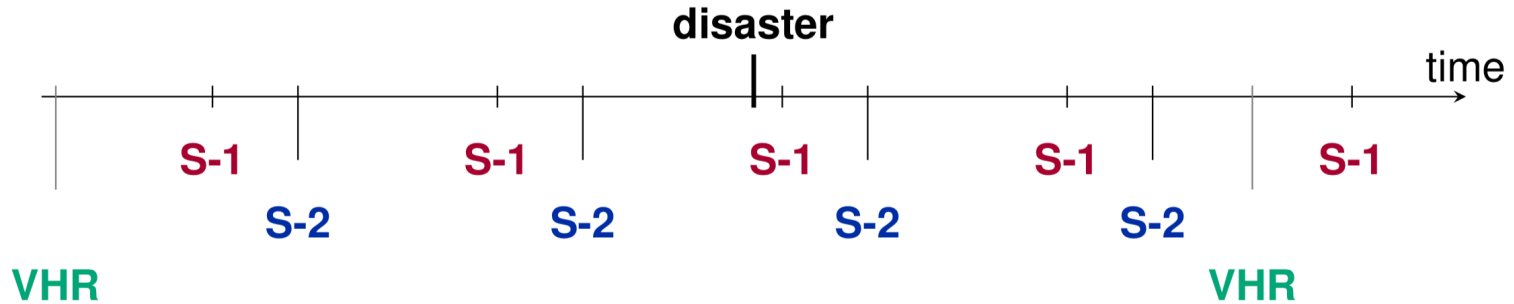
Optical Satellite Imagery: clouds everywhere



What satellite data is available?



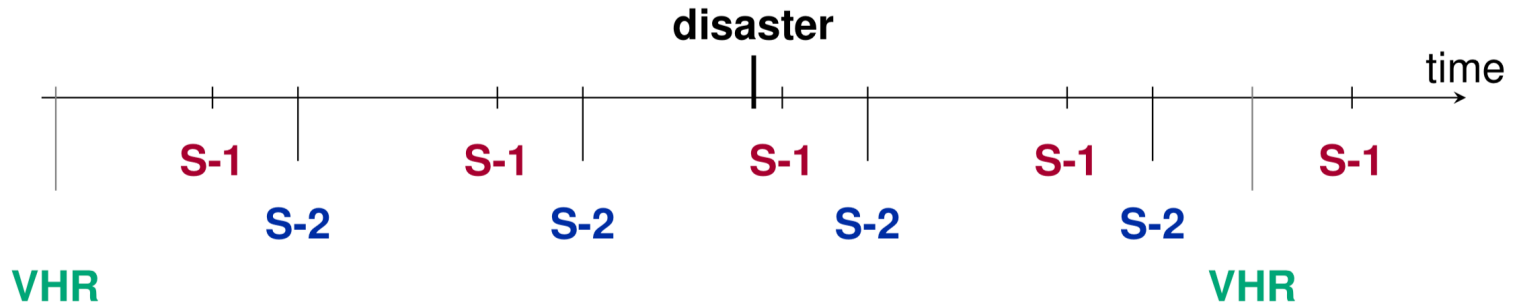
What satellite data is available?



What satellite data is available?



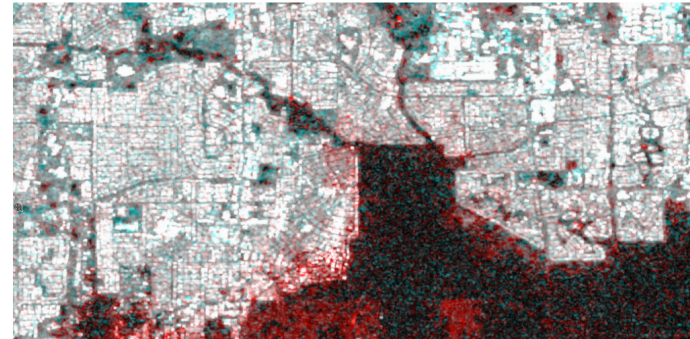
What satellite data is available?



coherence pre
high correlation values



coherence post
correlation decreases



coherence RGB pre & post

Challenge: different spatial resolutions

0.5m post-disaster



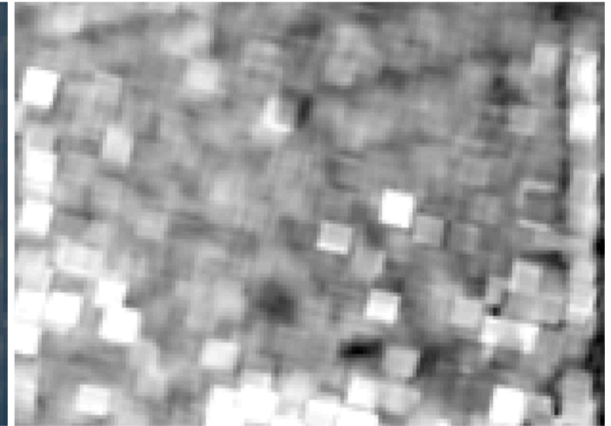
very high resolution

10m post-disaster



optical

10m post-disaster



radar

Advantage: spatiotemporal information

0.5m post-disaster



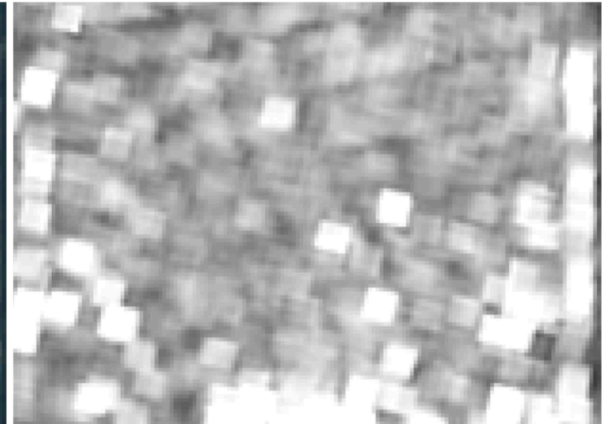
very high resolution

10m pre-disaster



optical

10m pre-disaster



radar

Flooded-Affected Buildings

Hurricane Events (2017)



Damage Detection & Estimation



Ground truth, towards two objectives

building footprints



damaged sites



Open Street Map

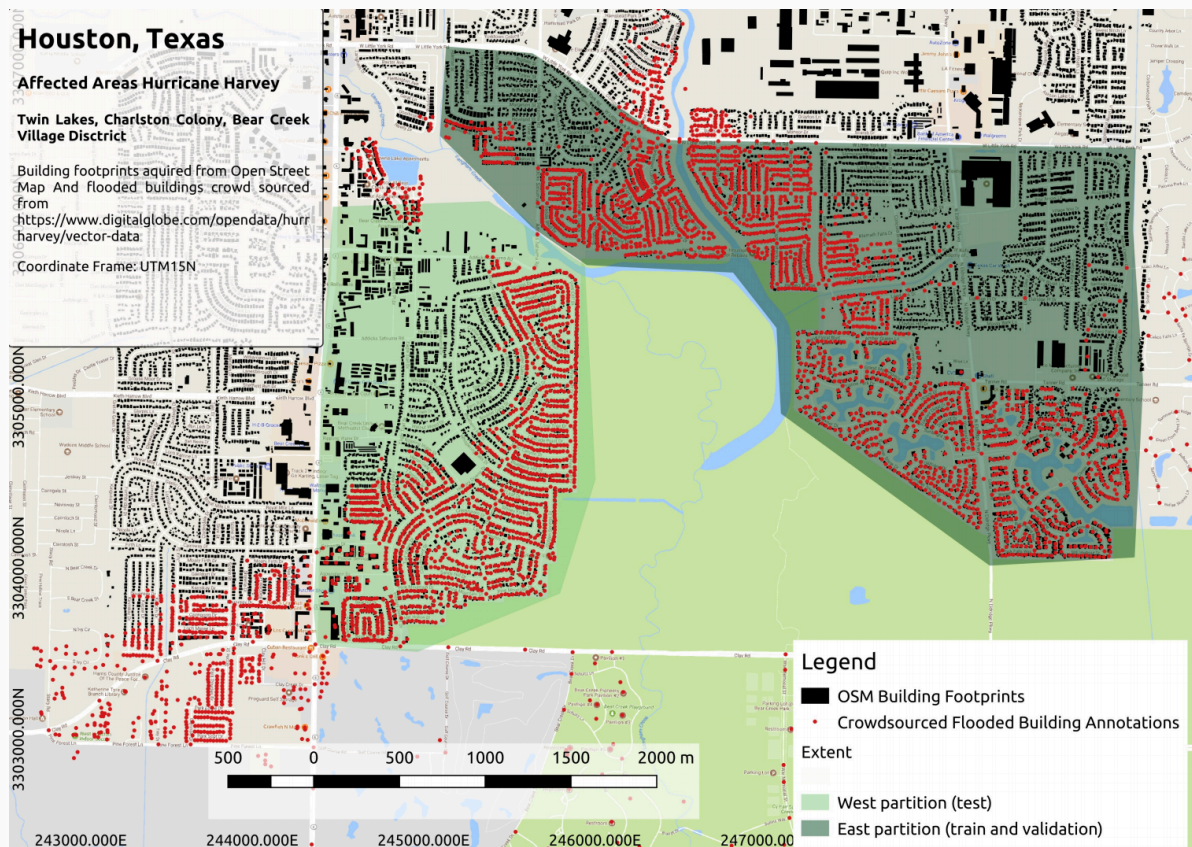


Dataset

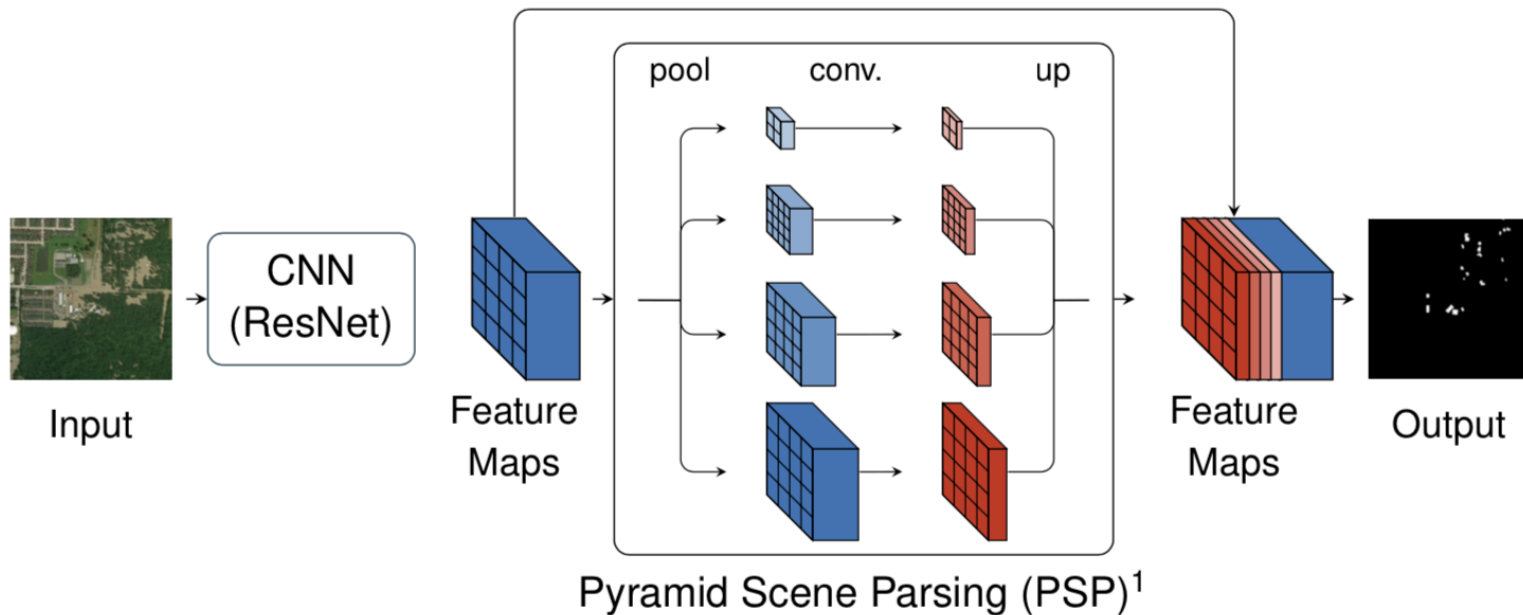
- Preprocessed imagery
- Crowdsourced labels
- Two Partitions

Metrics

- Intersection over Union
- Pixel Accuracy

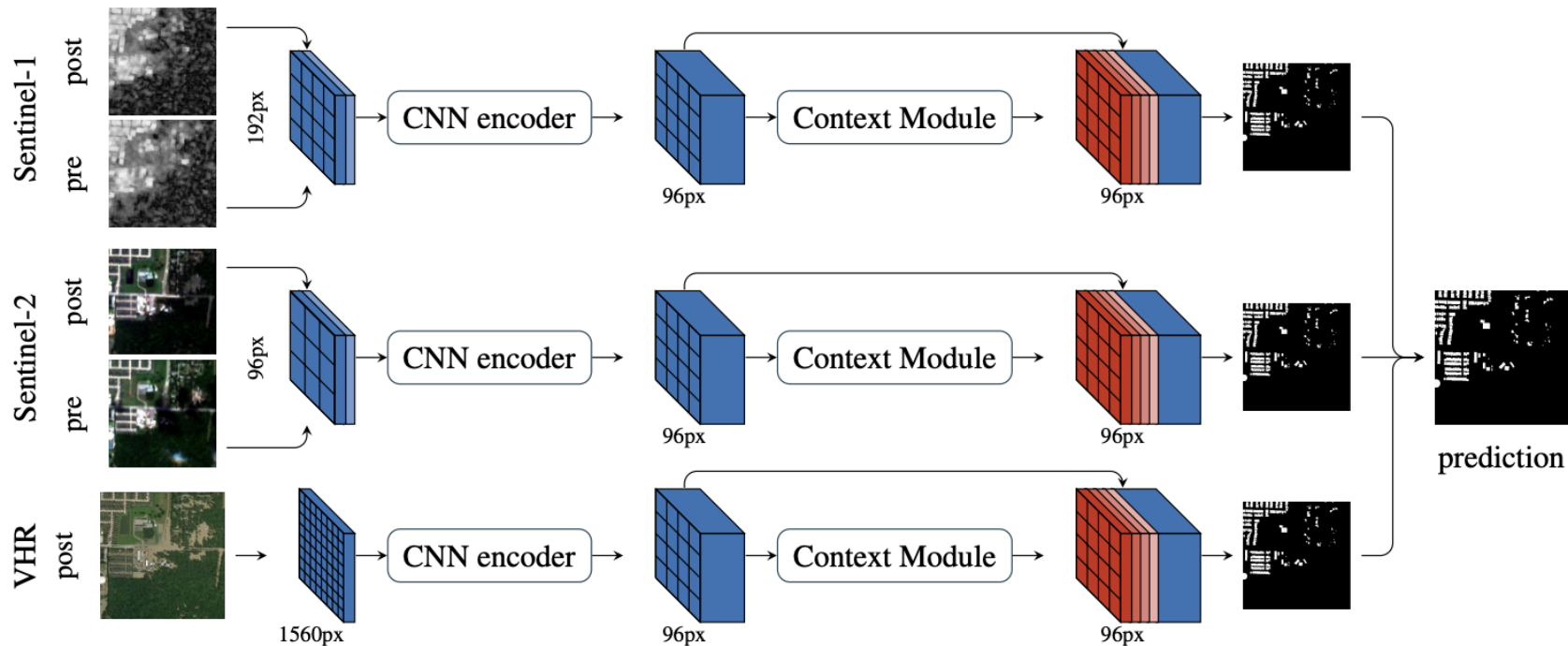


Semantic Segmentation with Context Aggregation



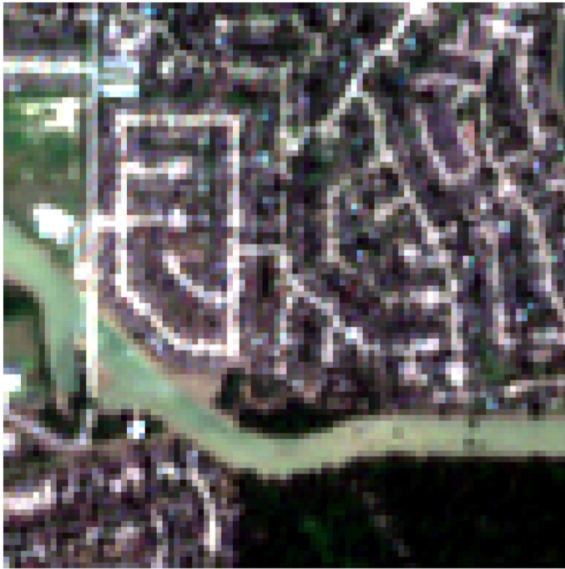
¹ Hengshuang Zhao et al. "Pyramid scene parsing network". In: IEEE Conf. on Computer Vision and Pattern Recognition (CVPR). 2017

Multimodal Fusion with Multi³-Net



Segmentation of buildings with Sentinel-2

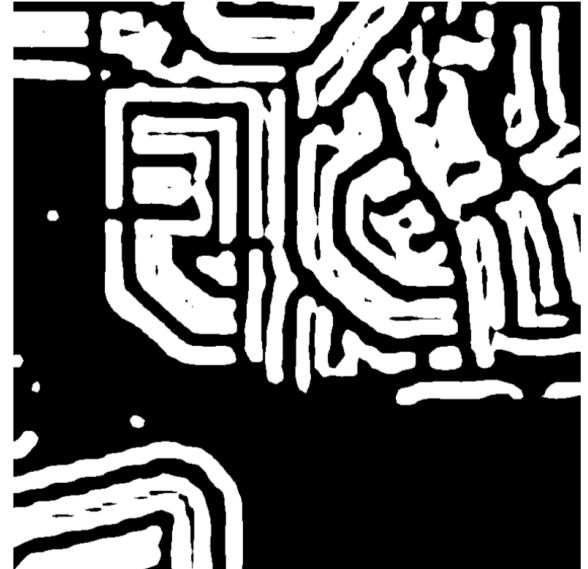
RGB input



Ground Truth



Predictions

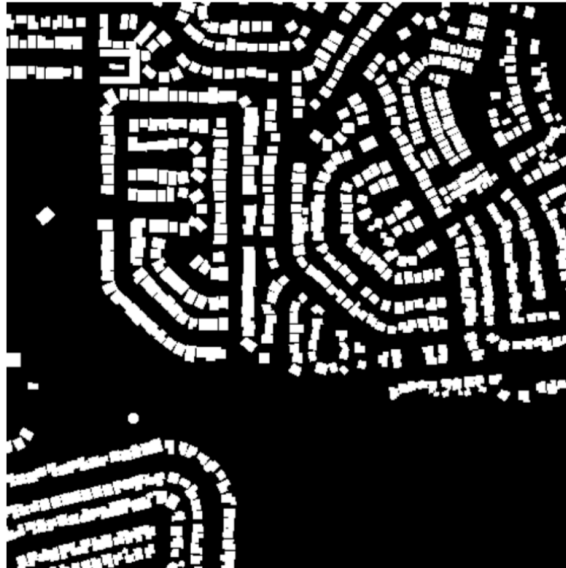


Segmentation of buildings with VHR imagery

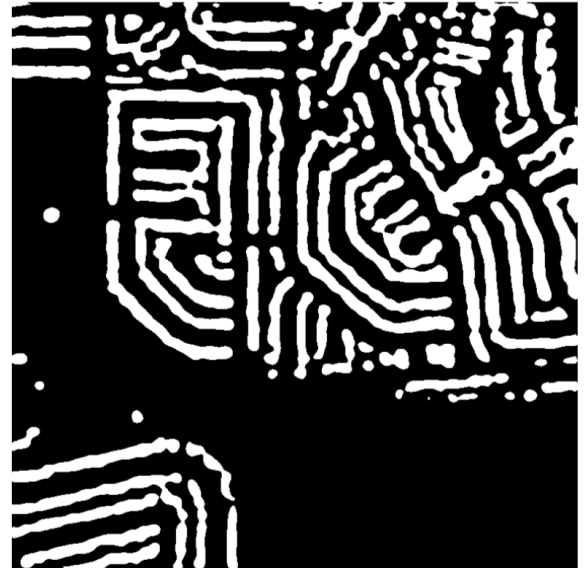
RGB input



Ground Truth



Predictions



Segmentation of flood-affected buildings

RGB input



Ground Truth



Predictions



Better segmentation with fusion

VHR

VHR + S1 + S2

Ground Truth



Damage Prediction - Qualitative Results

Data	mIoU	bIoU	Acc
S1	50.2%	17.1%	88.7%
S2	52.6%	12.7%	87.4%
VHR	74.2%	56.0%	93.1%
S1 + S2	59.7%	34.1%	86.4%
S1 + S2 + VHR	75.3%	57.5%	93.7%

larger is better: mean Intersection over Union (mIoU), building Intersection over Union (bIoU), accuracy (acc)

Data

- first available (globally)
- later available (selected areas)

Findings:

- VHR > radar, optical
- radar+optical > radar, optical
- radar+optical+VHR > VHR

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- ▶ radar+optical+VHR > VHR

Collapsed Buildings in Ecuador

Earthquake (2016)



Damage Detection & Estimation





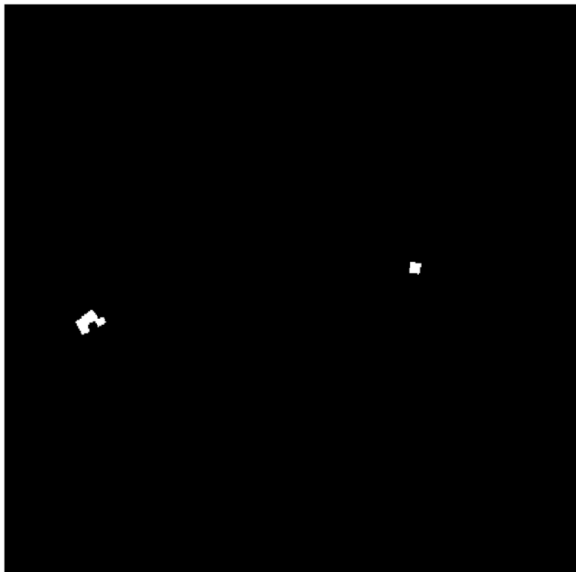


Extraction of Collapsed Buildings

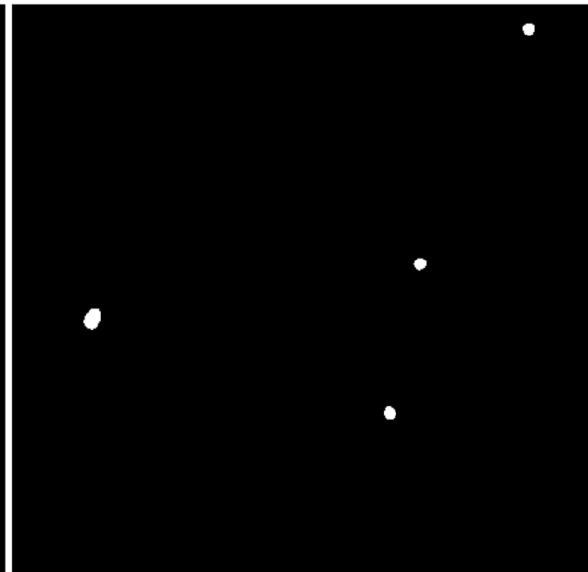
RGB input



Ground Truth



Predictions



Extraction of Collapsed Buildings



final prediction overlay



Extraction of Collapsed Buildings



final prediction overlay



Publications, Data and Source Code

NIPS 2018 Conference *December 2nd - 8th in Montreal, Canada*

- ▶ **Spatiotemporal Workshop**
- ▶ **AI for Social Good**

AAAI 2019 Conference *January 27th - Feb. 1st in Hawaii, USA*

- ▶ *Multi³ Net: Segmenting Flooded Buildings via Fusion of Multiresolution, Multisensor, and Multitemporal Satellite Imagery*

More infos, code and data at **GitHub**

- ▶ <https://github.com/FrontierDevelopmentLab/multi3net>

Multimedia-Satellite Benchmark at MediaEval

Satellite Image Analysis + Social Media



Multimedia Satellite Task at MediaEval

MMSat 2017

Detection of Flooding Events from Social Media
Based on YFCC100m
Segmentation of Flooded Areas



MMSat 2018

Classification of **Road possibility from Social Media**
Based on images in Tweets, newspapers
(Flooded) Road Segmentation from VHR- Imagery



Multimedia Satellite Task at MediaEval


Two subtasks: Satellite Image & Social Media Analysis

15 Teams registered in 2017 from all over the world with more than **60 submissions**



18 Teams registered in 2018 from all over the world with more than **50 submissions**





Multimedia-Satellite Benchmark at MediaEval

Satellite Image Analysis + Social Media

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Thanks!

Questions?

